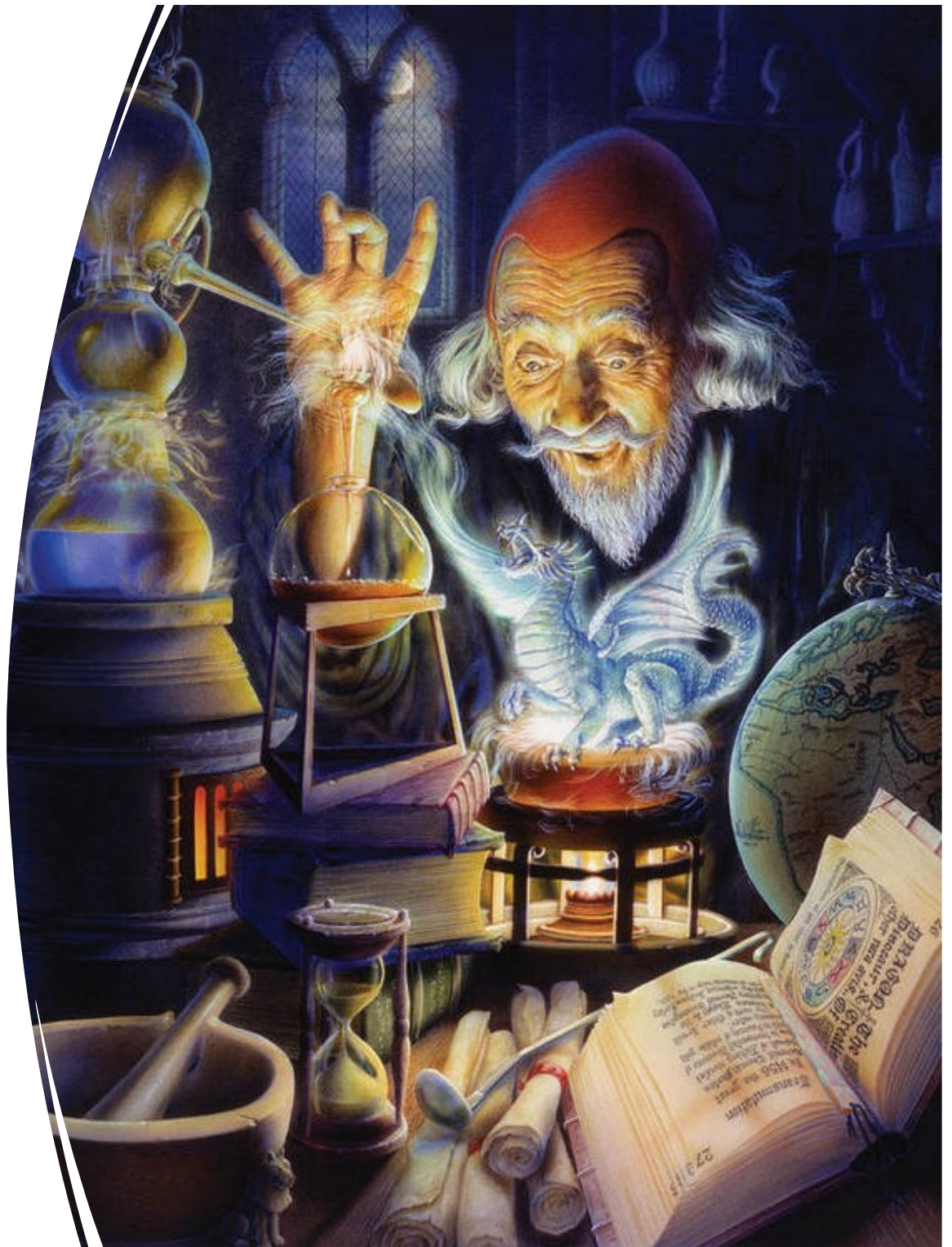


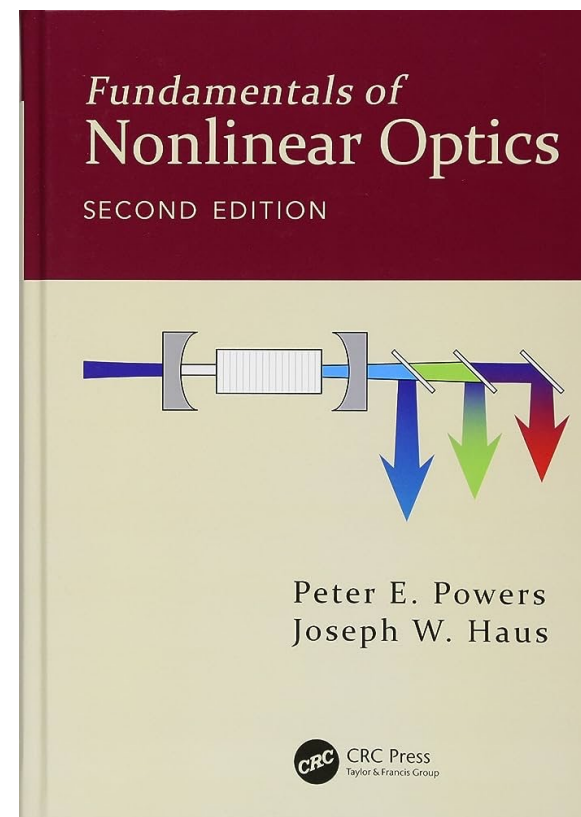
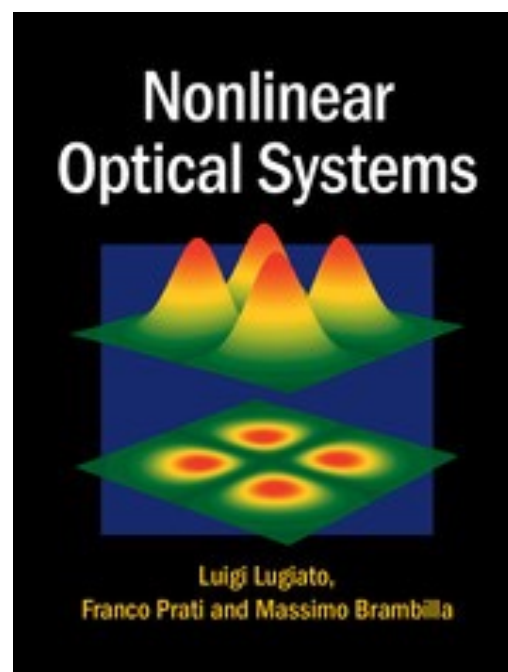
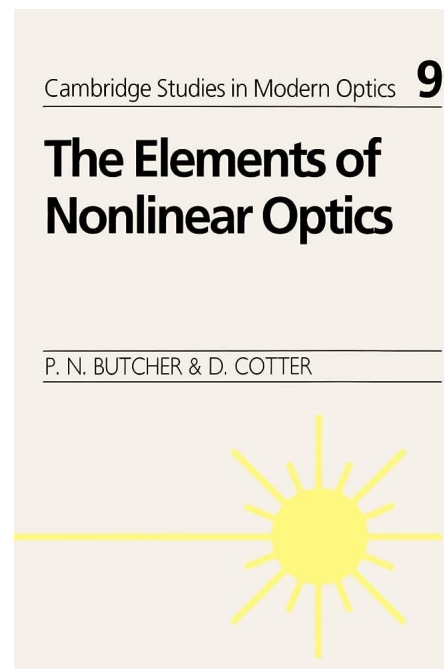
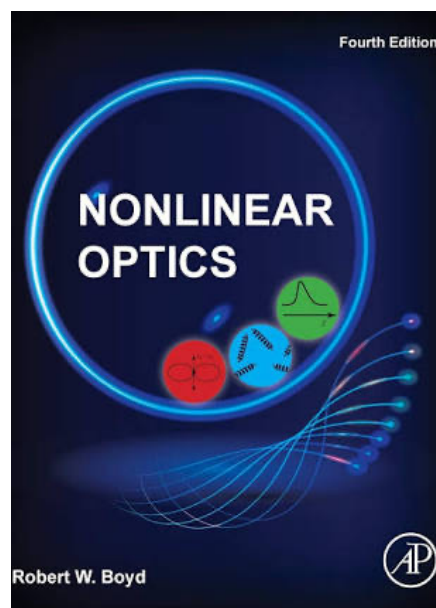
Nonlinear optics: a first look

*J. E. Sipe
Department of Physics
University of Toronto*



"Optical alchemy"





Susceptibilities

$\chi^{(2)}$ *effects*

$\chi^{(3)}$ *effects*

Quantum nonlinear optics

Nonlinear optics and electronics

Forbidden processes

Susceptibilities

$\chi^{(2)}$ *effects*

$\chi^{(3)}$ *effects*

Quantum nonlinear optics

Nonlinear optics and electronics

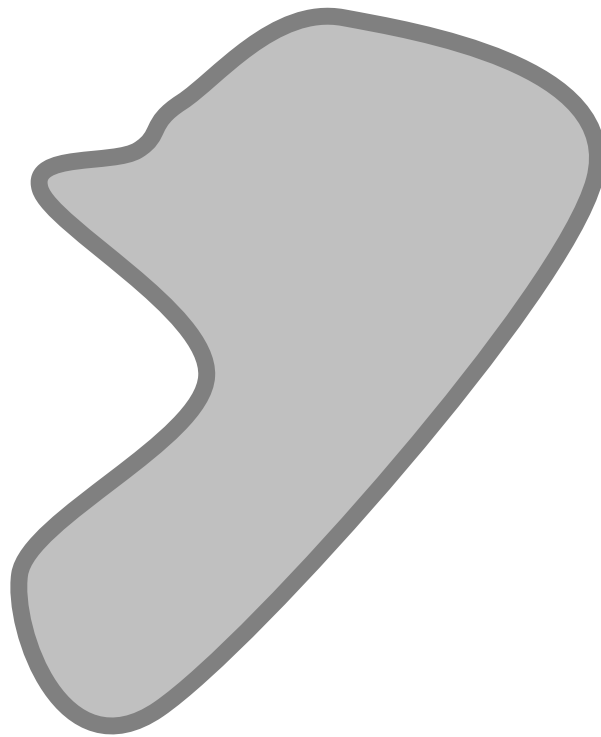
Forbidden processes

$$P(t) = \chi \, E(t)$$

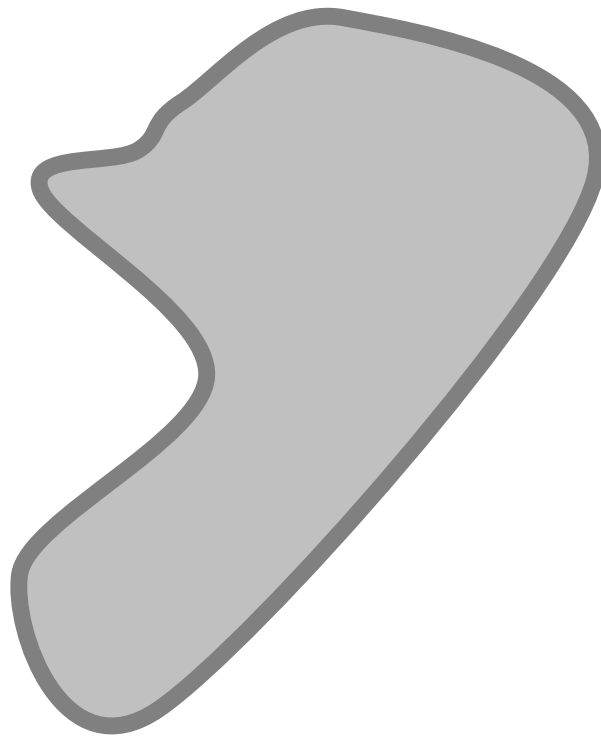
$$P(t) = \chi^{(1)} E(t)$$

$$P(t) = \chi^{(1)} E(t) + \chi^{(2)} E^2(t) + \chi^{(3)} E^3(t) + \dots$$

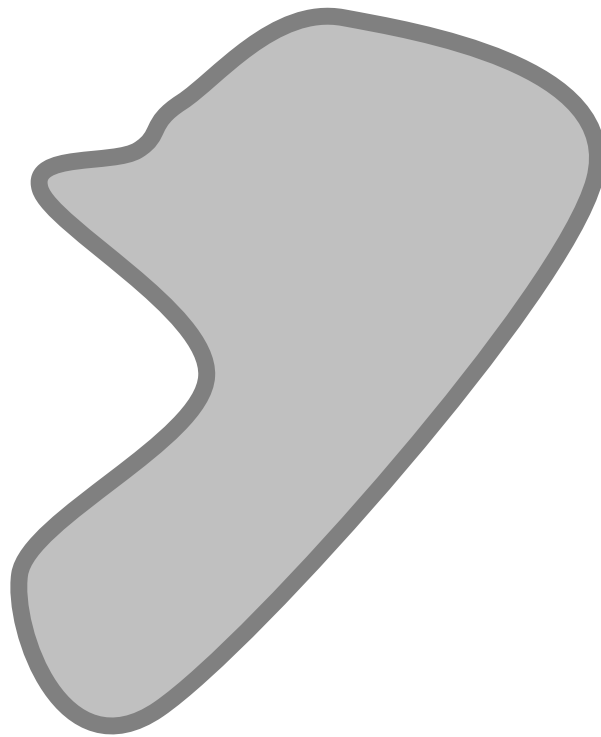
$$P(t) = \chi^{(1)} E(t) + \chi^{(2)} E^2(t) + \chi^{(3)} E^3(t) + \dots$$



$$P(\boldsymbol{r}, t) = \chi^{(1)}(\boldsymbol{r})E(\boldsymbol{r}, t) + \chi^{(2)}(\boldsymbol{r})E^2(\boldsymbol{r}, t) + \chi^{(3)}(\boldsymbol{r})E^3(\boldsymbol{r}, t) + \dots$$

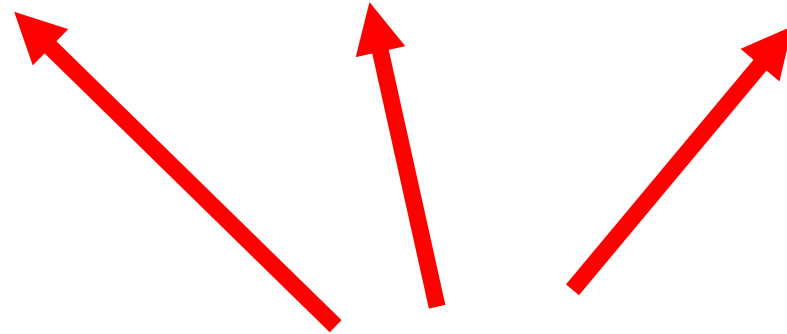


$$P(t) = \chi^{(1)} E(t) + \chi^{(2)} E^2(t) + \chi^{(3)} E^3(t) + \dots$$



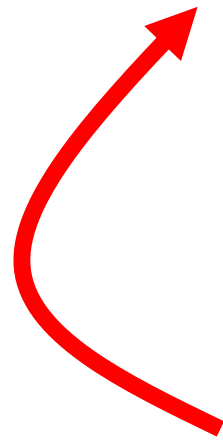
$$P_i(t) = \chi_{ij}^{(1)} E_j(t) + \chi_{ijk}^{(2)} E_j(t) E_k(t) + \chi_{ijkl}^{(3)} E_j(t) E_k(t) E_l(t) + \dots$$

$$P_i(t) = \chi_{ij}^{(1)} E_j(t) + \chi_{ijk}^{(2)} E_j(t) E_k(t) + \chi_{ijkl}^{(3)} E_j(t) E_k(t) E_l(t) + \dots$$



*implicit sum over repeated
Cartesian components*

$$P_i(t) = \chi_{ij}^{(1)} E_j(t) + \chi_{ijk}^{(2)} E_j(t) E_k(t) + \chi_{ijkl}^{(3)} E_j(t) E_k(t) E_l(t) + \dots$$



*vanishes if medium has
centre-of-inversion
symmetry*

$$E_i(t) = \sum_{\omega} E_i(\omega) e^{-i\omega t}$$

$$P_i(t) = \chi_{ij}^{(1)} E_j(t) + \chi_{ijk}^{(2)} E_j(t) E_k(t) + \chi_{ijkl}^{(3)} E_j(t) E_k(t) E_l(t) + \dots$$

$$E_i(t) = \sum_{\omega} E_i(\omega) e^{-i\omega t}$$

$$\begin{aligned} P_i(t) = & \sum_{\omega} \chi_{ij}^{(1)}(-\omega; \omega) E_j(\omega) e^{-i\omega t} \\ & + \sum_{\omega, \omega'} \chi_{ijk}^{(2)}(-\omega - \omega'; \omega, \omega') E_j(\omega) E_k(\omega') e^{-i(\omega + \omega')t} \\ & + \sum_{\omega, \omega', \omega''} \chi_{ijkl}^{(3)}(-\omega - \omega' - \omega''; \omega, \omega', \omega'') E_j(\omega) E_k(\omega') E_l(\omega'') e^{-i(\omega + \omega' + \omega'')t} \\ & + \dots \end{aligned}$$

$$\chi_{ijk}^{(2)}(-\omega - \omega'; \omega, \omega')$$

$$\begin{aligned}
 P_i(t) = & \sum_{\omega} \chi_{ij}^{(1)}(-\omega; \omega) E_j(\omega) e^{-i\omega t} \\
 & + \sum_{\omega, \omega'} \chi_{ijk}^{(2)}(-\omega - \omega'; \omega, \omega') E_j(\omega) E_k(\omega') e^{-i(\omega + \omega')t} \\
 & + \sum_{\omega, \omega', \omega''} \chi_{ijkl}^{(3)}(-\omega - \omega' - \omega''; \omega, \omega', \omega'') E_j(\omega) E_k(\omega') E_l(\omega'') e^{-i(\omega + \omega' + \omega'')t} \\
 & + \dots
 \end{aligned}$$

$$\chi_{ijk}^{(2)}(-\omega - \omega'; \omega, \omega')$$

or $\chi_{ijk}^{(2)}(\omega, \omega'; \omega + \omega')$

$$P_i(t) = \sum_{\omega} \chi_{ij}^{(1)}(-\omega; \omega) E_j(\omega) e^{-i\omega t}$$

$$+ \sum_{\omega, \omega'} \chi_{ijk}^{(2)}(-\omega - \omega'; \omega, \omega') E_j(\omega) E_k(\omega') e^{-i(\omega + \omega')t}$$

$$+ \sum_{\omega, \omega', \omega''} \chi_{ijkl}^{(3)}(-\omega - \omega' - \omega''; \omega, \omega', \omega'') E_j(\omega) E_k(\omega') E_l(\omega'') e^{-i(\omega + \omega' + \omega'')t}$$

$$+ \dots$$

$$\chi_{ijk}^{(2)}(-\omega - \omega'; \omega, \omega')$$

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$$P_i(t) = \sum_{\omega} \chi_{ij}^{(1)}(-\omega; \omega) E_j(\omega) e^{-i\omega t}$$

$$+ \sum_{\omega, \omega'} \chi_{ijk}^{(2)}(-\omega - \omega'; \omega, \omega') E_j(\omega) E_k(\omega') e^{-i(\omega + \omega')t}$$

$$+ \sum_{\omega, \omega', \omega''} \chi_{ijkl}^{(3)}(-\omega - \omega' - \omega''; \omega, \omega', \omega'') E_j(\omega) E_k(\omega') E_l(\omega'') e^{-i(\omega + \omega' + \omega'')t}$$

$$+ \dots$$

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$$\begin{aligned} P_i(t) = & \sum_{\omega} \chi_{ij}^{(1)}(-\omega; \omega) E_j(\omega) e^{-i\omega t} \\ & + \sum_{\omega, \omega'} \chi_{ijk}^{(2)}(-\omega - \omega'; \omega, \omega') E_j(\omega) E_k(\omega') e^{-i(\omega + \omega')t} \\ & + \sum_{\omega, \omega', \omega''} \chi_{ijkl}^{(3)}(-\omega - \omega' - \omega''; \omega, \omega', \omega'') E_j(\omega) E_k(\omega') E_l(\omega'') e^{-i(\omega + \omega' + \omega'')t} \\ & + \dots \end{aligned}$$

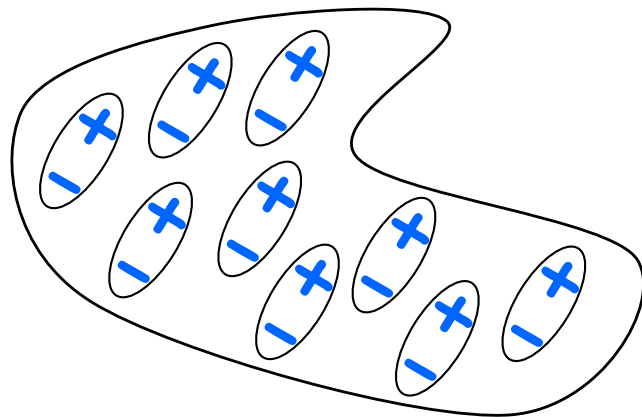
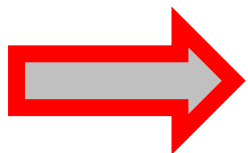
$$E_i(t) = \sum_{\omega} E_i(\omega) e^{-i\omega t}$$

$$\begin{aligned}
P_i(t) = & \sum_{\omega} \chi_{ij}^{(1)}(\text{●}, \omega) E_j(\omega) e^{-i\omega t} \\
& + \sum_{\omega, \omega'} \chi_{ijk}^{(2)}(\text{●}, \omega, \omega') E_j(\omega) E_k(\omega') e^{-i(\omega + \omega')t} \\
& + \sum_{\omega, \omega', \omega''} \chi_{ijkl}^{(3)}(\text{●}, \omega, \omega', \omega'') E_j(\omega) E_k(\omega') E_l(\omega'') e^{-i(\omega + \omega' + \omega'')t} \\
& + \dots
\end{aligned}$$

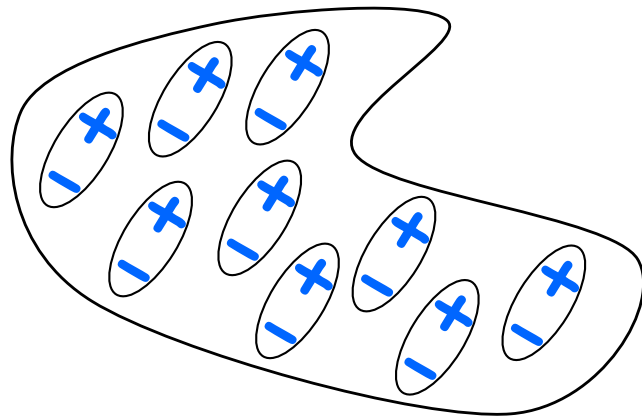
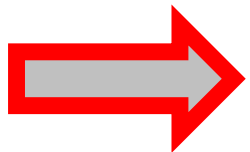
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& + \sum_{\omega, \omega', \omega''} \chi_{ijkl}^{(3)}(\text{●}, \omega, \omega', \omega'') E_j(\omega) E_k(\omega') E_l(\omega'') e^{-i(\omega + \omega' + \omega'')t} \\
& + \dots
\end{aligned}$$

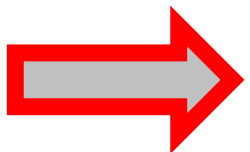
How calculate?



simple molecular models

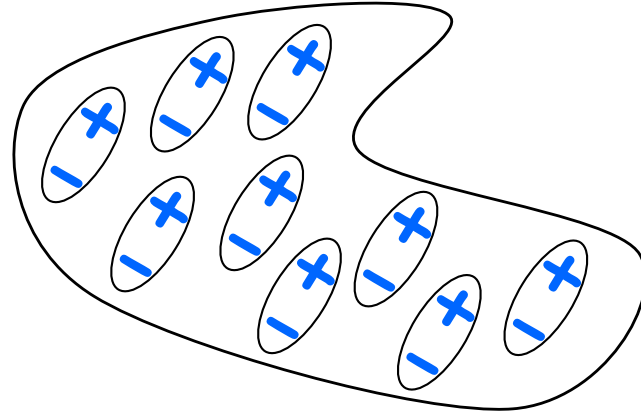
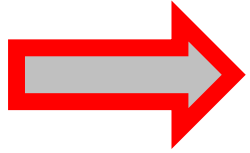


simple molecular models

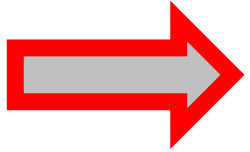


*Calculate $J(t)$ from minimal coupling
Hamiltonian*

$$\mathbf{J}(t) = \frac{d\mathbf{P}(t)}{dt} \iff \mathbf{J}(\omega) = -i\omega\mathbf{P}(\omega)$$

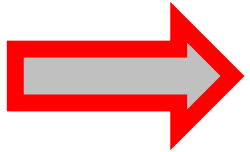


simple molecular models



*Calculate $J(t)$ from minimal coupling
Hamiltonian*

$$\mathbf{J}(t) = \frac{d\mathbf{P}(t)}{dt} \iff \mathbf{J}(\omega) = -i\omega\mathbf{P}(\omega)$$



Adams and Blount

$$\mathbf{P} = e \int \frac{d\mathbf{k}d\mathbf{k}'}{V} \sum_{n,m} a_n^\dagger(\mathbf{k}) \langle n\mathbf{k}|\mathbf{r}|m\mathbf{k}'\rangle a_m(\mathbf{k}')$$

$$\langle n\mathbf{k}|\mathbf{r}|m\mathbf{k}'\rangle = \delta(\mathbf{k} - \mathbf{k}')\xi_{nm}(\mathbf{k}) + i\delta_{nm}\frac{\partial}{\partial\mathbf{k}}\delta(\mathbf{k} - \mathbf{k}')$$

Susceptibilities

$\chi^{(2)}$ effects

$\chi^{(3)}$ effects

Quantum nonlinear optics

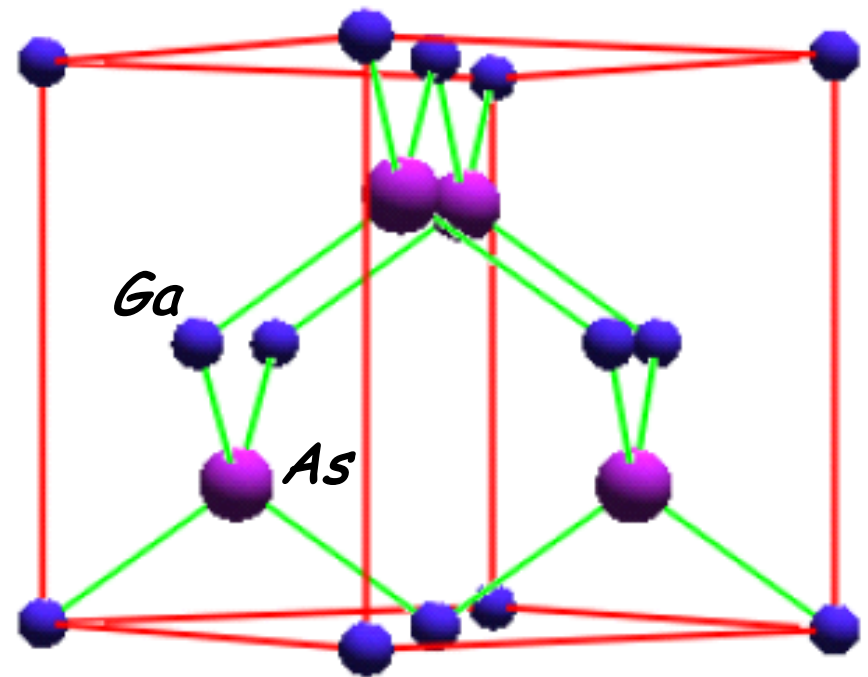
Nonlinear optics and electronics

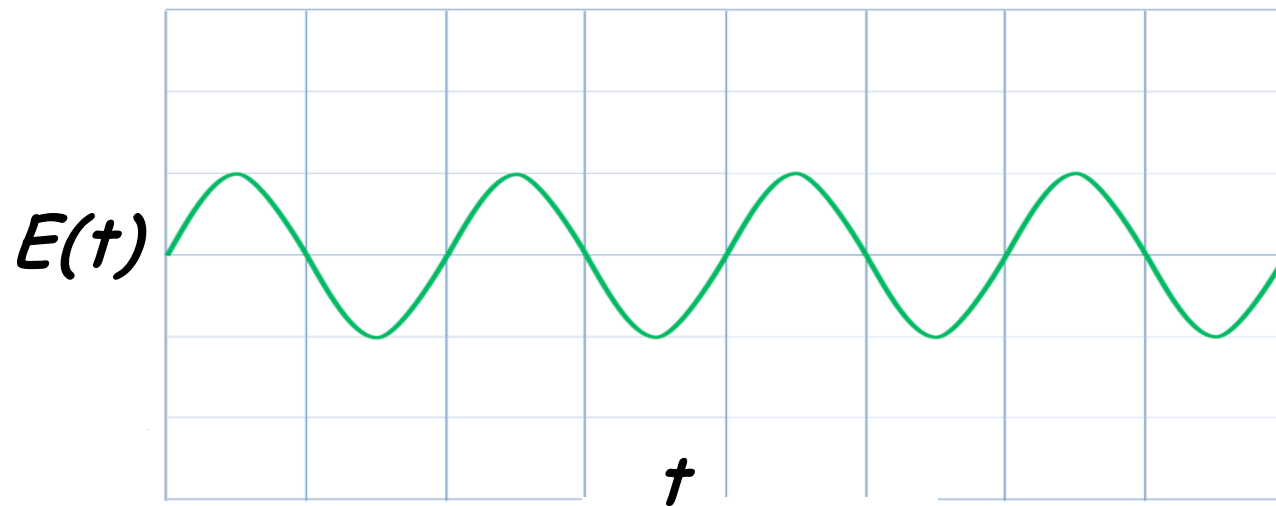
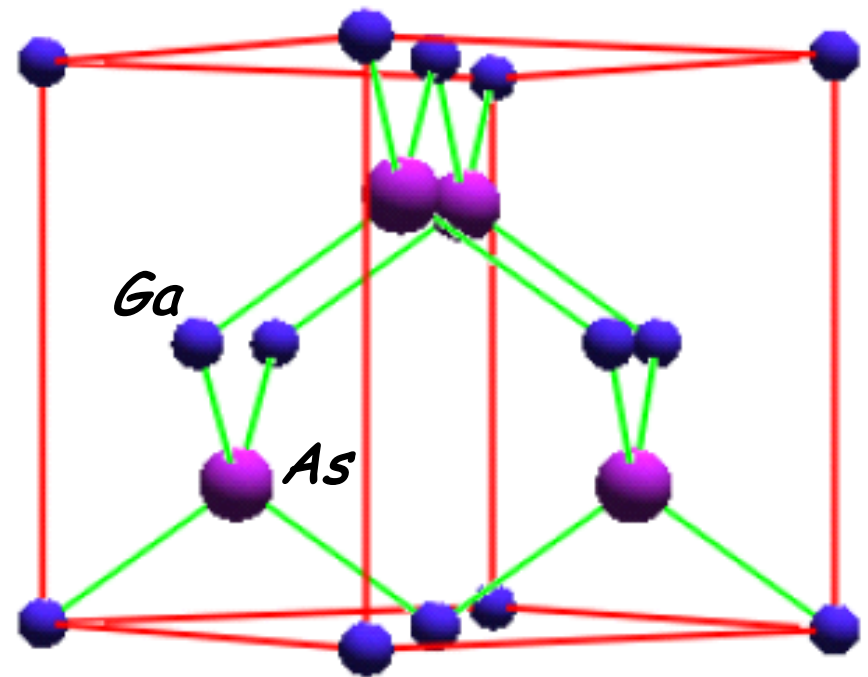
Forbidden processes

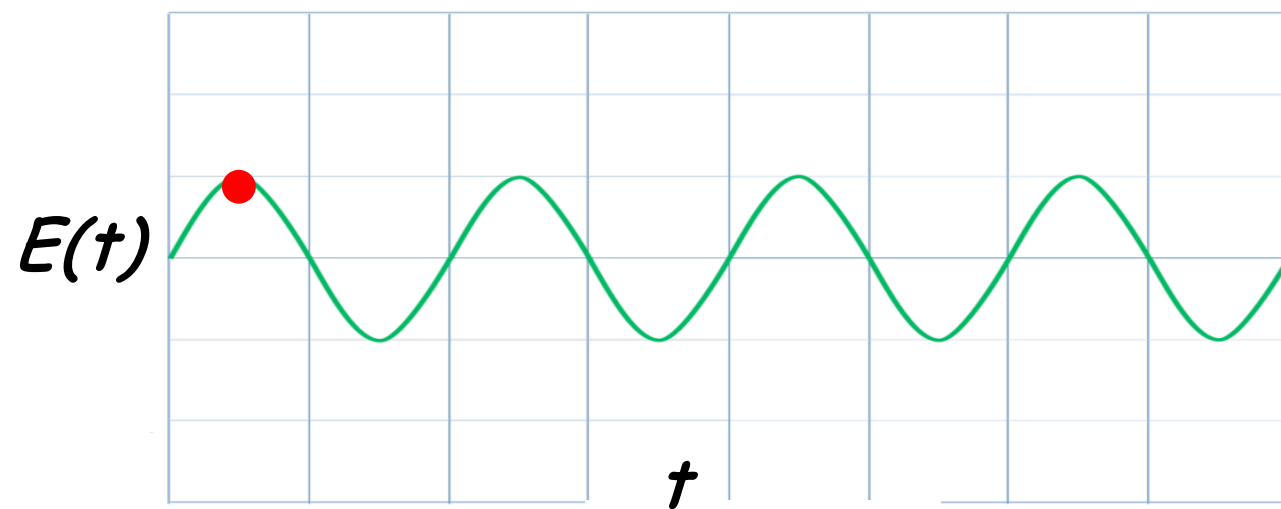
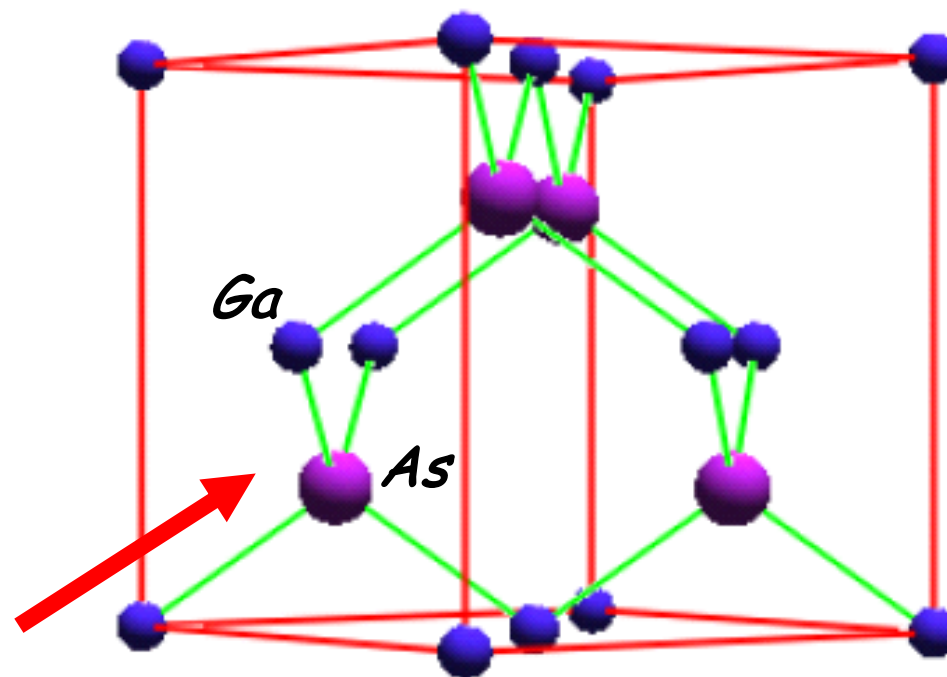
Second harmonic generation

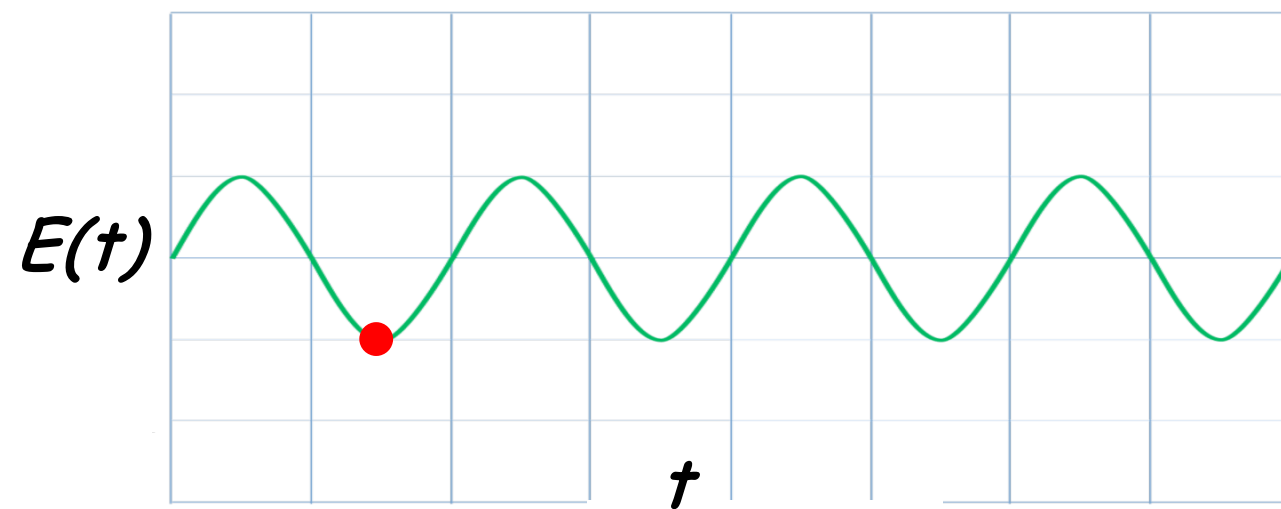
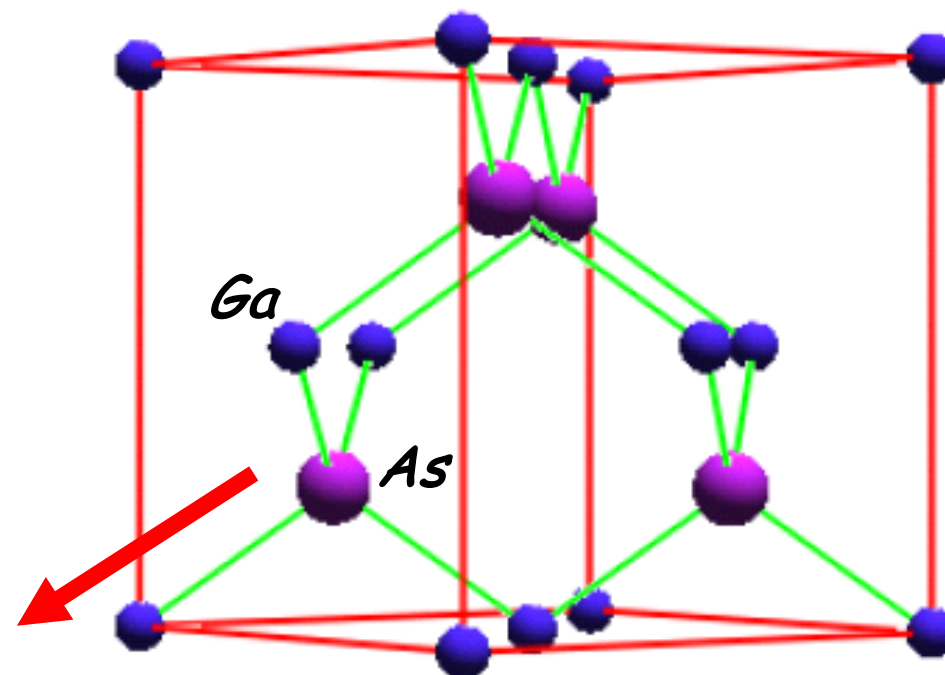
$$P_i(t) = \chi_{ijk}^{(2)}(-2\omega; \omega, \omega) E_j(\omega) E_k(\omega) e^{-2i\omega t} + c.c.$$

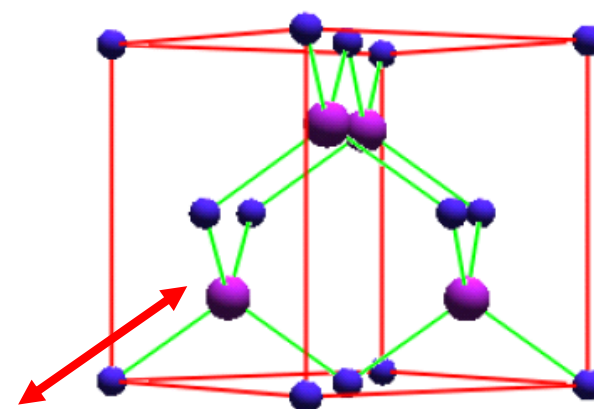
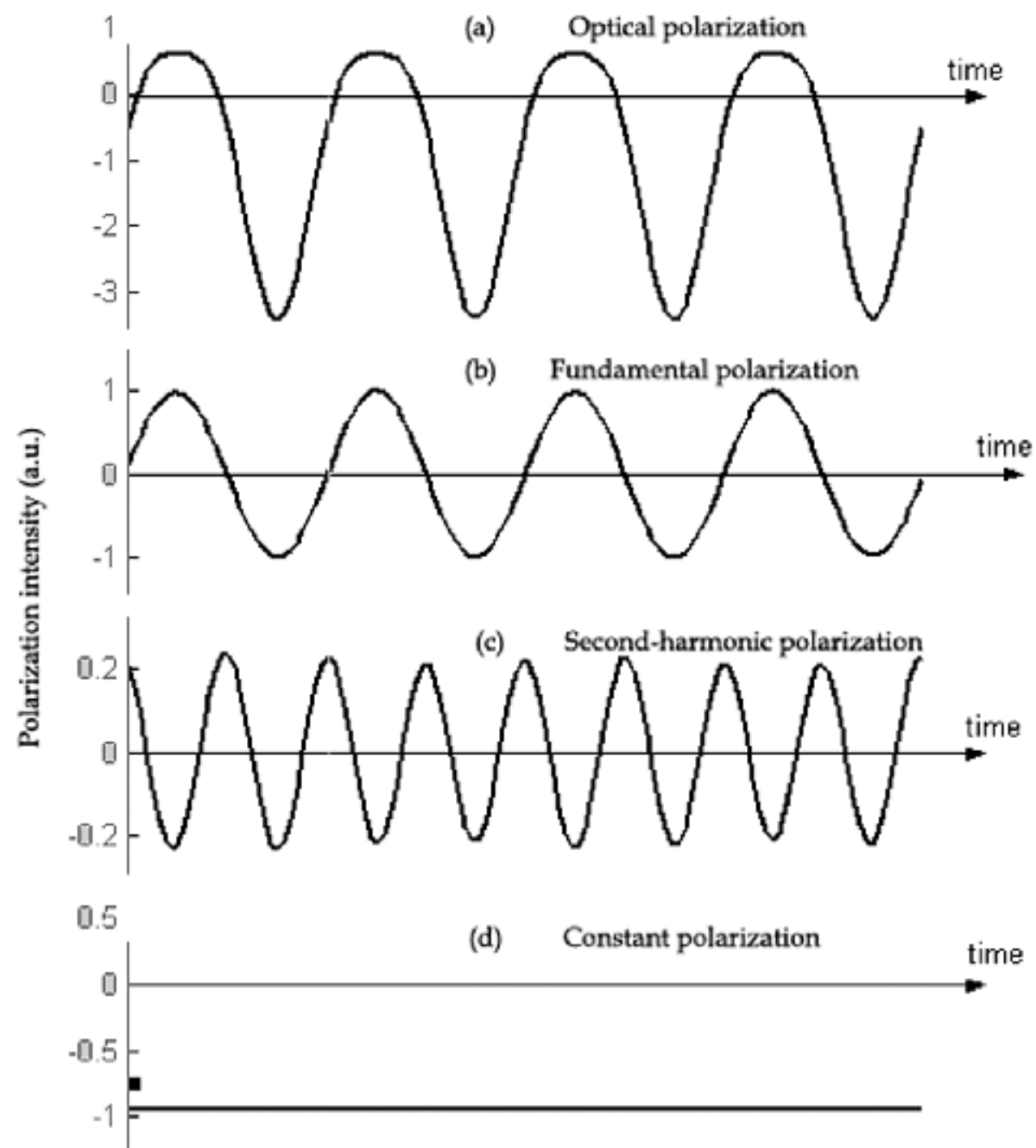
$$\begin{aligned} P_i(t) = & \sum_{\omega} \chi_{ij}^{(1)}(-\omega; \omega) E_j(\omega) e^{-i\omega t} \\ & + \sum_{\omega, \omega'} \chi_{ijk}^{(2)}(-\omega - \omega'; \omega, \omega') E_j(\omega) E_k(\omega') e^{-i(\omega + \omega')t} \\ & + \sum_{\omega, \omega', \omega''} \chi_{ijk}^{(3)}(-\omega - \omega' - \omega''; \omega, \omega', \omega'') E_j(\omega) E_k(\omega') E_l(\omega'') e^{-i(\omega + \omega' + \omega'')t} \\ & + \dots \end{aligned}$$





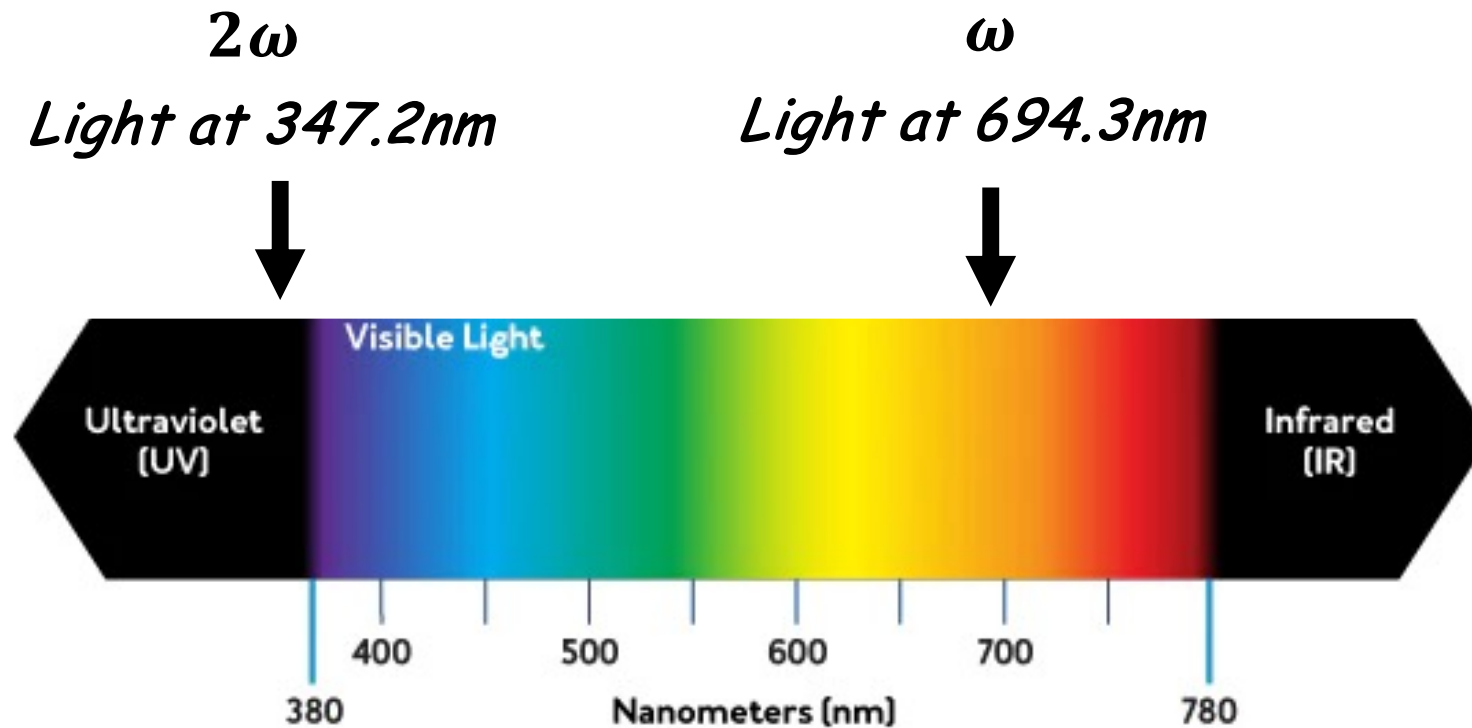






Second harmonic generation

$$P_i(t) = \chi_{ijk}^{(2)}(-2\omega; \omega, \omega) E_j(\omega) E_k(\omega) e^{-2i\omega t} + c.c.$$



GENERATION OF OPTICAL HARMONICS*

P. A. Franken, A. E. Hill, C. W. Peters, and G. Weinreich

The Harrison M. Randall Laboratory of Physics, The University of Michigan, Ann Arbor, Michigan

(Received July 21, 1961)

The development of pulsed ruby optical masers^{1,2} has made possible the production of monochromatic (6943 Å) light beams which, when focussed, exhibit electric fields of the order of 10^5 volts/cm. The possibility of exploiting this extraordinary intensity for the production of optical harmonics from suitable nonlinear materials is most appealing. In this Letter we present a brief discussion of the requisite analysis and a description of experiments in which we have observed the second harmonic (at ~ 3472 Å) produced upon projection of an intense beam of 6943 Å light through crystal-line quartz.

A suitable material for the production of optical harmonics must have a nonlinear dielectric coefficient and be transparent to both the fundamental optical frequency and the desired overtones. Since all dielectrics are nonlinear in high enough fields, this suggests the feasibility of utilizing materials such as quartz and glass. The dependence of polarization of a dielectric upon electric field E may be expressed schematically by

$$P = \chi E \left(1 + \frac{E}{E_1} + \frac{E^2}{E_2^2} + \dots \right), \quad (1)$$

where $E_1, E_2 \dots$ are of the order of magnitude of atomic electric fields ($\sim 10^8$ esu). If E is sinusoidal in time, the presence in Eq. (1) of terms of quadratic or higher degree will result in P con-

Table I. The square of the total p perpendicular to the direction of propagation of light through crystal-line quartz.

Direction of incident beam	The square of the total p perpendicular to direction of propagation
$x (E_x = 0)$	$p_y^2 + p_z^2 = 0$
$y (E_y = 0)$	$p_z^2 + p_x^2 = \alpha^2 E_x^4$
$z (E_z = 0)$	$p_x^2 + p_y^2 = \alpha^2 (E_x^2 + E_y^2)^2$

(z is the threefold, or optic, axis; x a twofold axis). If a light beam traverses quartz in one of the three principal directions, Eqs. (2) predict the results summarized in Table I. The second-harmonic light should be absent in the first case, dependent upon incident polarization in the second case, and independent of this polarization in the third.

If an intense beam of monochromatic light is focussed into a region of volume V , there should occur an intensity I of second harmonic given (in Gaussian units) by

$$I \sim (\omega^4/c^3) (pv)^2 (V/v), \quad (3)$$

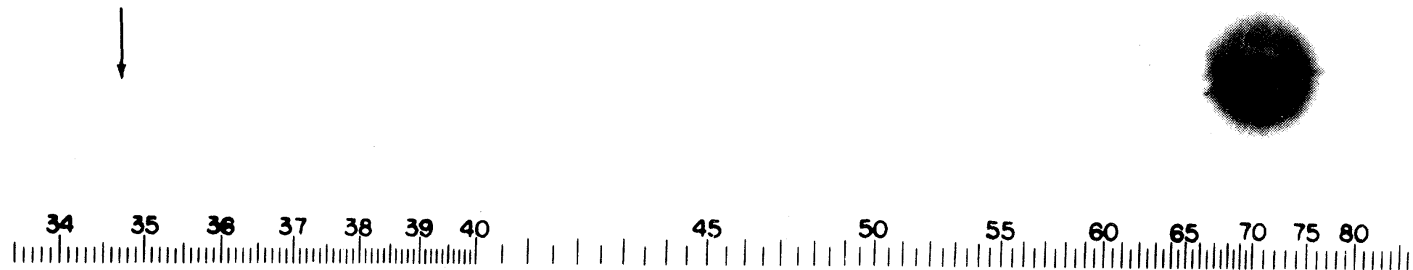
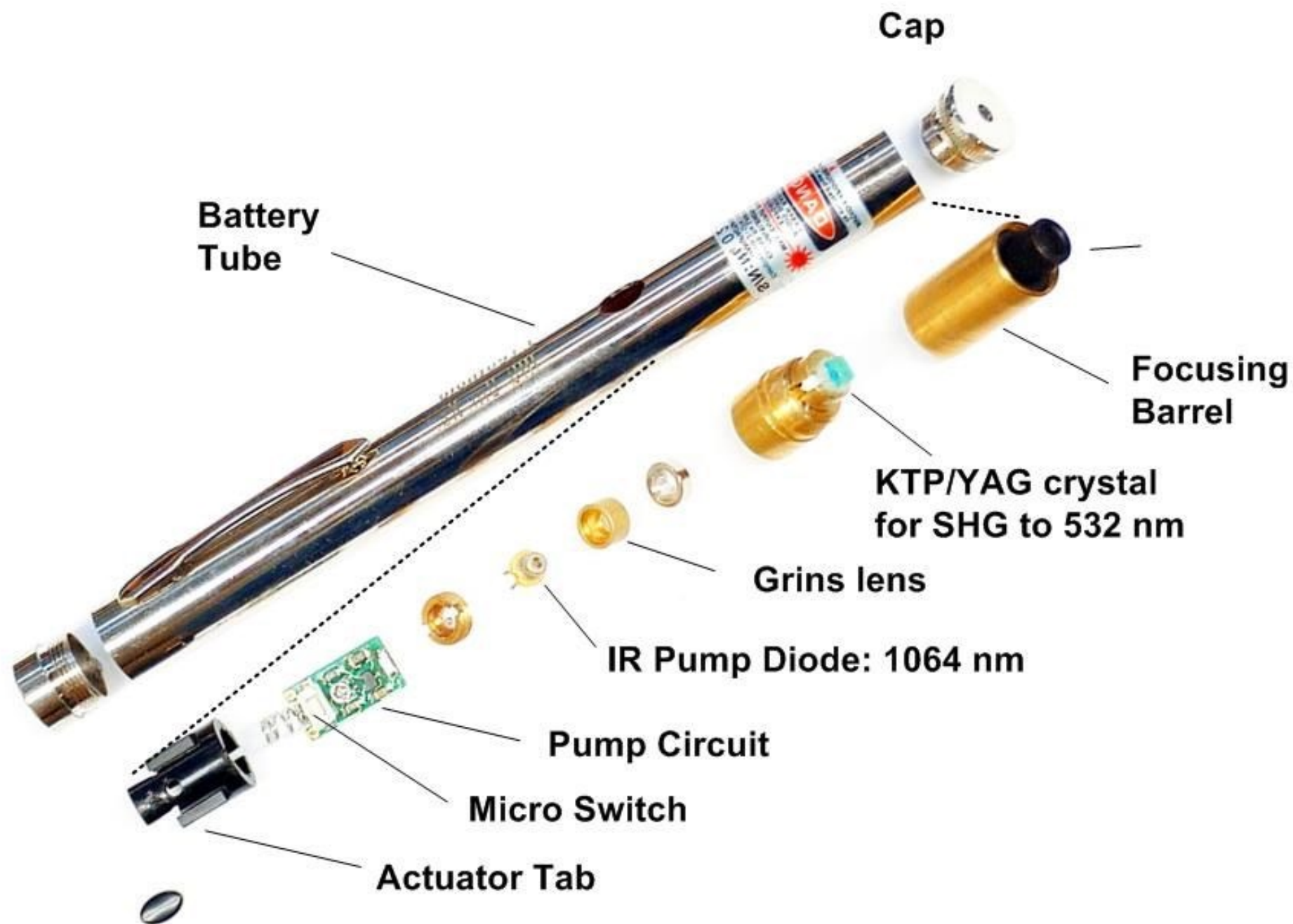
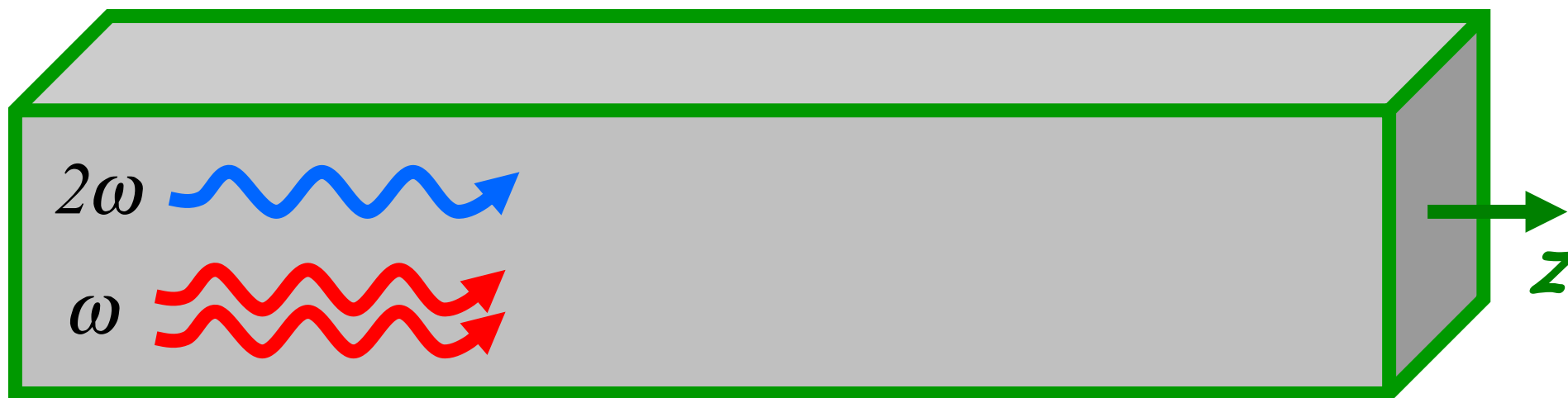


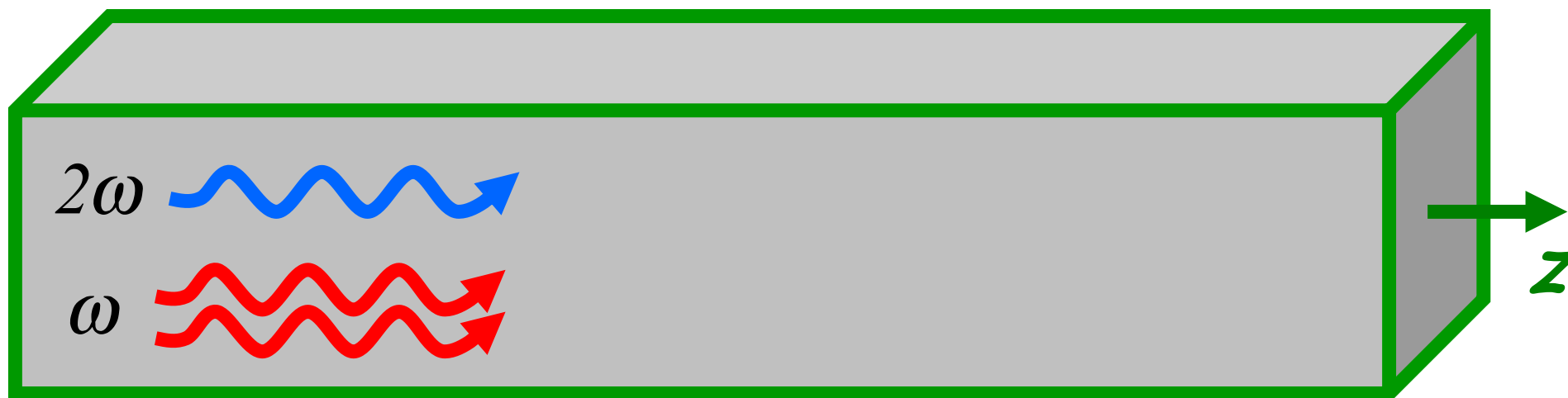
FIG. 1. A direct reproduction of the first plate in which there was an indication of second harmonic. The wavelength scale is in units of 100 Å. The arrow at 3472 Å indicates the small but dense image produced by the second harmonic. The image of the primary beam at 6943 Å is very large due to halation.



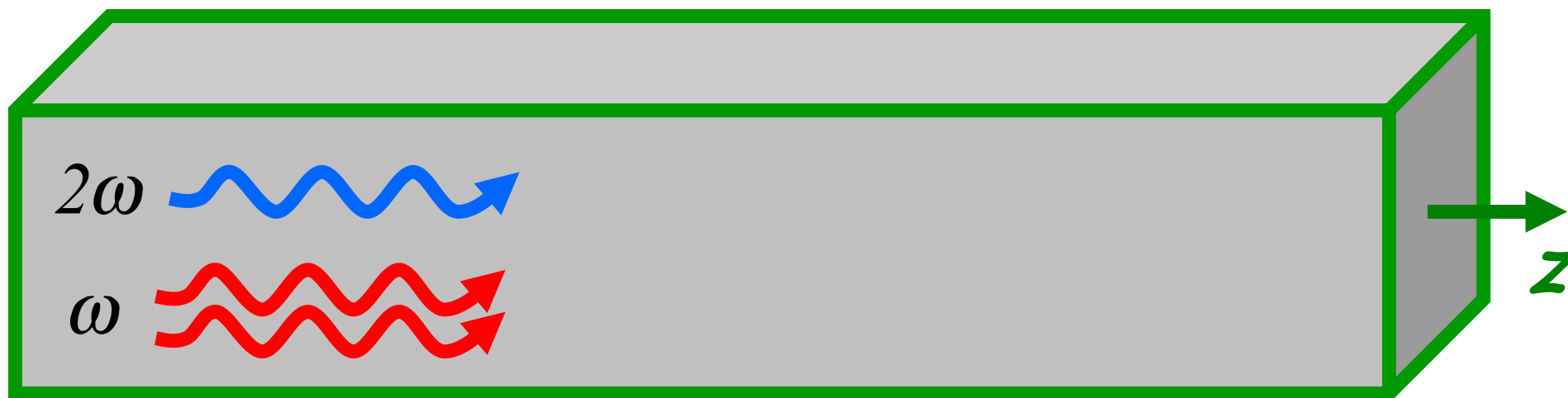




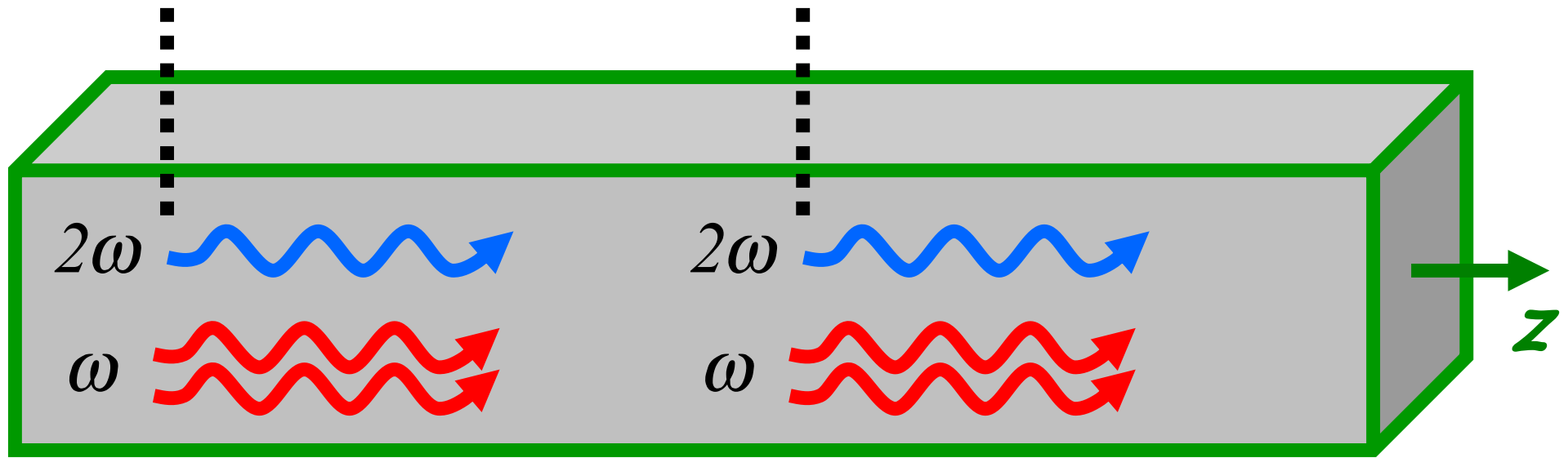
$$P(2\omega) = \chi^{(2)} E(\omega) E(\omega)$$



$$P(z, 2\omega) = \chi^{(2)} \left(E(\omega) e^{ik(\omega)z} \right) \left(E(\omega) e^{ik(\omega)z} \right)$$

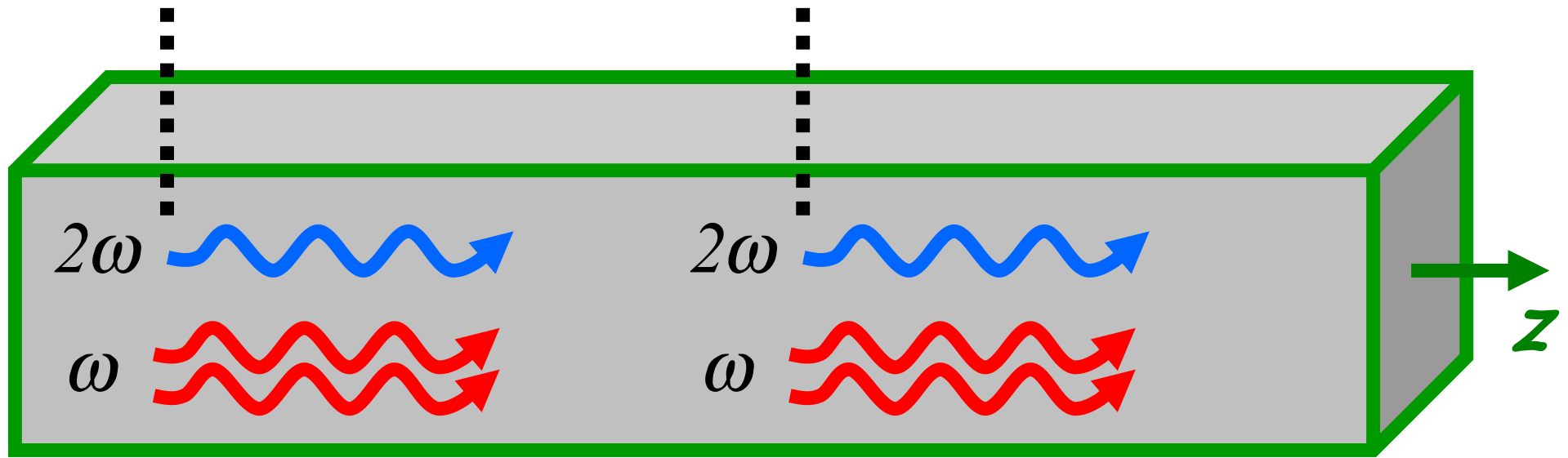


$$P(z, 2\omega) = \chi^{(2)} \left(E(\omega) e^{ik(\omega)z} \right) \left(E(\omega) e^{ik(\omega)z} \right)$$
$$k(\omega) = \frac{\omega}{c} n(\omega)$$



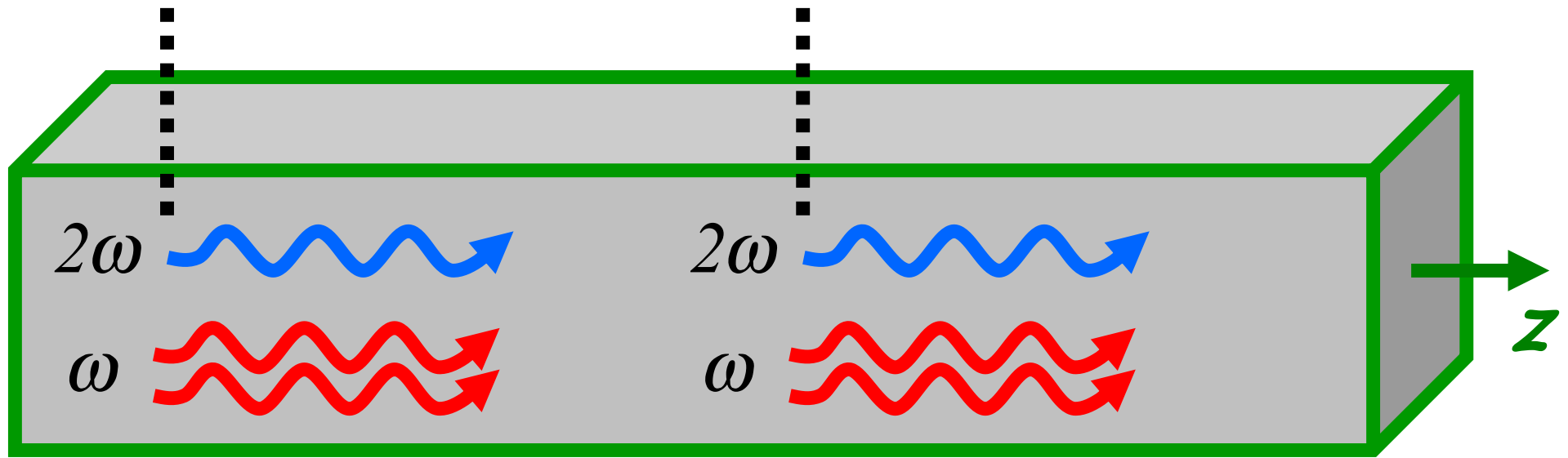
$$P(z, 2\omega) = \chi^{(2)} E(\omega) E(\omega) e^{2ik(\omega)z}$$

$$k(\omega) = \frac{\omega}{c} n(\omega)$$



$$P(z, 2\omega) = \chi^{(2)} E(\omega) E(\omega) e^{2ik(\omega)z}$$

$$k(\omega) = \frac{\omega}{c} n(\omega) \qquad k(2\omega) = \frac{2\omega}{c} n(2\omega)$$



$$P(z, 2\omega) = \chi^{(2)} E(\omega) E(\omega) e^{2ik(\omega)z}$$

$$k(\omega) = \frac{\omega}{c} n(\omega) \qquad k(2\omega) = \frac{2\omega}{c} n(2\omega)$$

for constructive interference require:

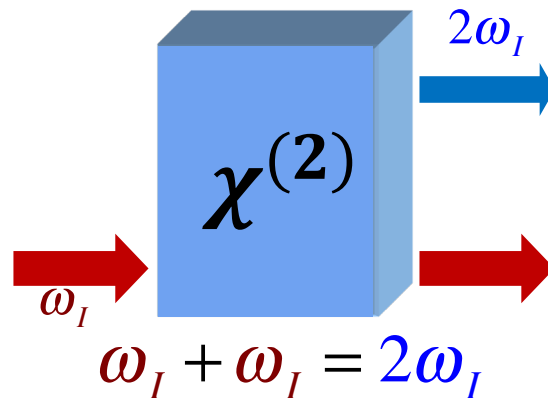
$$k(2\omega) - 2k(\omega) = \frac{2\omega}{c} n(2\omega) - 2\frac{\omega}{c} n(\omega) = 0$$

"phase matching condition"

$$E(t) = \left(E(\omega_s) e^{-i\omega_s t} + E(-\omega_s) e^{i\omega_s t} \right) \\ + \left(E(\omega_I) e^{-i\omega_I t} + E(-\omega_I) e^{i\omega_I t} \right)$$

$$E(t) = \left(E(\omega_s) e^{-i\omega_s t} + E(-\omega_s) e^{i\omega_s t} \right) \\ + \left(E(\omega_I) e^{-i\omega_I t} + E(-\omega_I) e^{i\omega_I t} \right)$$

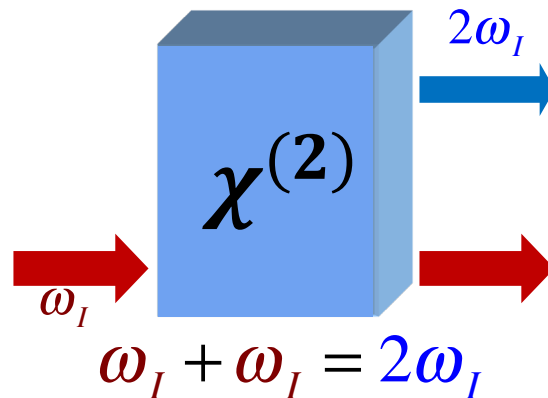
second harmonic generation



$$P(2\omega_I) = \chi^{(2)} E(\omega_I) E(\omega_I)$$

$$E(t) = \left(E(\omega_s) e^{-i\omega_s t} + E(-\omega_s) e^{i\omega_s t} \right) + \left(E(\omega_I) e^{-i\omega_I t} + E(-\omega_I) e^{i\omega_I t} \right)$$

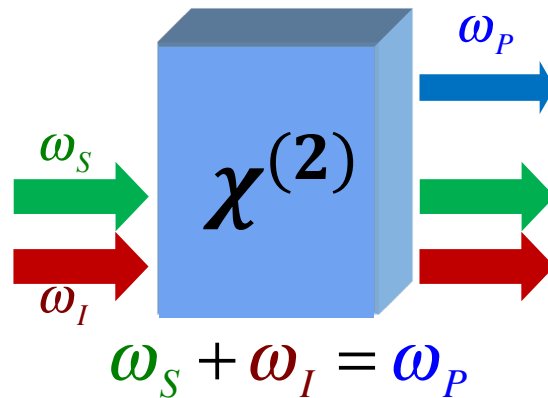
second harmonic generation



.... and similarly for ω_s

$$E(t) = \left(E(\omega_s) e^{-i\omega_s t} + E(-\omega_s) e^{i\omega_s t} \right) \\ + \left(E(\omega_I) e^{-i\omega_I t} + E(-\omega_I) e^{i\omega_I t} \right)$$

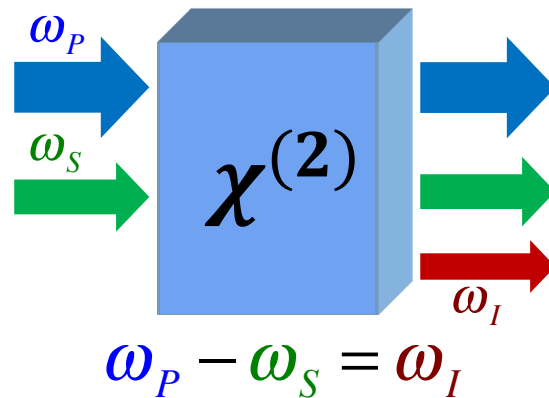
sum frequency generation



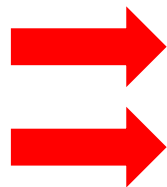
$$P(\omega_I + \omega_S) = \chi^{(2)} E(\omega_I) E(\omega_S)$$

$$E(t) = \left(E(\omega_S) e^{-i\omega_S t} + E(-\omega_S) e^{i\omega_S t} \right) \\ + \left(E(\omega_P) e^{-i\omega_P t} + E(-\omega_P) e^{i\omega_P t} \right)$$

difference frequency generation

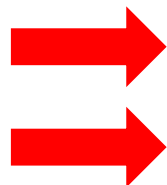


$$P(\omega_P - \omega_S) = \chi^{(2)} E(\omega_P) E(-\omega_S)$$

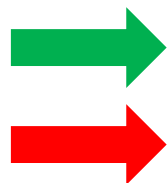


$$\chi^{(2)}(-2\omega; \omega, \omega)$$



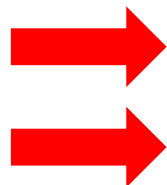


$$\chi^{(2)}(-2\omega; \omega, \omega)$$

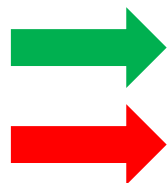


$$\chi^{(2)}(-\omega; 2\omega, -\omega)$$

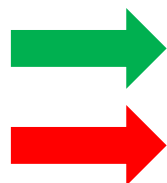




$$\chi^{(2)}(-2\omega; \omega, \omega)$$

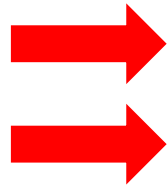


$$\chi^{(2)}(-\omega; 2\omega, -\omega)$$



$$\chi^{(2)}(-3\omega; 2\omega, \omega)$$





$$\chi^{(2)}(-2\omega; \omega, \omega)$$



*undepleted
pump
approximation*

$\chi^{(2)}$ *effects*

sum frequency generation

$$\chi_{ijk}^{(2)}(-\omega_1 - \omega_2; \omega_1, \omega_2)$$

$\chi^{(2)}$ effects

sum frequency generation

$$\chi_{ijk}^{(2)}(-\omega_1 - \omega_2; \omega_1, \omega_2)$$

second harmonic generation

$$\chi_{ijk}^{(2)}(-2\omega; \omega, \omega)$$

$\chi^{(2)}$ effects

sum frequency generation

$$\chi_{ijk}^{(2)}(-\omega_1 - \omega_2; \omega_1, \omega_2)$$

second harmonic generation

$$\chi_{ijk}^{(2)}(-2\omega; \omega, \omega)$$

*linear electro-optic effect
(Pockels effect)*

$$\chi_{ijk}^{(2)}(-\omega; \omega, 0)$$

$$P(\omega) \propto E(\omega)E_{DC}$$

$\chi^{(2)}$ effects

sum frequency generation

$$\chi_{ijk}^{(2)}(-\omega_1 - \omega_2; \omega_1, \omega_2)$$

second harmonic generation

$$\chi_{ijk}^{(2)}(-2\omega; \omega, \omega)$$

*linear electro-optic effect
(Pockels effect)*

$$\chi_{ijk}^{(2)}(-\omega; \omega, 0)$$

$$P(\omega) \propto E(\omega)E_{DC}$$

difference frequency generation

$$\chi_{ijk}^{(2)}(-\omega_1 + \omega_2; \omega_1, -\omega_2)$$

$\chi^{(2)}$ effects

sum frequency generation

$$\chi_{ijk}^{(2)}(-\omega_1 - \omega_2; \omega_1, \omega_2)$$

second harmonic generation

$$\chi_{ijk}^{(2)}(-2\omega; \omega, \omega)$$

*linear electro-optic effect
(Pockels effect)*

$$\chi_{ijk}^{(2)}(-\omega; \omega, 0)$$

$$P(\omega) \propto E(\omega)E_{DC}$$

difference frequency generation

$$\chi_{ijk}^{(2)}(-\omega_1 + \omega_2; \omega_1, -\omega_2)$$

optical rectification

$$\chi_{ijk}^{(2)}(0; \omega, -\omega)$$

$$P_{DC} \propto E(\omega)E(-\omega)$$

$\chi^{(2)}$ effects

sum frequency generation

$$\chi_{ijk}^{(2)}(-\omega_1 - \omega_2; \omega_1, \omega_2)$$

second harmonic generation

$$\chi_{ijk}^{(2)}(-2\omega; \omega, \omega)$$

*linear electro-optic effect
(Pockels effect)*

$$\chi_{ijk}^{(2)}(-\omega; \omega, 0)$$

$$P(\omega) \propto E(\omega)E_{DC}$$

difference frequency generation

$$\chi_{ijk}^{(2)}(-\omega_1 + \omega_2; \omega_1, -\omega_2)$$

optical rectification

$$\chi_{ijk}^{(2)}(0; \omega, -\omega)$$

$$P_{DC} \propto |E(\omega)|^2$$

Susceptibilities

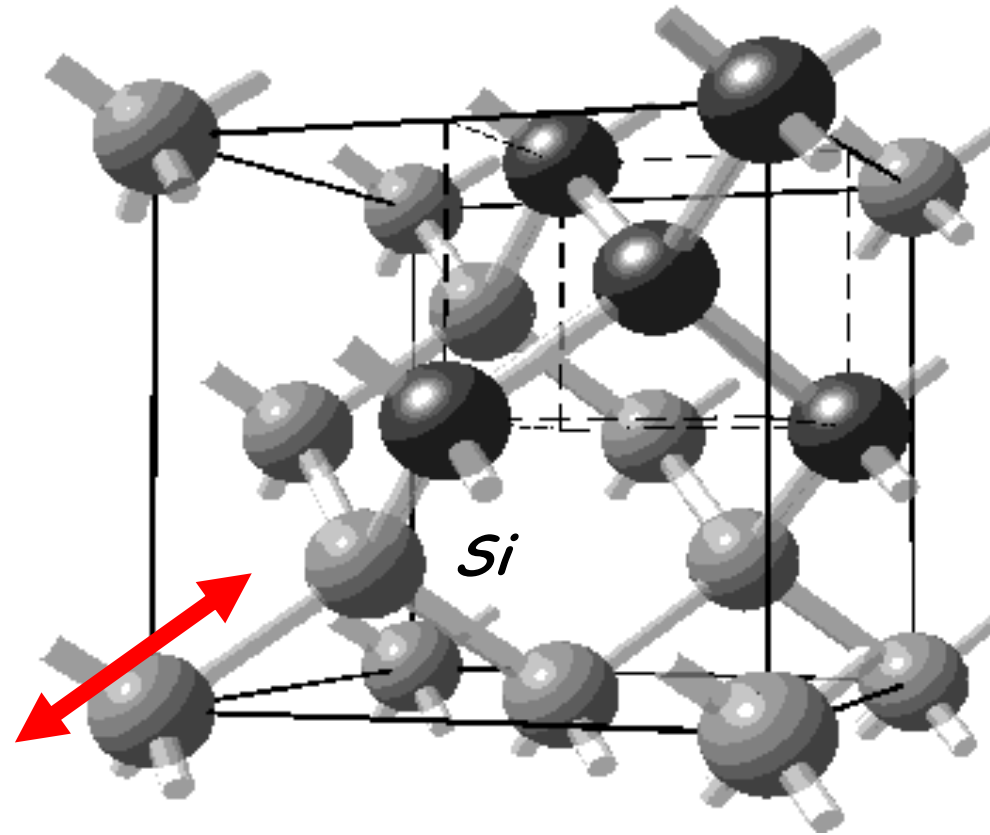
$\chi^{(2)}$ *effects*

$\chi^{(3)}$ *effects*

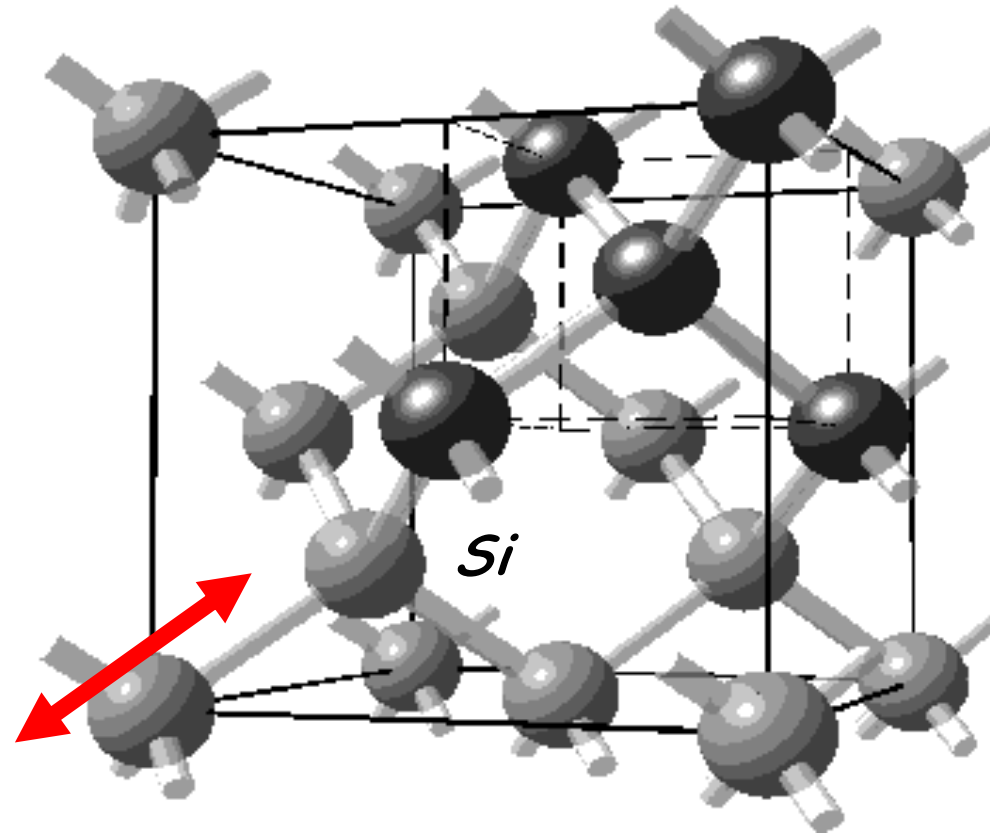
Quantum nonlinear optics

Nonlinear optics and electronics

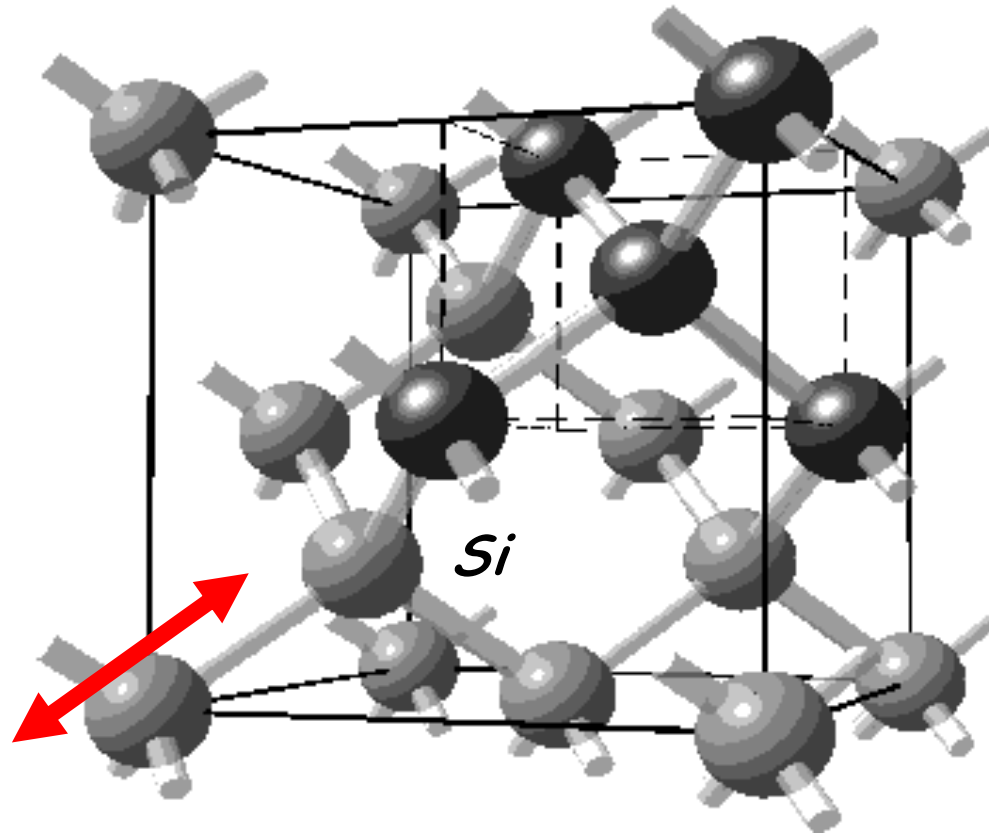
Forbidden processes



$$P(2\omega) = \chi^{(2)} E(\omega) E(\omega)$$



$$P(2\omega) = \chi^{(2)} E(\omega) E(\omega)$$



$$P(2\omega) = \chi^{(2)} E(\omega) E(\omega)$$

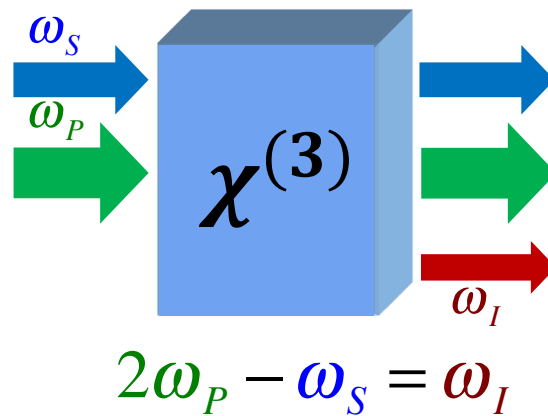
$$P(3\omega) = \chi^{(3)} E(\omega) E(\omega) E(\omega)$$

third harmonic generation

$$E(t) = \left(E(\omega_s) e^{-i\omega_s t} + E(-\omega_s) e^{i\omega_s t} \right) \\ + \left(E(\omega_p) e^{-i\omega_p t} + E(-\omega_p) e^{i\omega_p t} \right)$$

$$E(t) = \left(E(\omega_s) e^{-i\omega_s t} + E(-\omega_s) e^{i\omega_s t} \right) + \left(E(\omega_p) e^{-i\omega_p t} + E(-\omega_p) e^{i\omega_p t} \right)$$

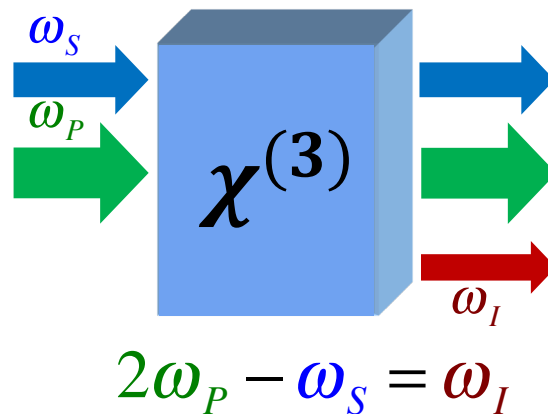
four wave mixing



$$P(2\omega_P - \omega_S) = \chi^{(3)} E(\omega_P) E(\omega_P) E(-\omega_S)$$

$$E(t) = \left(E(\omega_s) e^{-i\omega_s t} + E(-\omega_s) e^{i\omega_s t} \right) + \left(E(\omega_p) e^{-i\omega_p t} + E(-\omega_p) e^{i\omega_p t} \right)$$

four wave mixing



$$P(2\omega_P - \omega_S) = \chi^{(3)} E(\omega_P) E(\omega_P) E(-\omega_S)$$

and many others!

$\chi^{(3)}$ effects

third harmonic generation

$$\chi_{ijkl}^{(3)}(-3\omega; \omega, \omega, \omega)$$

$\chi^{(3)}$ *effects*

third harmonic generation

$$\chi_{ijkl}^{(3)}(-3\omega; \omega, \omega, \omega)$$

four wave mixing

$$\chi_{ijkl}^{(3)}(-2\omega_P + \omega_S; \omega_P, \omega_P, -\omega_S)$$

$\chi^{(3)}$ *effects*

third harmonic generation

$$\chi_{ijkl}^{(3)}(-3\omega; \omega, \omega, \omega)$$

four wave mixing

$$\chi_{ijkl}^{(3)}(-2\omega_P + \omega_S; \omega_P, \omega_P, -\omega_S)$$

*including CARS
(coherent anti-Stokes
Raman scattering)*

$\chi^{(3)}$ effects

third harmonic generation

$$\chi_{ijkl}^{(3)}(-3\omega; \omega, \omega, \omega)$$

four wave mixing

$$\chi_{ijkl}^{(3)}(-2\omega_P + \omega_S; \omega_P, \omega_P, -\omega_S)$$

self-phase modulation

$$\chi_{ijkl}^{(3)}(-\omega; \omega, \omega, -\omega)$$

*including CARS
(coherent anti-Stokes
Raman scattering)*

$$P(\omega) \propto E(\omega) |E(\omega)|^2$$

$\chi^{(3)}$ effects

third harmonic generation

$$\chi_{ijkl}^{(3)}(-3\omega; \omega, \omega, \omega)$$

four wave mixing

$$\chi_{ijkl}^{(3)}(-2\omega_P + \omega_S; \omega_P, \omega_P, -\omega_S)$$

*including CARS
(coherent anti-Stokes
Raman scattering)*

self-phase modulation

$$\chi_{ijkl}^{(3)}(-\omega; \omega, \omega, -\omega)$$

$$P(\omega) \propto E(\omega) |E(\omega)|^2$$

cross-phase modulation

$$\chi_{ijkl}^{(3)}(-\omega_1; \omega_1, \omega_2, -\omega_2)$$

$$P(\omega_1) \propto E(\omega_1) |E(\omega_2)|^2$$

$\chi^{(3)}$ effects

third harmonic generation

$$\chi_{ijkl}^{(3)}(-3\omega; \omega, \omega, \omega)$$

four wave mixing

$$\chi_{ijkl}^{(3)}(-2\omega_P + \omega_S; \omega_P, \omega_P, -\omega_S)$$

self-phase modulation

$$\chi_{ijkl}^{(3)}(-\omega; \omega, \omega, -\omega)$$

cross-phase modulation

$$\chi_{ijkl}^{(3)}(-\omega_1; \omega_1, \omega_2, -\omega_2)$$

*including CARS
(coherent anti-Stokes
Raman scattering)*

$$P(\omega) \propto E(\omega) |E(\omega)|^2$$

*including SRS
(stimulated Raman
scattering)*

$\chi^{(3)}$ effects

third harmonic generation

$$\chi_{ijkl}^{(3)}(-3\omega; \omega, \omega, \omega)$$

four wave mixing

$$\chi_{ijkl}^{(3)}(-2\omega_P + \omega_S; \omega_P, \omega_P, -\omega_S)$$

*including CARS
(coherent anti-Stokes
Raman scattering)*

self-phase modulation

$$\chi_{ijkl}^{(3)}(-\omega; \omega, \omega, -\omega)$$

$$P(\omega) \propto E(\omega) |E(\omega)|^2$$

cross-phase modulation

$$\chi_{ijkl}^{(3)}(-\omega_1; \omega_1, \omega_2, -\omega_2)$$

*including SRS
(stimulated Raman
scattering)*

*electro-optic effect
(Kerr effect)*

$$\chi_{ijkl}^{(3)}(-\omega; \omega, 0, 0)$$

$$P(\omega) \propto E(\omega) E_{DC}^2$$

Susceptibilities

$\chi^{(2)}$ *effects*

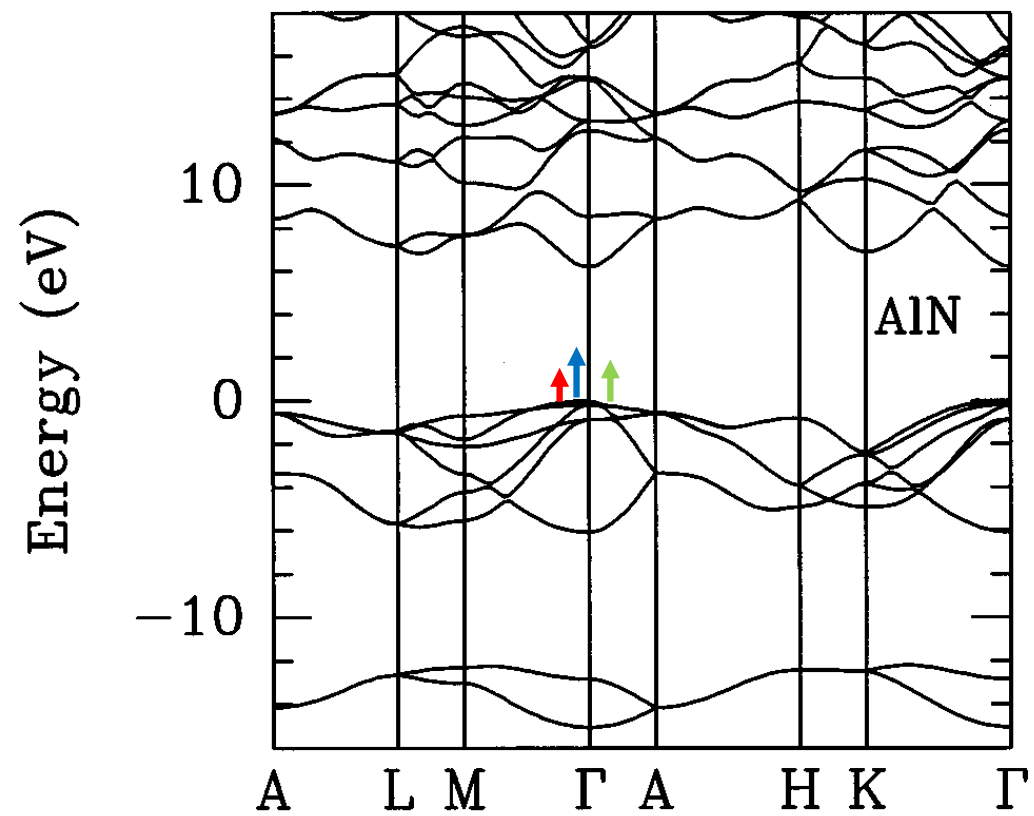
$\chi^{(3)}$ *effects*

Quantum nonlinear optics

Nonlinear optics and electronics

Forbidden processes

$\hbar\omega_3$
 $\hbar\omega_1$ $\hbar\omega_2$

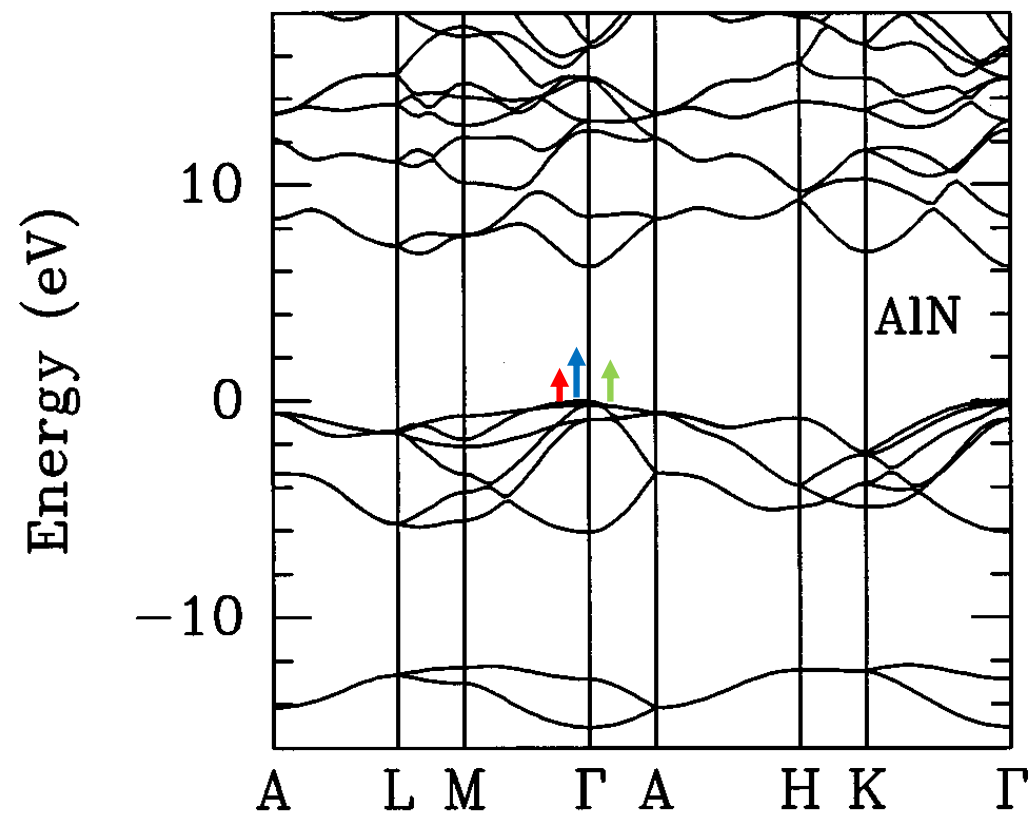


$$\hbar\omega_1 \quad \hbar\omega_2 \quad \hbar\omega_3$$

If

$$\hbar\omega_i \ll E_{gap}$$

$$\sum_i \hbar\omega_i \ll E_{gap}$$



$$\hbar\omega_3$$

$$\hbar\omega_1 \quad \hbar\omega_2$$

If

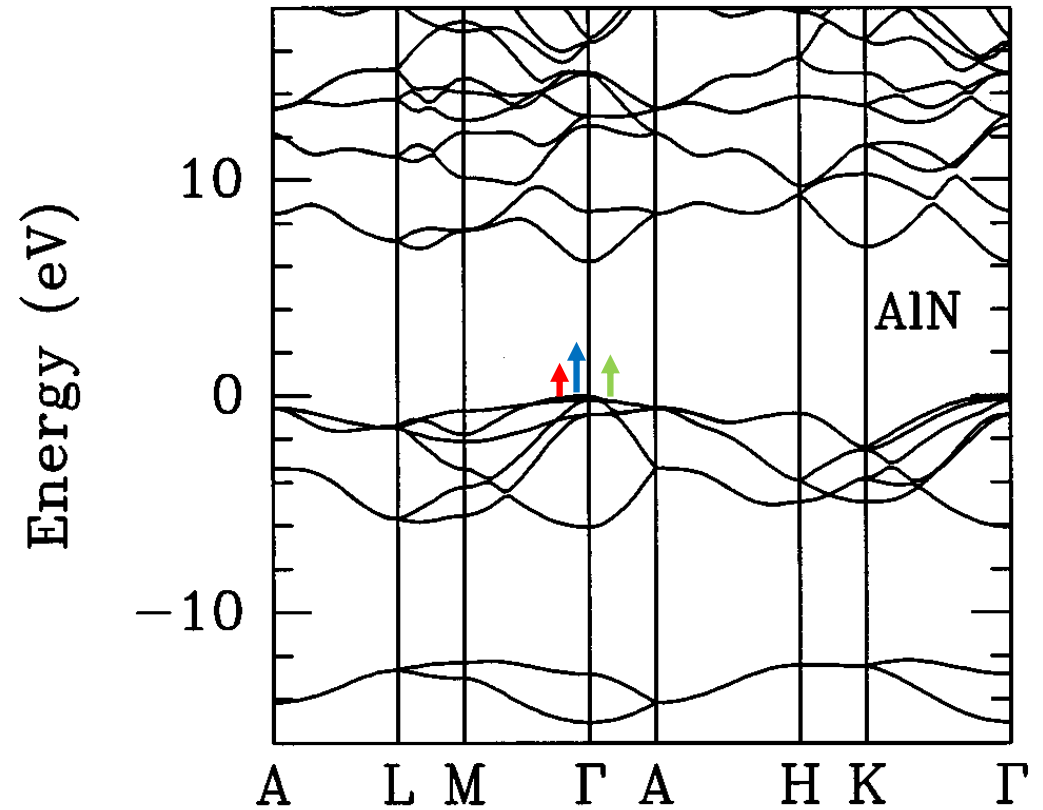
$$\hbar\omega_i \ll E_{gap}$$

$$\sum_i \hbar\omega_i \ll E_{gap}$$

$$\chi_{ijk}^{(2)}(-\omega_1 - \omega_2; \omega_1, \omega_2) \Rightarrow \chi_{ijk}^{(2)}$$

$$\chi_{ijkl}^{(3)}(-\omega_1 - \omega_2 - \omega_3; \omega_1, \omega_2, \omega_3) \Rightarrow \chi_{ijkl}^{(3)}$$

$n(\omega_i)$ real



*independent of order
of Cartesian indices*

real

Quantum nonlinear optics

If

$$\hbar\omega_i \ll E_{gap}$$

$$\sum_i \hbar\omega_i \ll E_{gap}$$

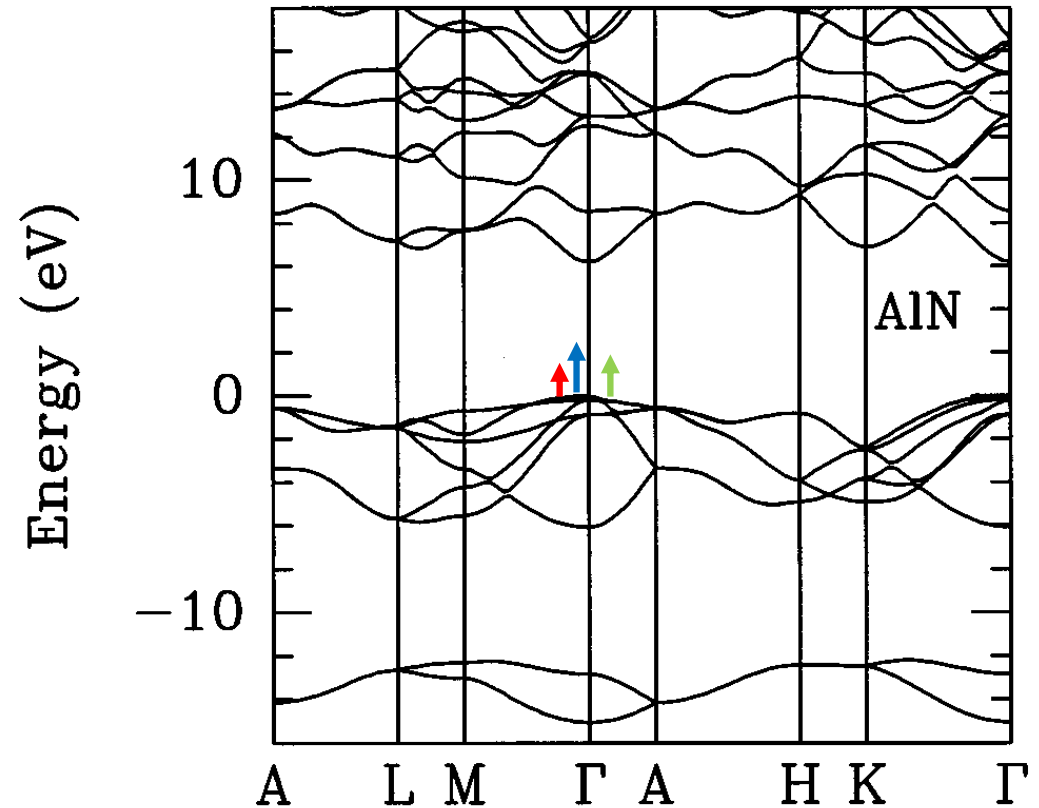
$$\chi_{ijk}^{(2)}(-\omega_1 - \omega_2; \omega_1, \omega_2) \Rightarrow \chi_{ijk}^{(2)}$$

$$\chi_{ijkl}^{(3)}(-\omega_1 - \omega_2 - \omega_3; \omega_1, \omega_2, \omega_3) \Rightarrow \chi_{ijkl}^{(3)}$$

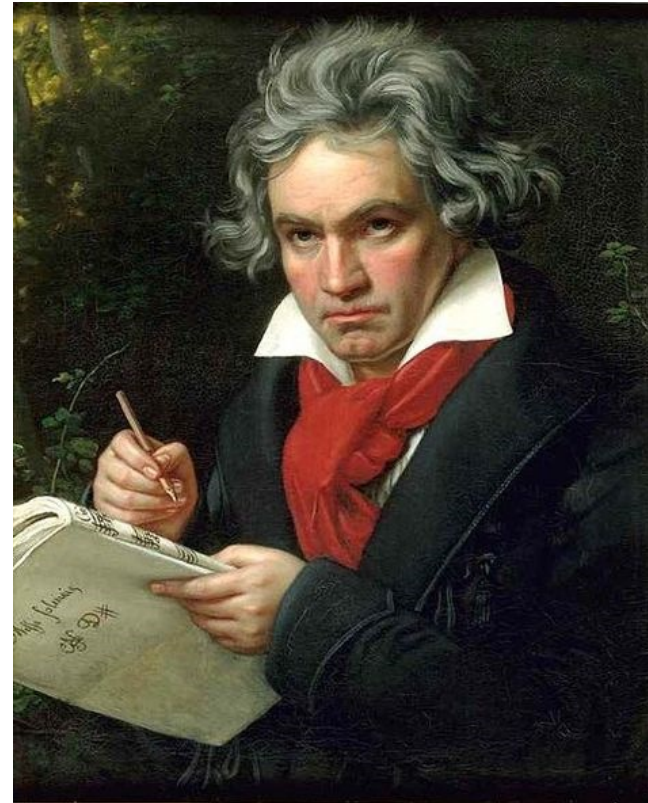
*independent of order
of Cartesian indices*

real

$n(\omega_i)$ real



Classical nonlinear optics



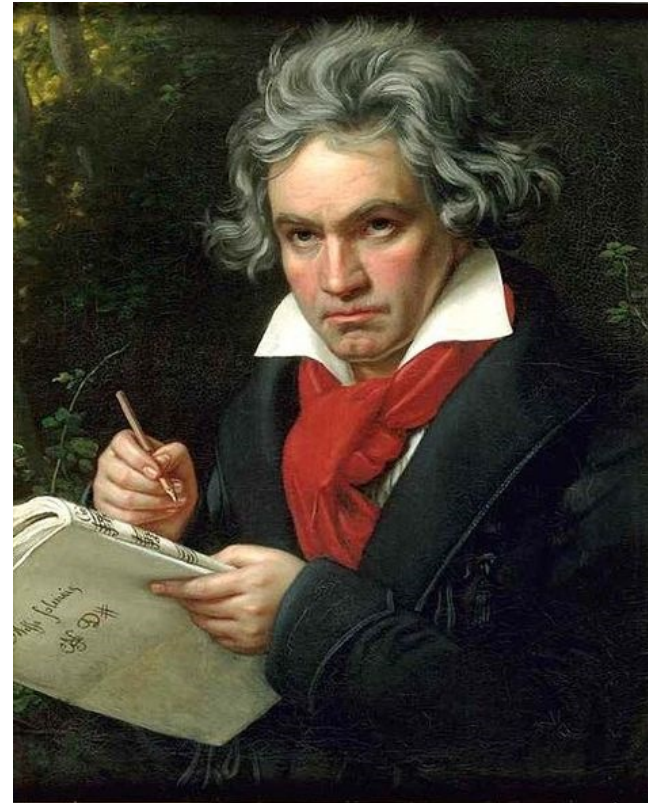
*nonlinear polarizations,
envelope functions,
coupled mode equations,...*

Quantum nonlinear optics

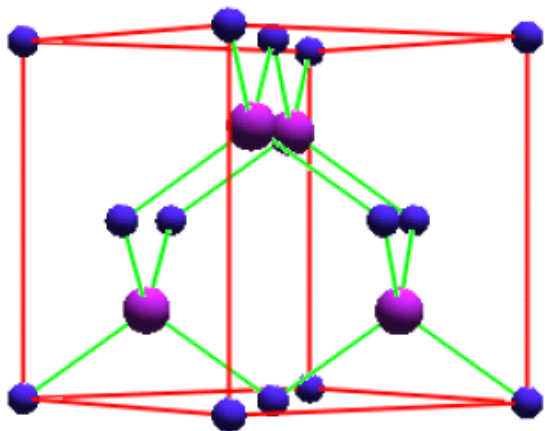


Hamiltonians, raising and lowering operators, Wigner functions,...

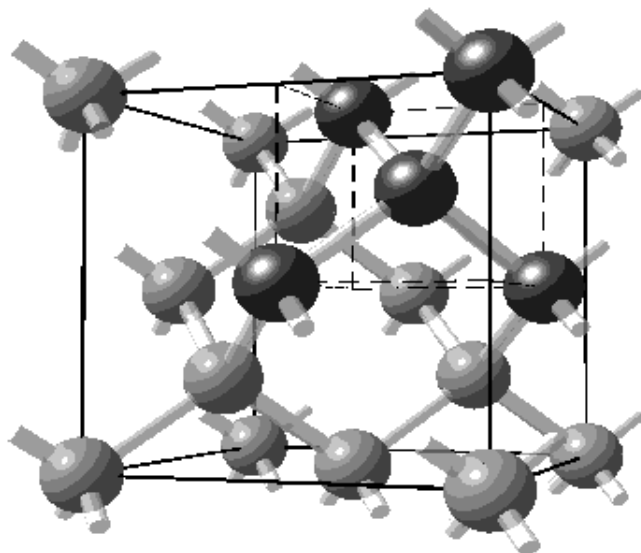
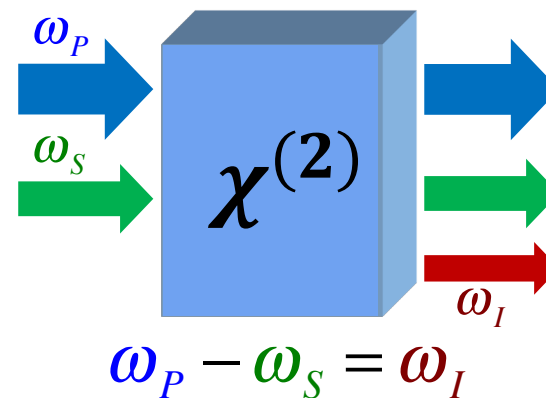
Classical nonlinear optics



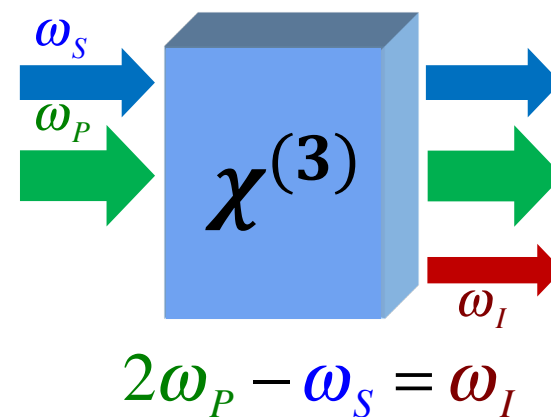
nonlinear polarizations, envelope functions, coupled mode equations,...



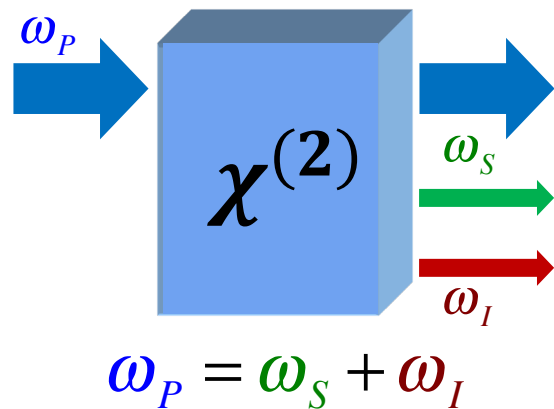
difference frequency generation



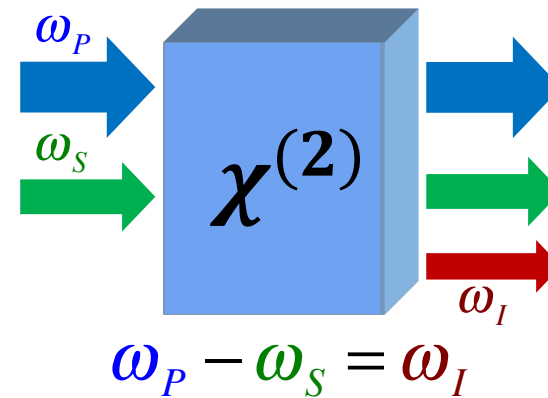
four wave mixing



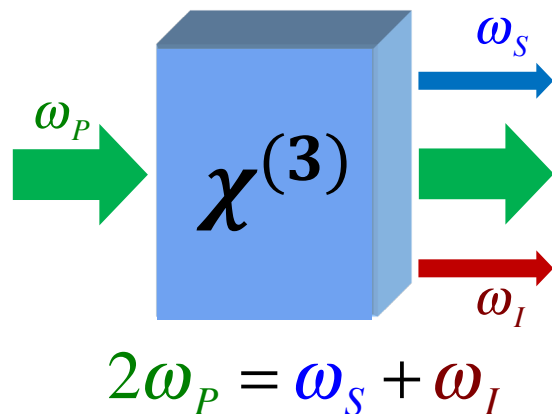
spontaneous parametric down conversion



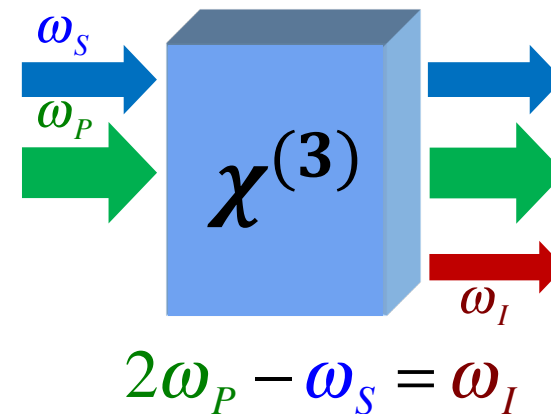
difference frequency generation



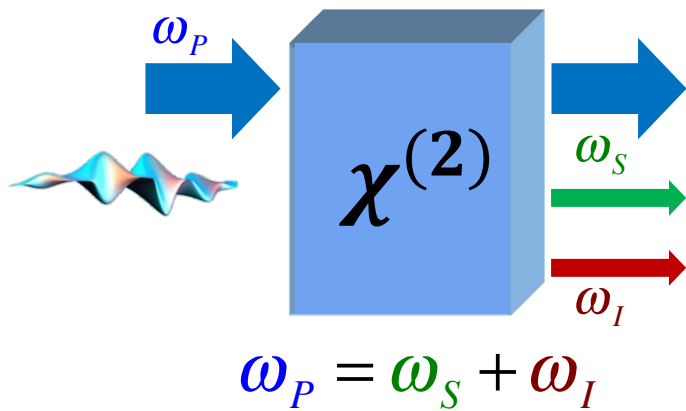
spontaneous four wave mixing



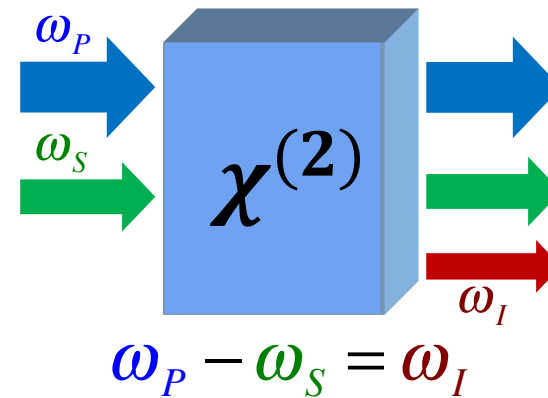
four wave mixing



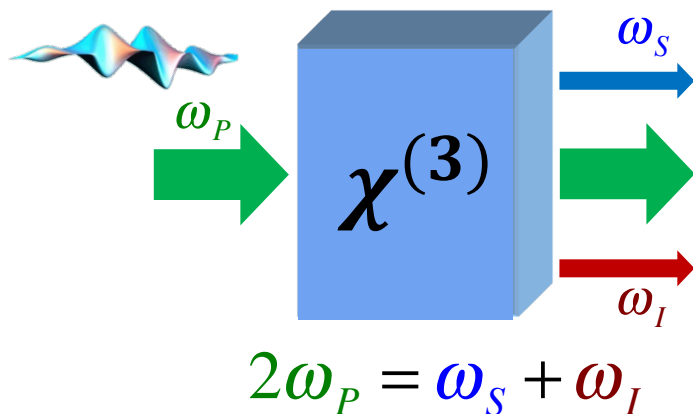
spontaneous parametric down conversion



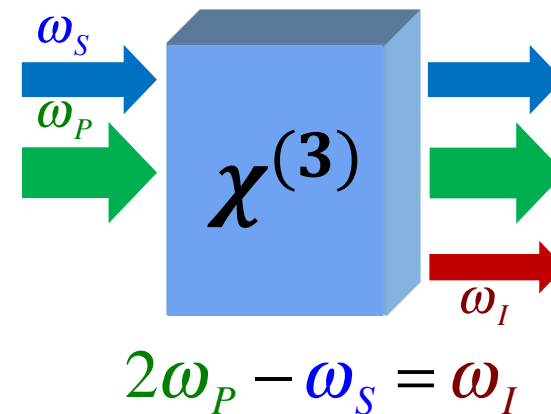
difference frequency generation



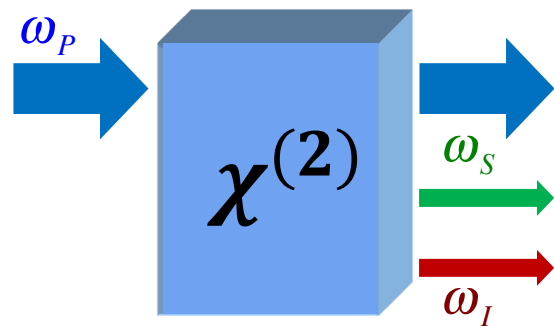
spontaneous four wave mixing



four wave mixing



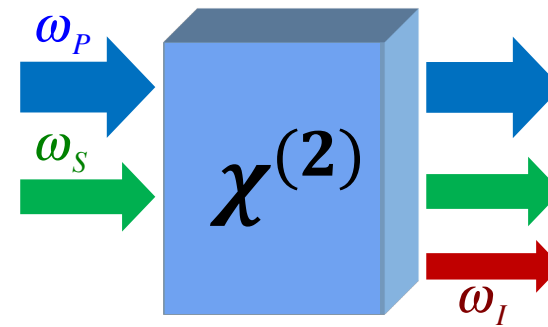
spontaneous parametric down conversion



$$\omega_P = \omega_S + \omega_I$$

$$a_P a_S^\dagger a_I^\dagger$$

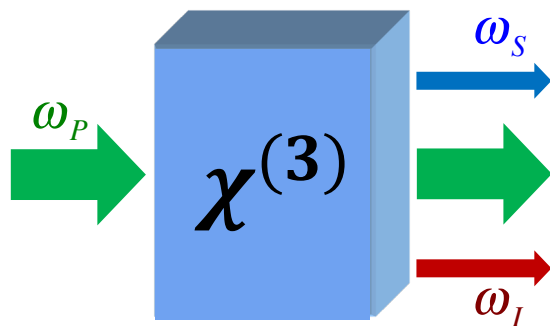
difference frequency generation



$$\omega_P - \omega_S = \omega_I$$

$$a_P a_S^\dagger a_I^\dagger$$

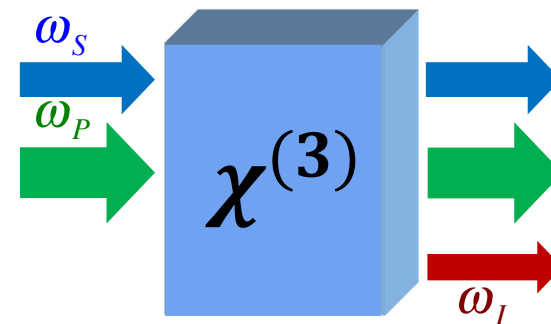
spontaneous four wave mixing



$$2\omega_P = \omega_S + \omega_I$$

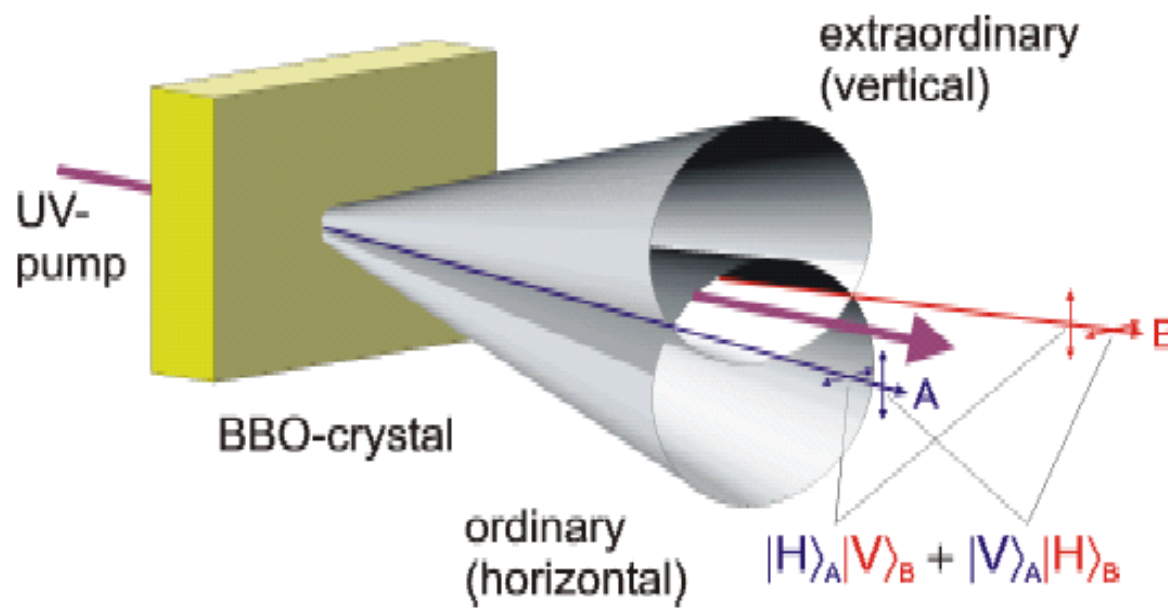
$$a_P a_P a_S^\dagger a_I^\dagger$$

four wave mixing



$$2\omega_P - \omega_S = \omega_I$$

$$a_P a_P a_S^\dagger a_I^\dagger$$



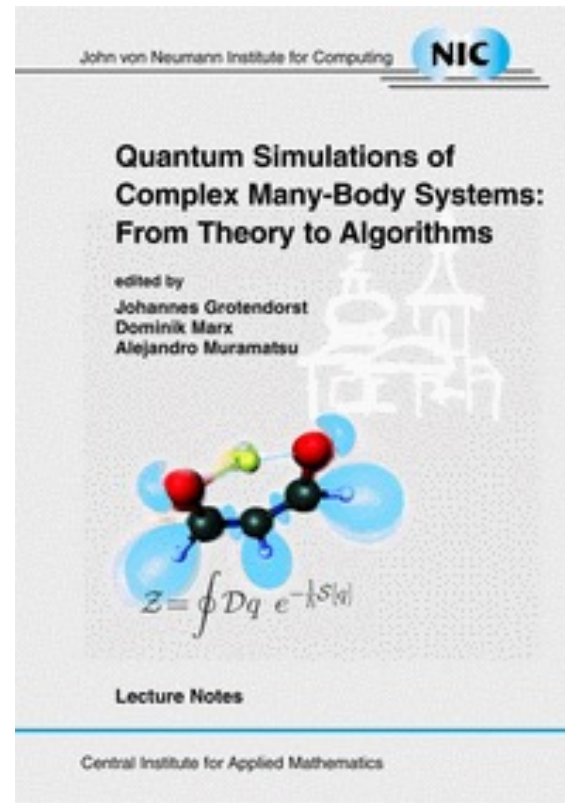
Kwiat et al. PRL 75 4337 (1995)

$$|\psi\rangle = \frac{1}{\sqrt{2}} \left(|H\rangle_A |V\rangle_B + |V\rangle_A |H\rangle_B \right)$$

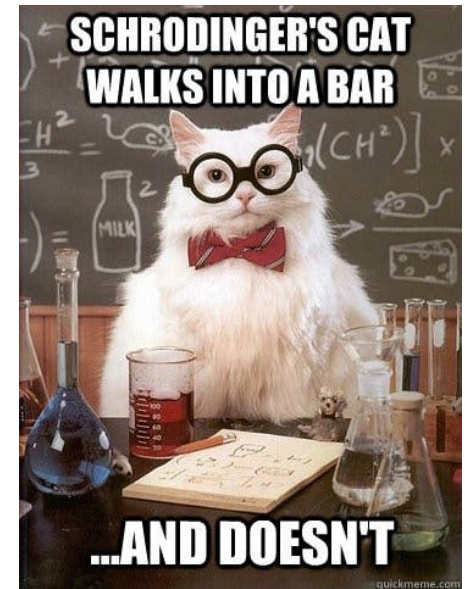
$$|\psi\rangle \neq |\gamma\rangle_A |\sigma\rangle_B$$



*quantum information
processing*



*quantum simulation
/computing*



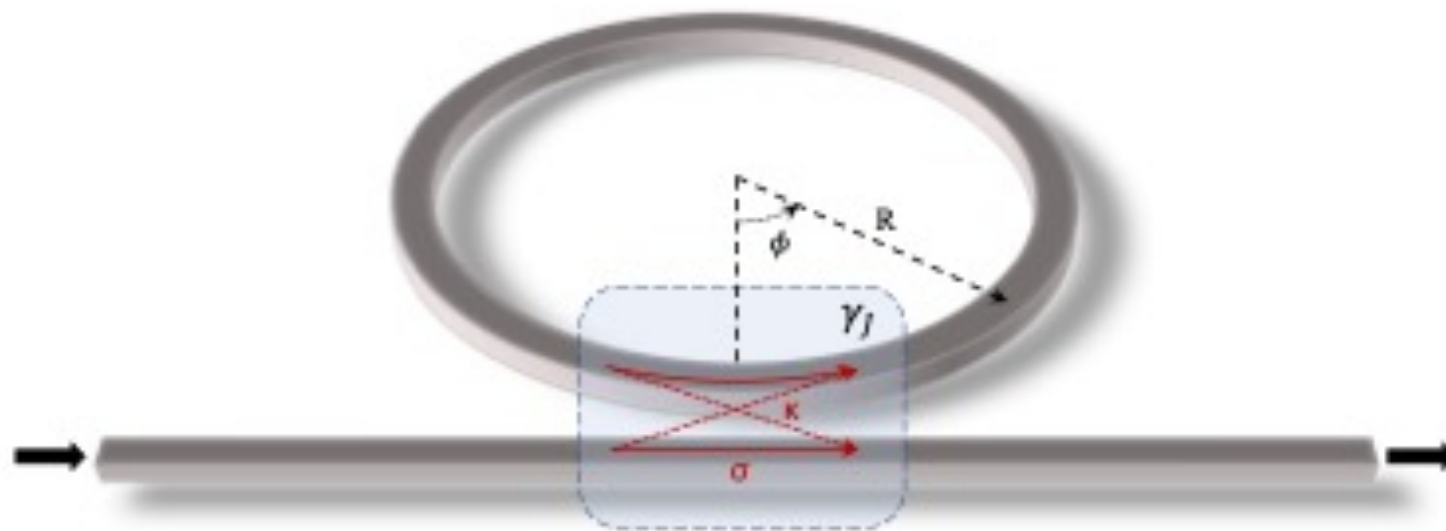
*quantum
mechanics*



XANADU

Photonic Quantum Computing





*"Beyond photon pairs: nonlinear quantum photonics
in the high-gain regime"*
Advances in Optics and Photonics 14, 291 (2022)

Susceptibilities

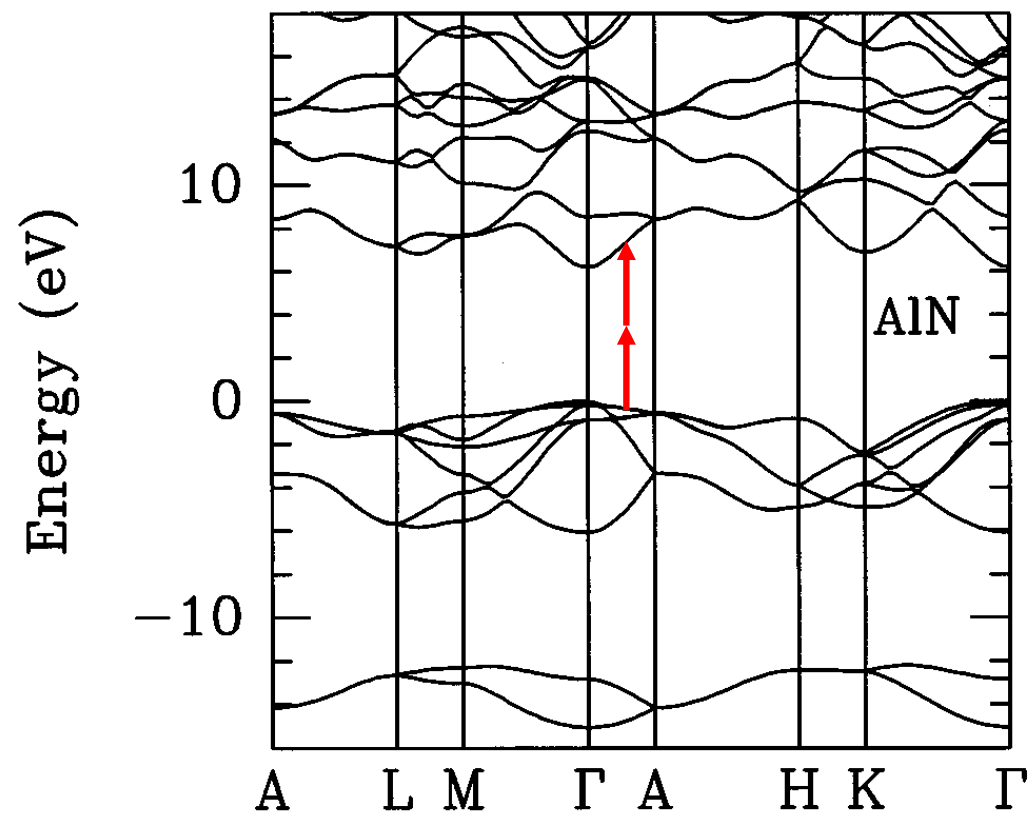
$\chi^{(2)}$ *effects*

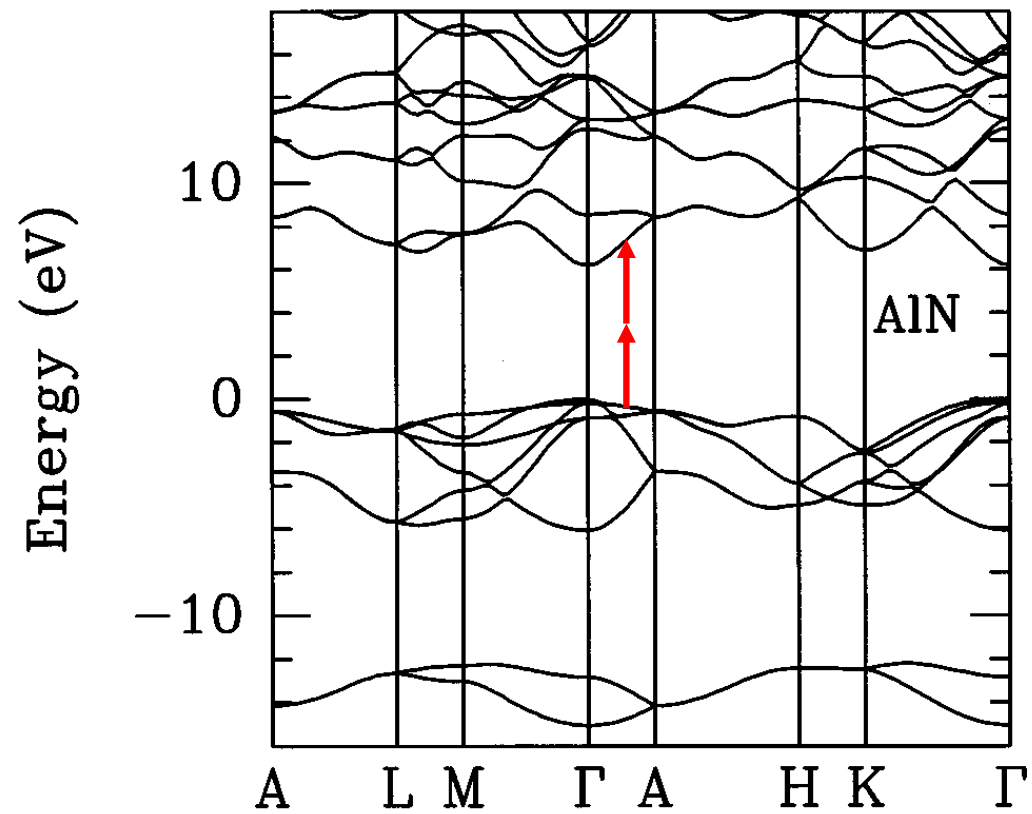
$\chi^{(3)}$ *effects*

Quantum nonlinear optics

Nonlinear optics and electronics

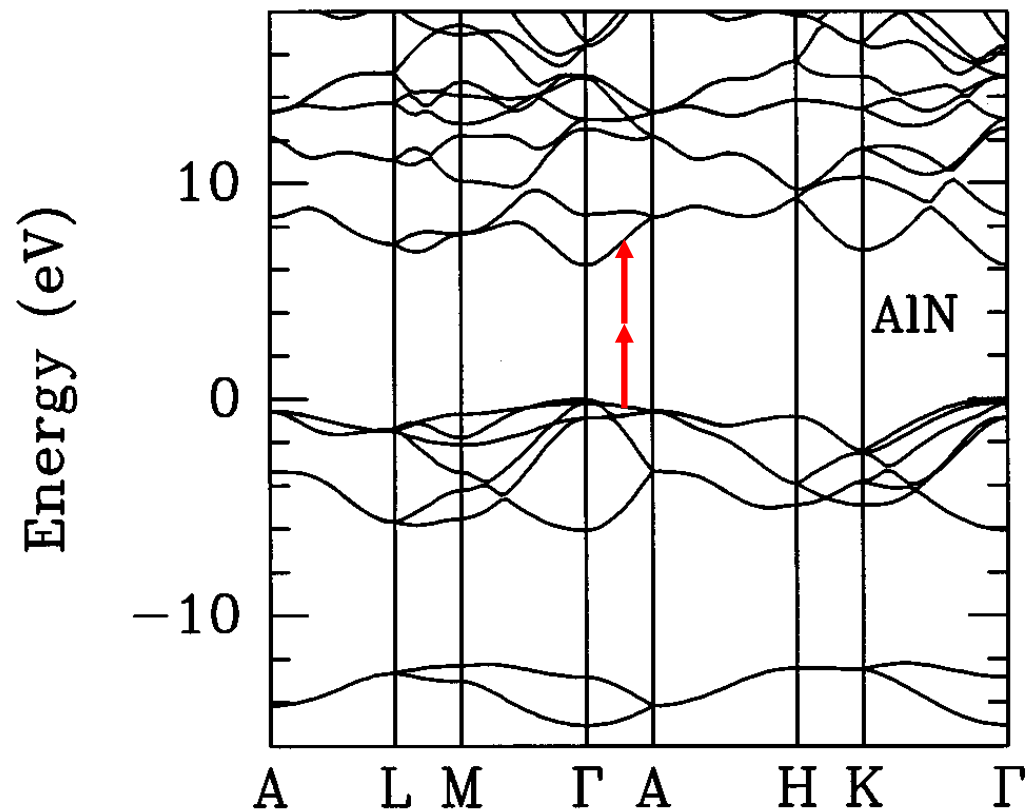
Forbidden processes





$$\chi_{ijkl}^{(3)}(-\omega; \omega, \omega, -\omega)$$

*acquires an
imaginary part*



$$\chi_{ijkl}^{(3)}(-\omega; \omega, \omega, -\omega)$$

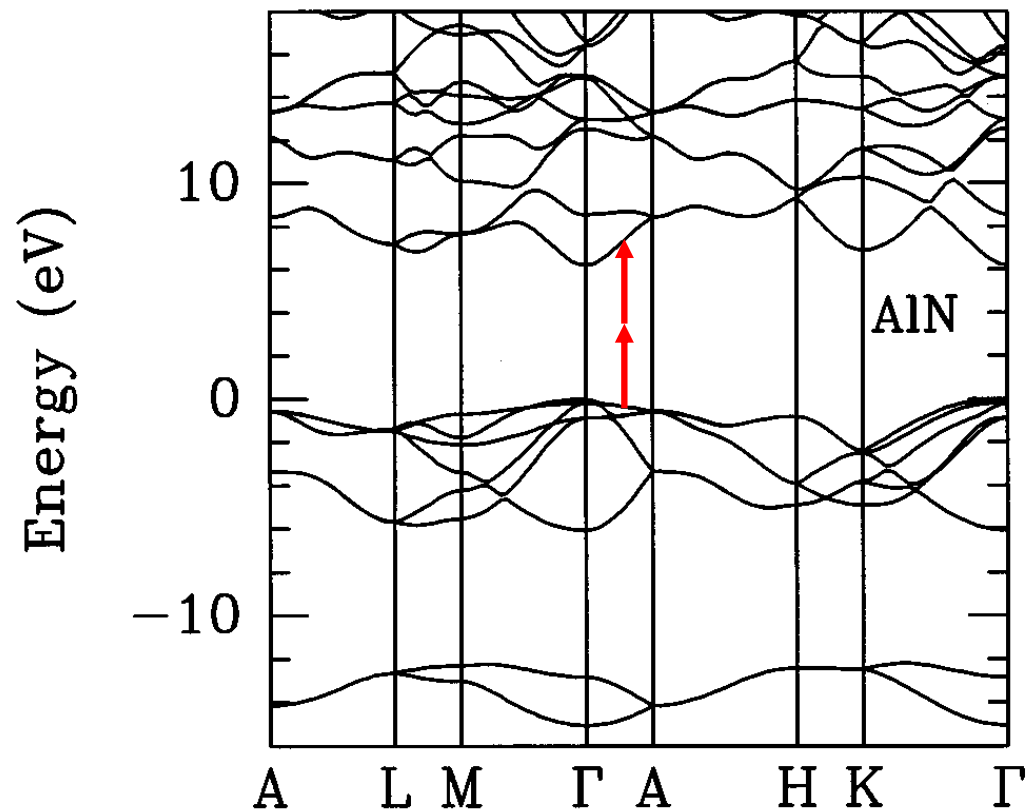
*acquires an
imaginary part*

$$P(\omega) \propto E(\omega) |E(\omega)|^2$$

$$\text{Im} \left(\chi_{ijkl}^{(3)}(-\omega; \omega, \omega, -\omega) \right)$$



two-photon absorption



$$\chi_{ijkl}^{(3)}(-\omega; \omega, \omega, -\omega)$$

*acquires an
imaginary part*

$$P(\omega) \propto E(\omega) |E(\omega)|^2$$

$$\text{Im} \left(\chi_{ij}^{(1)}(-\omega, \omega) \right)$$



one-photon absorption

$$\text{Im} \left(\chi_{ijkl}^{(3)}(-\omega; \omega, \omega, -\omega) \right)$$



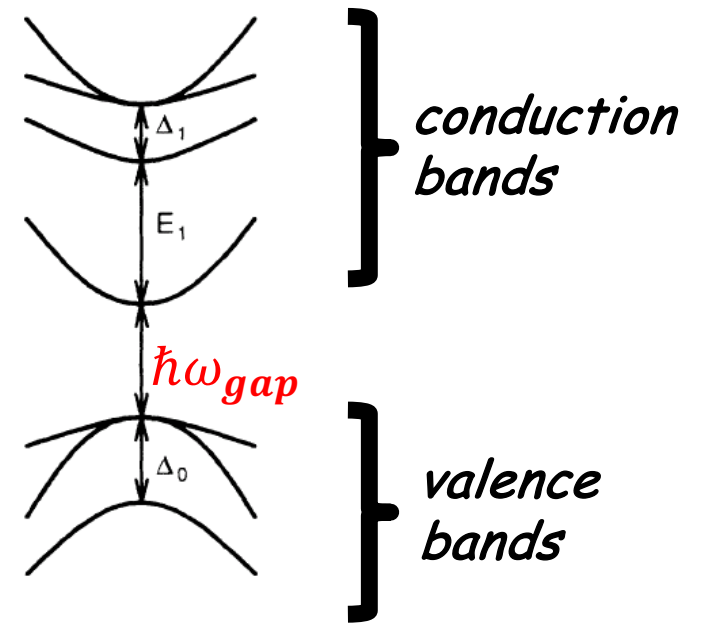
two-photon absorption

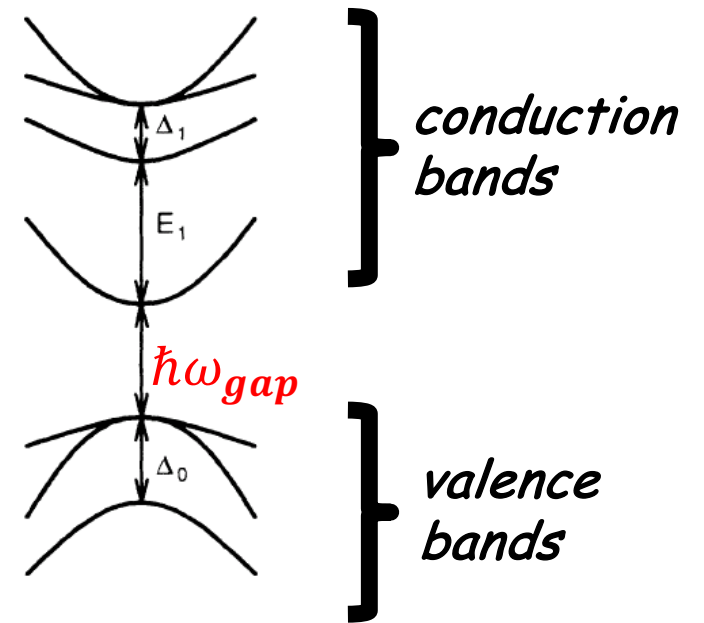
$$\chi_{ijk}^{(2)}(-\omega_{\Sigma}; \omega_1, \omega_2) =$$

$$\bar{\chi}_{ijk}^{(2)}(-\omega_{\Sigma}; \omega_1, \omega_2) + \frac{\sigma_{ijk}^{(2)}(-\omega_{\Sigma}; \omega_1, \omega_2)}{(-i\omega_{\Sigma})} + \frac{\eta_{ijk}^{(2)}(-\omega_{\Sigma}; \omega_1, \omega_2)}{(-i\omega_{\Sigma})^2}$$

with

$$\omega_{\Sigma} = \omega_1 + \omega_2$$





$$\chi_{ijk}^{(2)}(-\omega_{\Sigma}; \omega_1, \omega_2) =$$

$$\bar{\chi}_{ijk}^{(2)}(-\omega_{\Sigma}; \omega_1, \omega_2) + \frac{\sigma_{ijk}^{(2)}(-\omega_{\Sigma}; \omega_1, \omega_2)}{(-i\omega_{\Sigma})} + \frac{\eta_{ijk}^{(2)}(-\omega_{\Sigma}; \omega_1, \omega_2)}{(-i\omega_{\Sigma})^2}$$

with

$$\omega_{\Sigma} = \omega_1 + \omega_2$$

vanish if

$$|\omega_1|, |\omega_2| < \omega_{gap}$$

well-behaved at all frequencies

$$\chi_{ijk}^{(2)}(-\omega_{\Sigma}; \omega_1, \omega_2) =$$

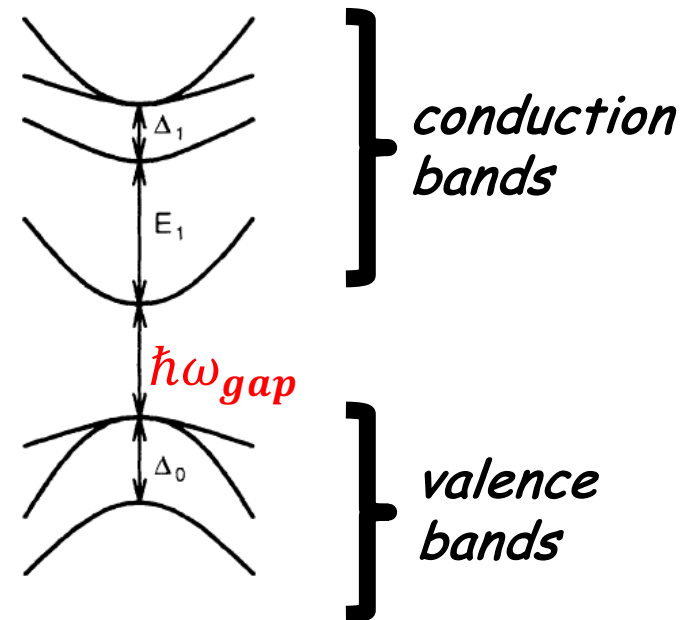
$$\bar{\chi}_{ijk}^{(2)}(-\omega_{\Sigma}; \omega_1, \omega_2) + \frac{\sigma_{ijk}^{(2)}(-\omega_{\Sigma}; \omega_1, \omega_2)}{(-i\omega_{\Sigma})} + \frac{\eta_{ijk}^{(2)}(-\omega_{\Sigma}; \omega_1, \omega_2)}{(-i\omega_{\Sigma})^2}$$

with

$$\omega_{\Sigma} = \omega_1 + \omega_2$$

vanish if

$$|\omega_1|, |\omega_2| < \omega_{gap}$$



Suppose

$$\omega_1 = \omega > \omega_{gap}$$

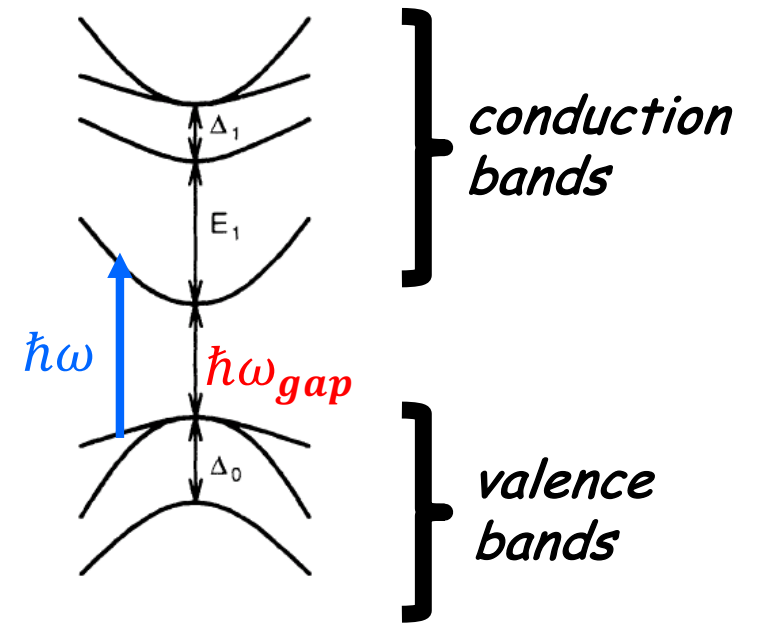
$$\omega_2 = -\omega$$

$$\chi_{ijk}^{(2)}(-\omega_\Sigma; \omega_1, \omega_2) =$$

$$\bar{\chi}_{ijk}^{(2)}(-\omega_\Sigma; \omega_1, \omega_2) + \frac{\sigma_{ijk}^{(2)}(-\omega_\Sigma; \omega_1, \omega_2)}{(-i\omega_\Sigma)} + \frac{\eta_{ijk}^{(2)}(-\omega_\Sigma; \omega_1, \omega_2)}{(-i\omega_\Sigma)^2}$$

with

$$\omega_\Sigma = \omega_1 + \omega_2$$



Suppose

$$\omega_1 = \omega > \omega_{gap}$$

$$\omega_2 = -\omega$$

$$\chi_{ijk}^{(2)}(-\omega_\Sigma; \omega_1, \omega_2) =$$

$$\bar{\chi}_{ijk}^{(2)}(-\omega_\Sigma; \omega_1, \omega_2) + \frac{\sigma_{ijk}^{(2)}(-\omega_\Sigma; \omega_1, \omega_2)}{(-i\omega_\Sigma)} + \frac{\eta_{ijk}^{(2)}(-\omega_\Sigma; \omega_1, \omega_2)}{(-i\omega_\Sigma)^2}$$

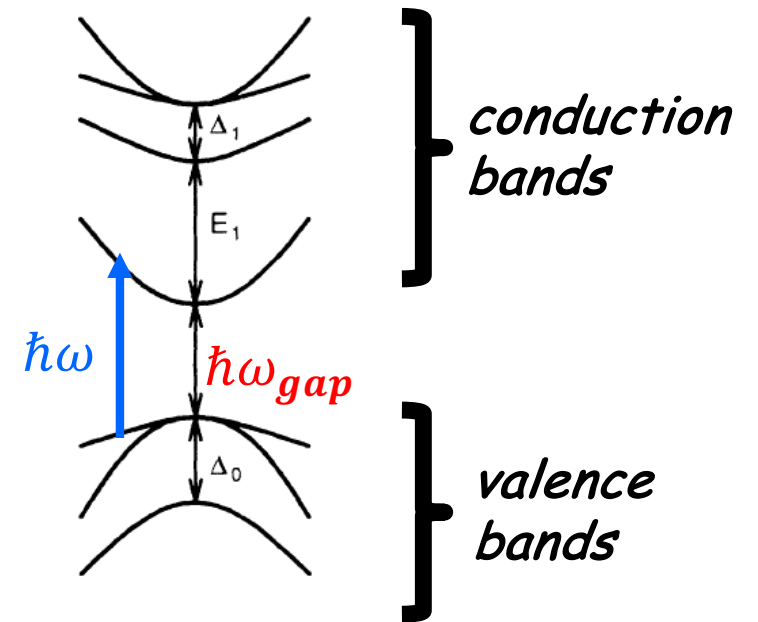
with

$$\omega_\Sigma = \omega_1 + \omega_2$$

$$\omega_\Sigma \rightarrow 0$$

$$\bar{\chi}_{ijk}^{(2)}(0; \omega, -\omega)$$

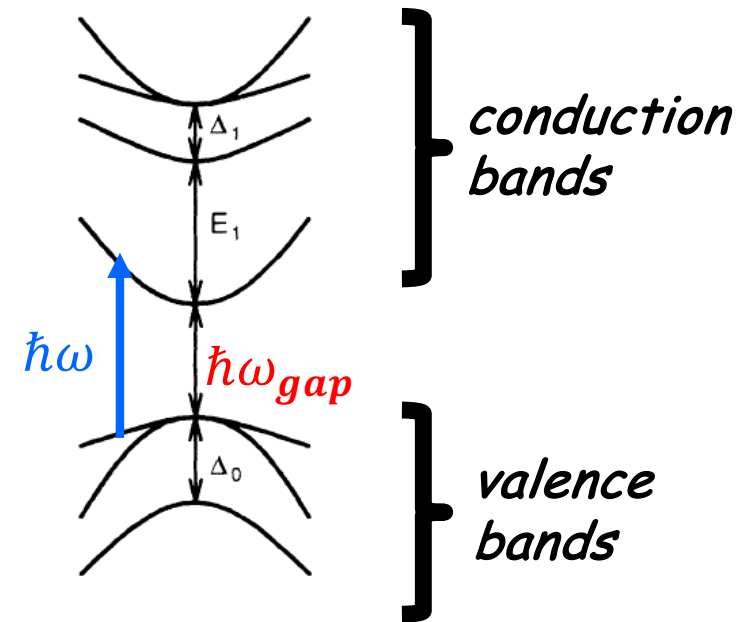
optical rectification



Suppose

$$\omega_1 = \omega > \omega_{gap}$$

$$\omega_2 = -\omega$$



$$\chi_{ijk}^{(2)}(-\omega_\Sigma; \omega_1, \omega_2) =$$

$$\bar{\chi}_{ijk}^{(2)}(-\omega_\Sigma; \omega_1, \omega_2) + \frac{\sigma_{ijk}^{(2)}(-\omega_\Sigma; \omega_1, \omega_2)}{(-i\omega_\Sigma)} + \frac{\eta_{ijk}^{(2)}(-\omega_\Sigma; \omega_1, \omega_2)}{(-i\omega_\Sigma)^2}$$

with

$$\omega_\Sigma = \omega_1 + \omega_2$$

$$\omega_\Sigma \rightarrow 0$$

$$\bar{\chi}_{ijk}^{(2)}(0; \omega, -\omega)$$

optical rectification

*these terms
seem to
diverge!*

?

Suppose

$$\omega_1 = \omega > \omega_{gap}$$

$$\omega_2 = -\omega$$

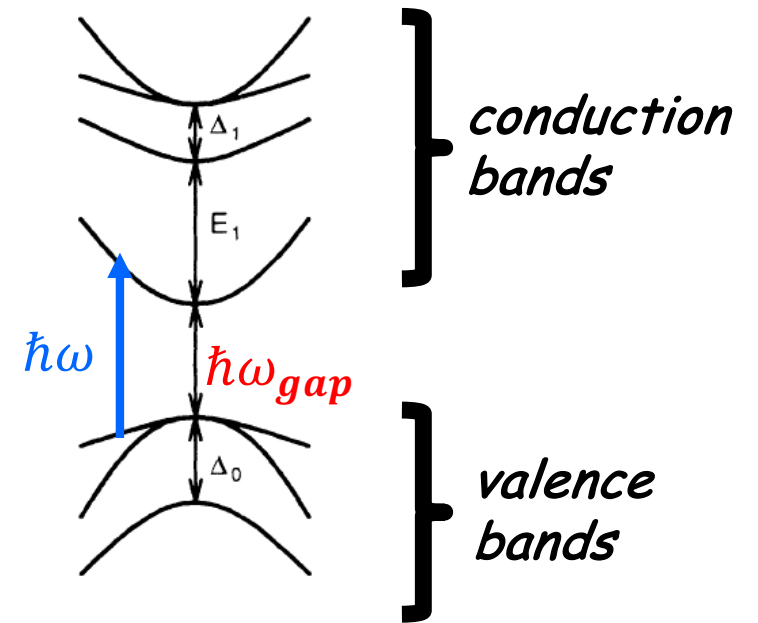
$$\chi_{ijk}^{(2)}(-\omega_\Sigma; \omega_1, \omega_2) =$$

$$\bar{\chi}_{ijk}^{(2)}(-\omega_\Sigma; \omega_1, \omega_2) - \frac{\sigma_{ijk}^{(2)}(-\omega_\Sigma; \omega_1, \omega_2)}{(-i\omega_\Sigma)} + \frac{\eta_{ijk}^{(2)}(-\omega_\Sigma; \omega_1, \omega_2)}{(-i\omega_\Sigma)^2}$$

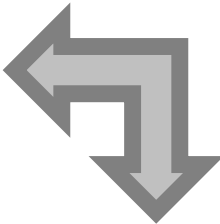
with

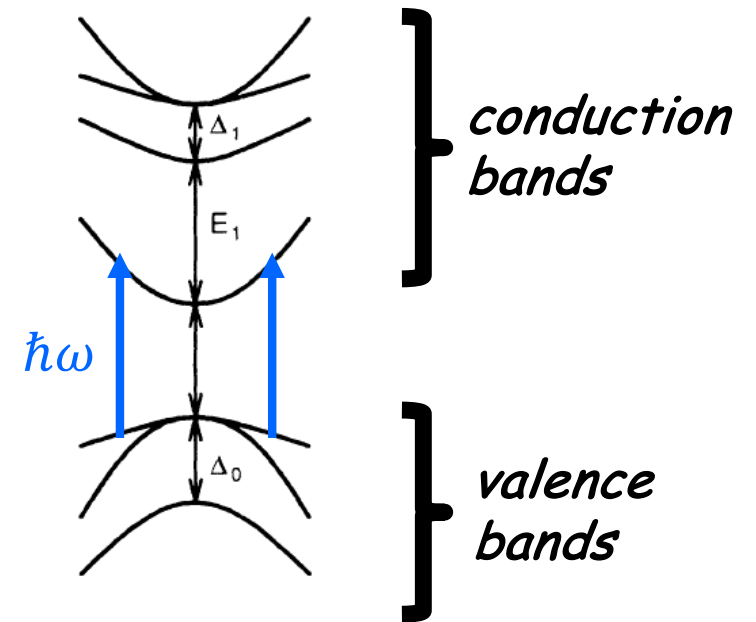
$$\omega_\Sigma = \omega_1 + \omega_2$$

$$\omega_\Sigma \rightarrow 0$$



$$P_i(\omega_\Sigma) = \frac{\sigma_{ijk}^{(2)}(-\omega_\Sigma; \omega_1, \omega_2)}{(-i\omega_\Sigma)} E_j(\omega_1) E_k(\omega_2)$$

$$\mathbf{J}(t) = \frac{d\mathbf{P}(t)}{dt}$$




$$(-i\omega_\Sigma)P_i(\omega_\Sigma) = J_i(\omega_\Sigma)$$

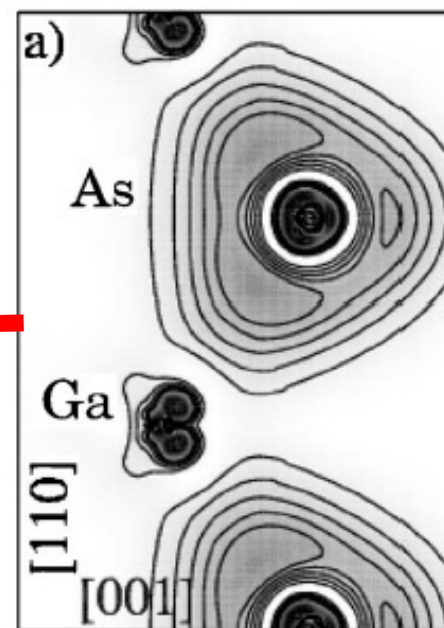
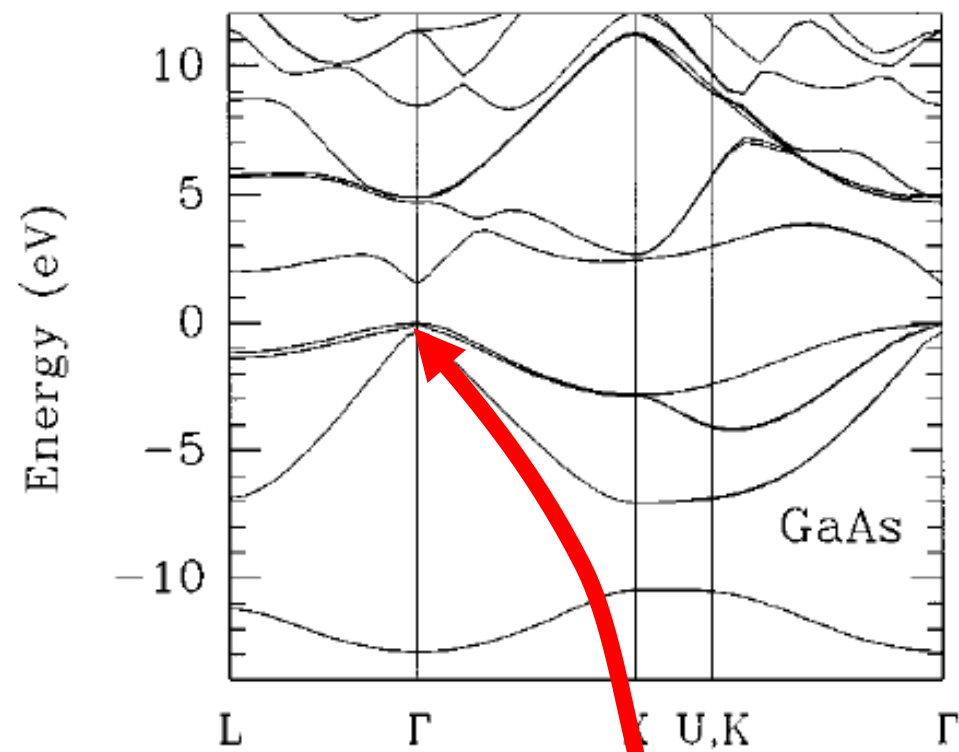
$$J_i(\omega_\Sigma) = \sigma_{ijk}^{(2)}(-\omega_\Sigma; \omega_1, \omega_2) E_j(\omega_1) E_k(\omega_2)$$

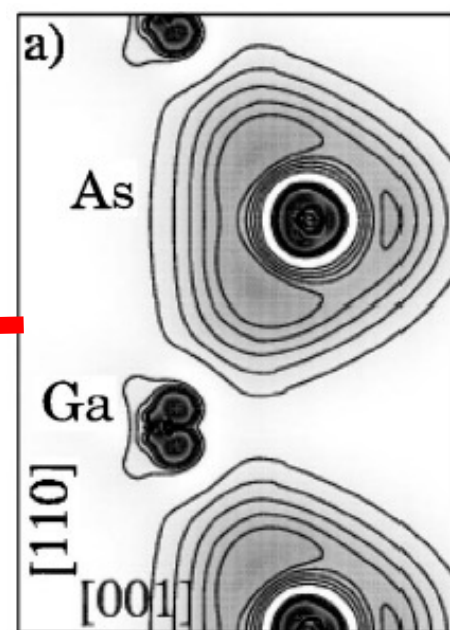
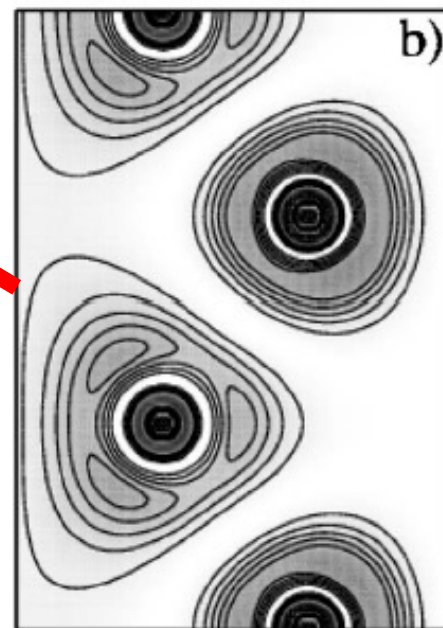
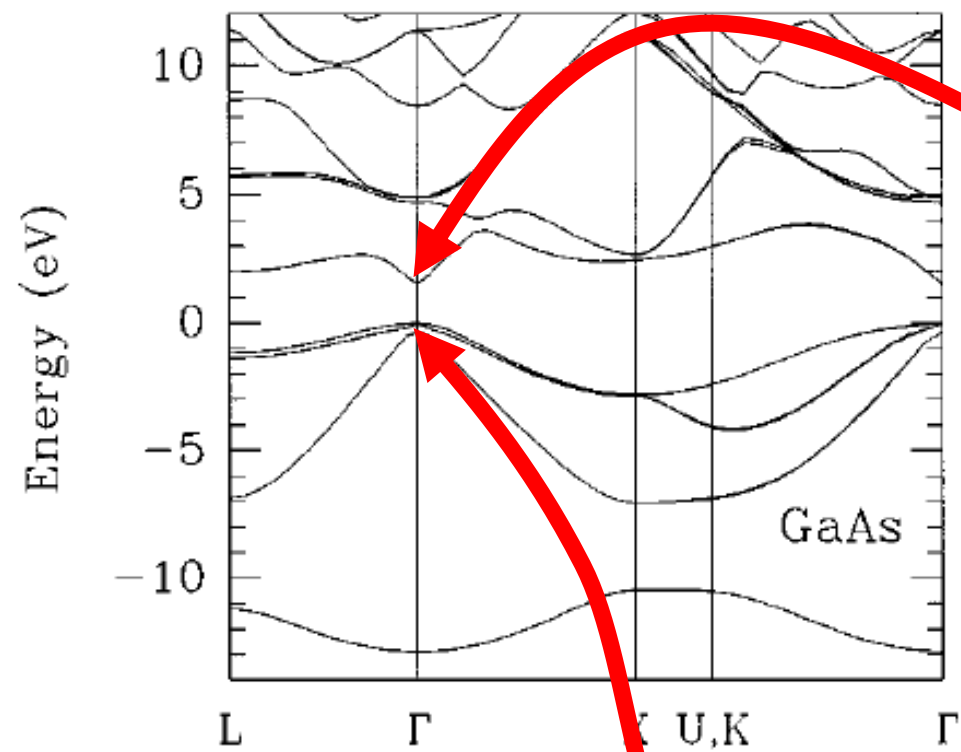
CW limit

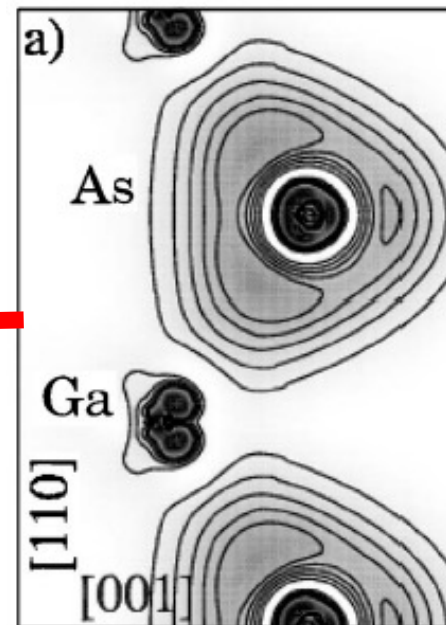
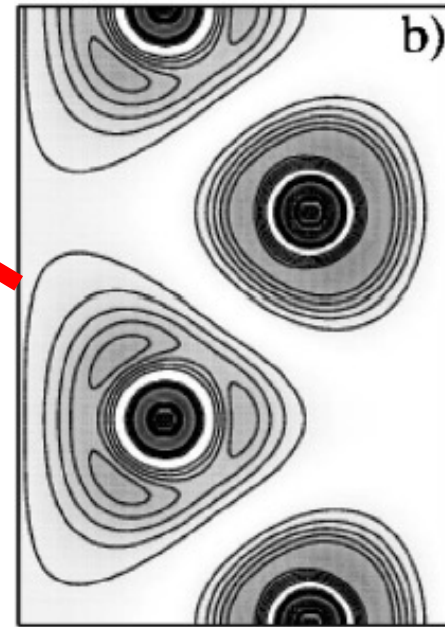
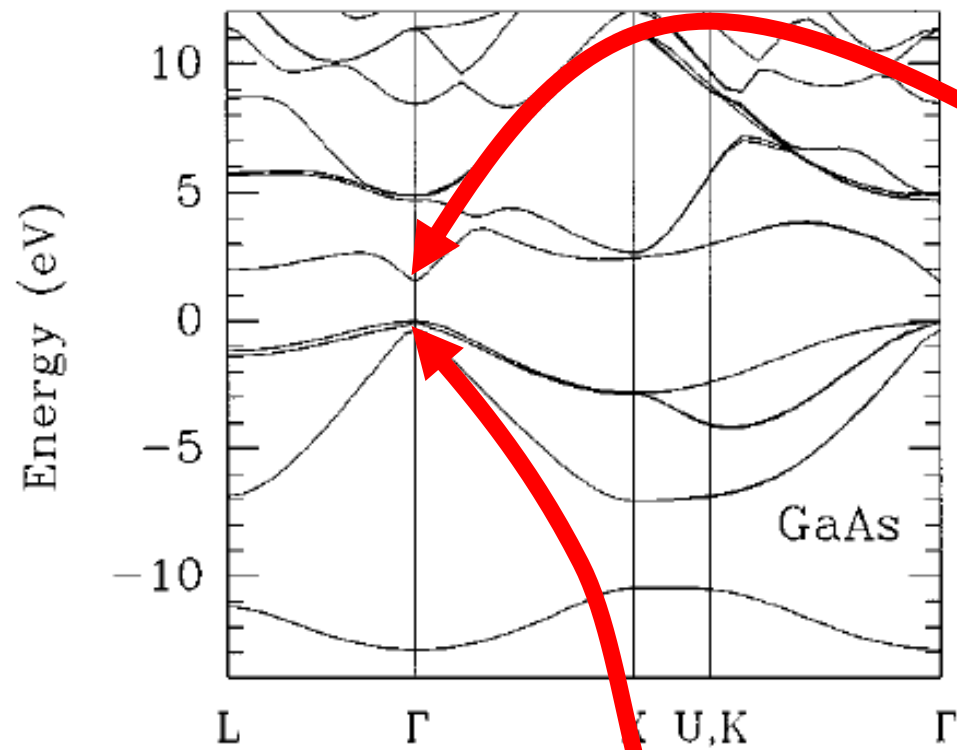
$$J_i = 2\sigma_{ijk}^{(2)}(0; \omega, -\omega) E_j(\omega) E_k(-\omega)$$

"shift current"

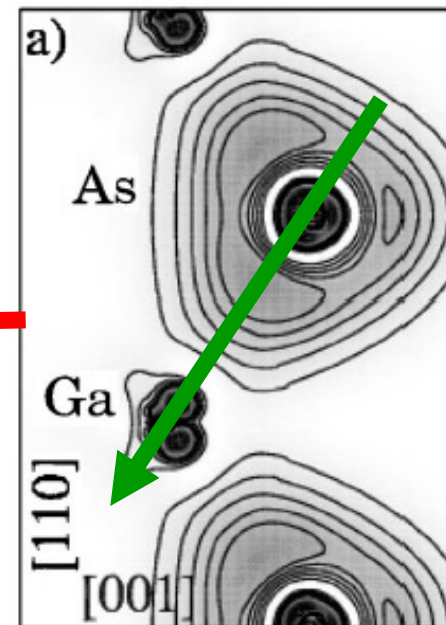
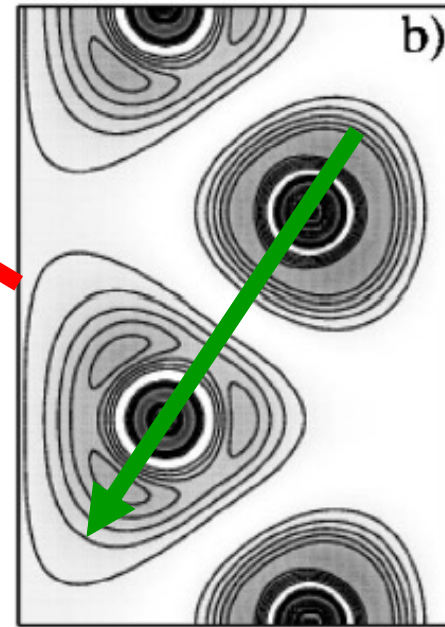
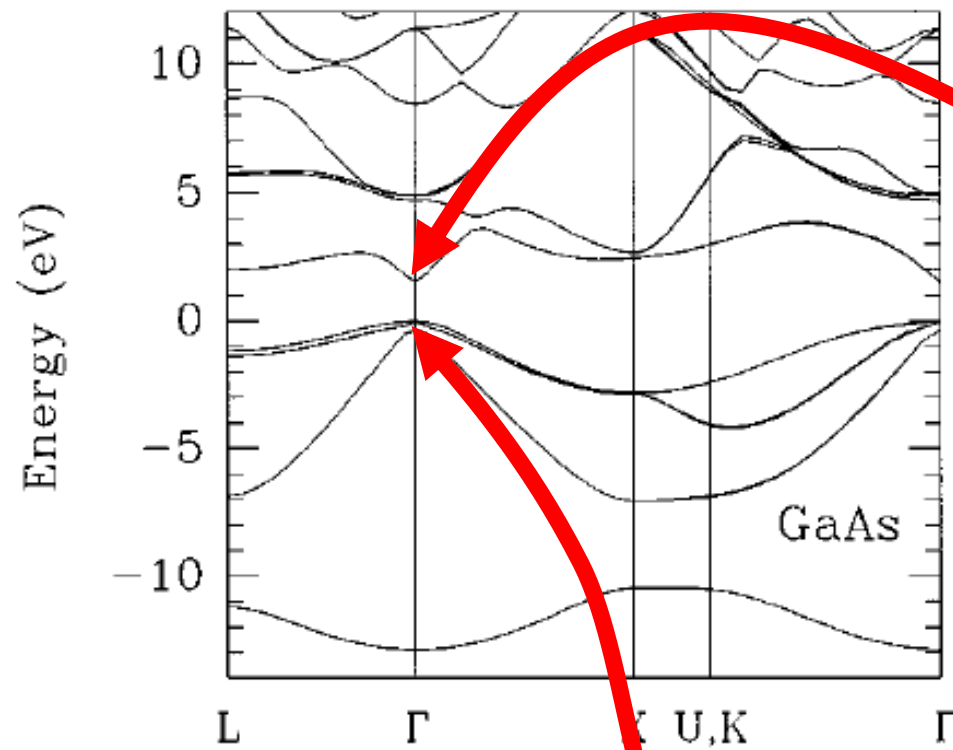
"photovoltaic effect"







How does the charge get from the As to the Ga during absorption?



*How does the charge
get from the As to
the Ga during absorption?*

For light polarized along [111]

Suppose

$$\omega_1 = \omega > \omega_{gap}$$

$$\omega_2 = -\omega$$

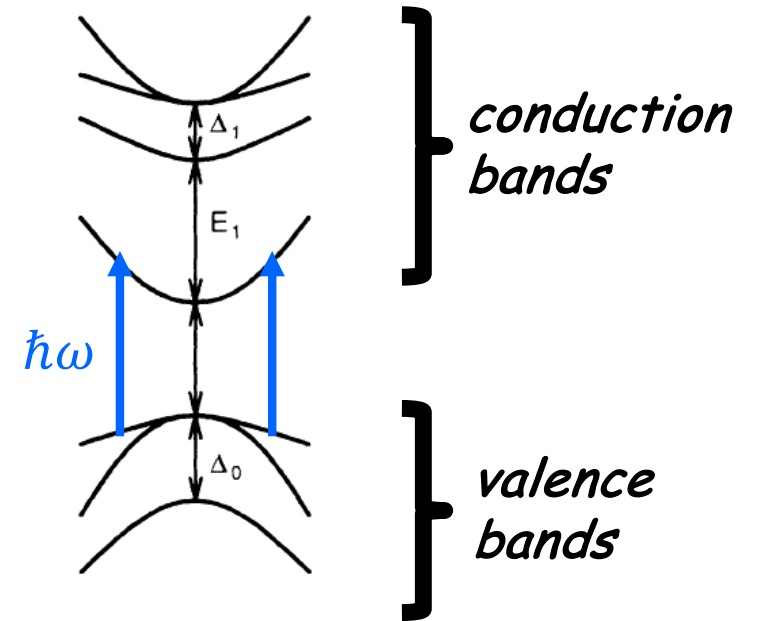
$$\chi_{ijk}^{(2)}(-\omega_\Sigma; \omega_1, \omega_2) =$$

$$\bar{\chi}_{ijk}^{(2)}(-\omega_\Sigma; \omega_1, \omega_2) + \frac{\sigma_{ijk}^{(2)}(-\omega_\Sigma; \omega_1, \omega_2)}{(-i\omega_\Sigma)} - \frac{\eta_{ijk}^{(2)}(-\omega_\Sigma; \omega_1, \omega_2)}{(-i\omega_\Sigma)^2}$$

with

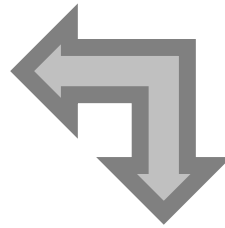
$$\omega_\Sigma = \omega_1 + \omega_2$$

$$\omega_\Sigma \rightarrow 0$$

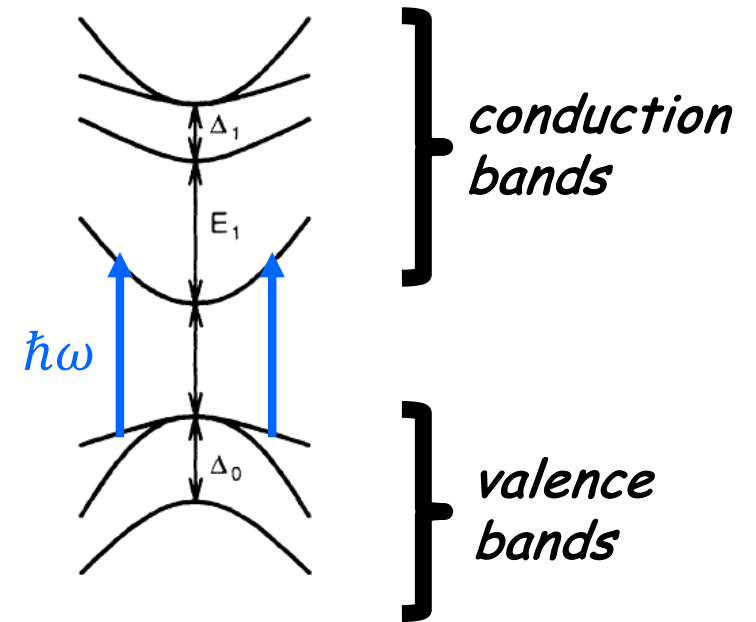


$$P_i(\omega_\Sigma) = \frac{\eta_{ijk}^{(2)}(-\omega_\Sigma; \omega_1, \omega_2)}{(-i\omega_\Sigma)^2} E_j(\omega_1) E_k(\omega_2)$$

$$\frac{d\mathbf{J}(t)}{dt} = \frac{d^2 \mathbf{P}(t)}{dt^2}$$



$$(-i\omega_\Sigma)^2 P_i(\omega_\Sigma) = (-i\omega_\Sigma) J_i(\omega_\Sigma)$$

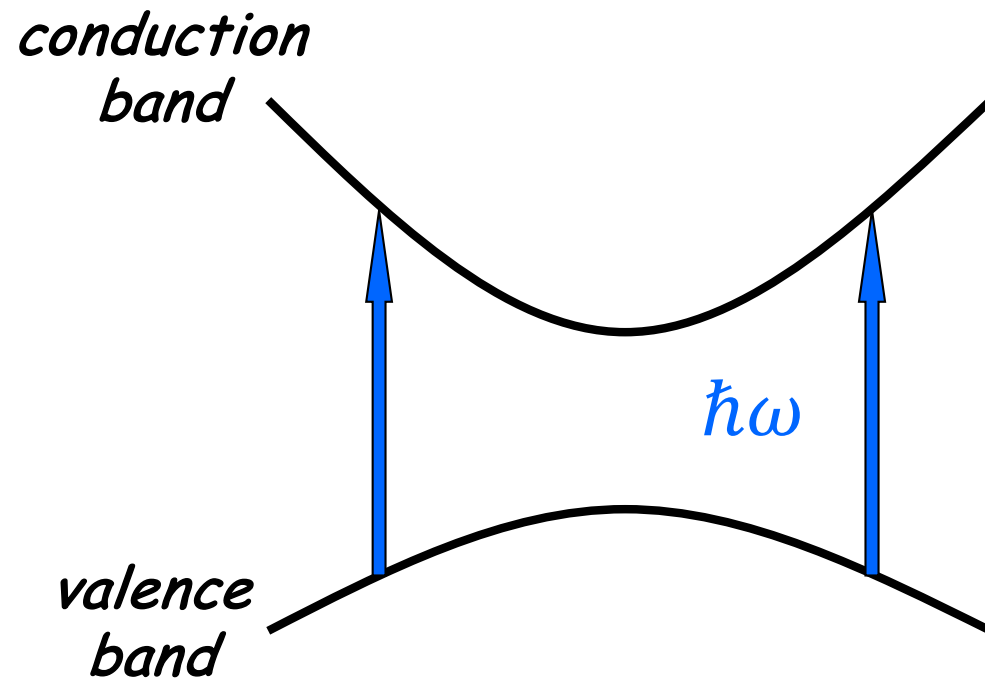


$$\frac{dJ_i}{dt}(\omega_\Sigma) = \eta_{ijk}^{(2)}(-\omega_\Sigma; \omega_1, \omega_2) E_j(\omega_1) E_k(\omega_2)$$

CW limit

$$\frac{dJ_i}{dt} = 2\eta_{ijk}^{(2)}(0; \omega, -\omega) E_j(\omega) E_k(-\omega)$$

"injection current"
"circular photocurrent"
"photogalvanic effect"

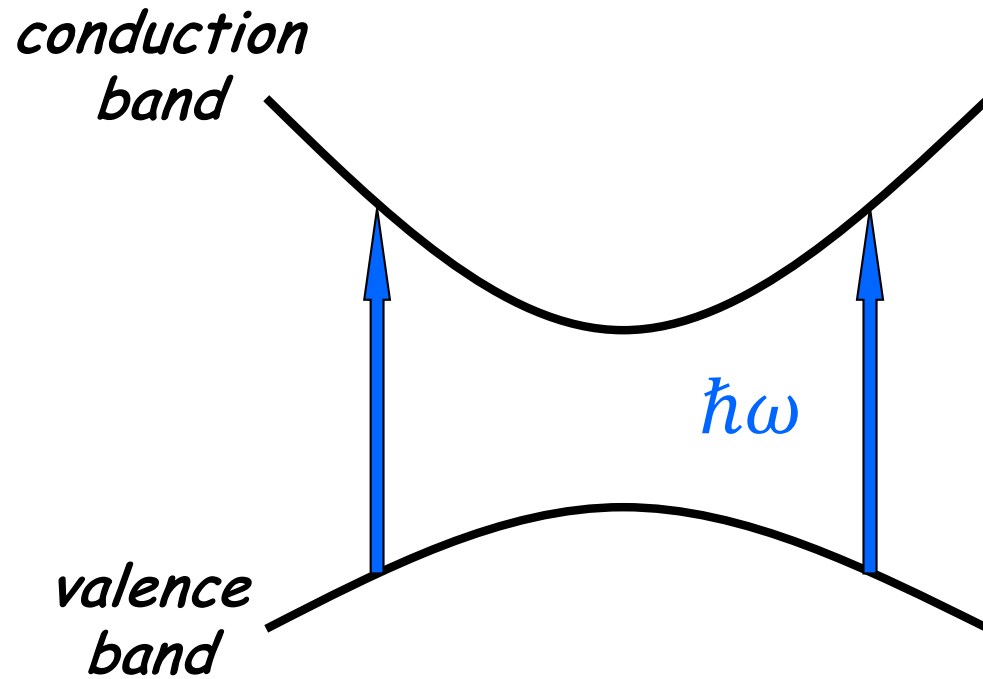


amplitude for transition

$$\propto \mathbf{p}_{cv}(\mathbf{k}) \cdot \mathbf{E}(\omega)$$

probability for transition

$$\propto |\mathbf{p}_{cv}(\mathbf{k}) \cdot \mathbf{E}(\omega)|^2$$



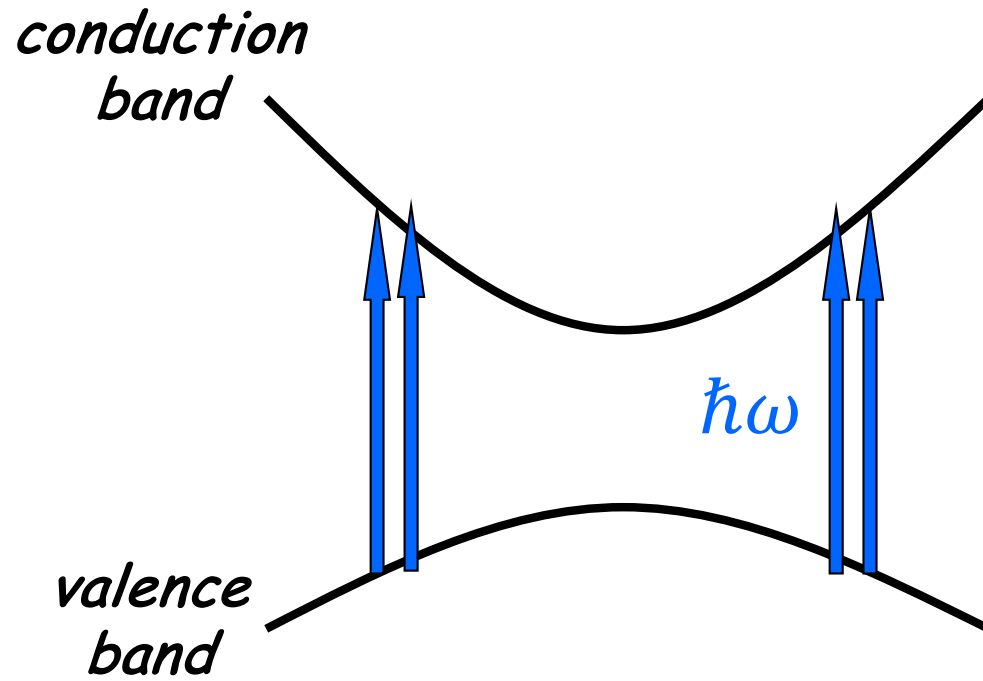
amplitude for transition

$$\propto \mathbf{p}_{cv}(\mathbf{k}) \cdot \mathbf{E}(\omega)$$

probability for transition

$$\propto |\mathbf{p}_{cv}(\mathbf{k}) \cdot \mathbf{E}(\omega)|^2$$

$$\mathbf{p}_{cv}(\mathbf{k}) \cdot \mathbf{E} = p_{cv}^x(\mathbf{k})E^x(\omega) + p_{cv}^z(\mathbf{k})E^z(\omega)$$



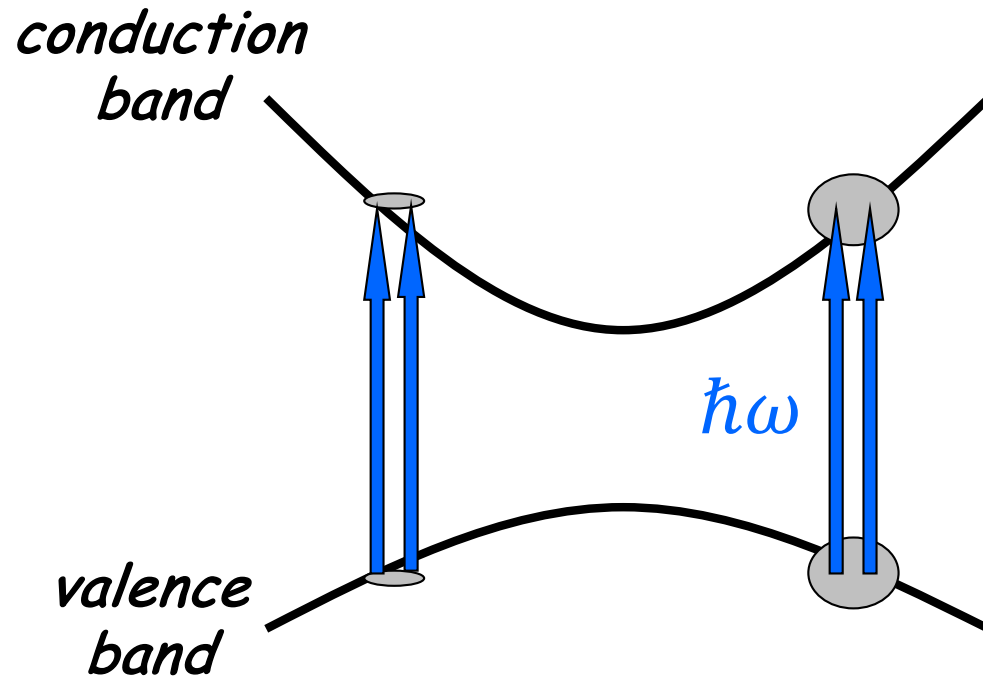
amplitude for transition

$$\propto \mathbf{p}_{cv}(\mathbf{k}) \cdot \mathbf{E}(\omega)$$

probability for transition

$$\propto |\mathbf{p}_{cv}(\mathbf{k}) \cdot \mathbf{E}(\omega)|^2$$

$$\mathbf{p}_{cv}(\mathbf{k}) \cdot \mathbf{E} = p_{cv}^x(\mathbf{k})E^x(\omega) + p_{cv}^z(\mathbf{k})E^z(\omega)$$



amplitude for transition

$$\propto \mathbf{p}_{cv}(\mathbf{k}) \cdot \mathbf{E}(\omega)$$

probability for transition

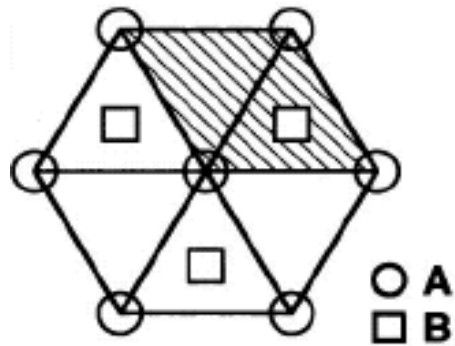
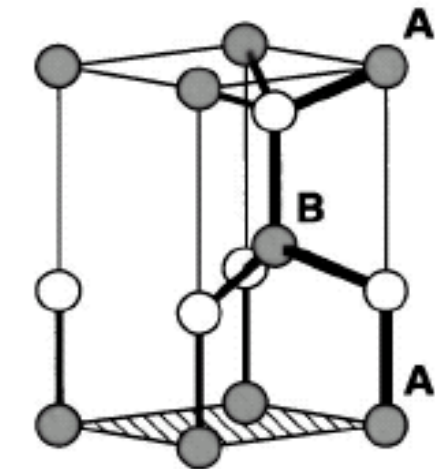
$$\propto |\mathbf{p}_{cv}(\mathbf{k}) \cdot \mathbf{E}(\omega)|^2$$

$$\mathbf{p}_{cv}(\mathbf{k}) \cdot \mathbf{E} = p_{cv}^x(\mathbf{k})E^x(\omega) + p_{cv}^z(\mathbf{k})E^z(\omega)$$

$$\left| p_{cv}^x(\mathbf{k})E^x(\omega) + p_{cv}^z(\mathbf{k})E^z(\omega) \right|^2$$

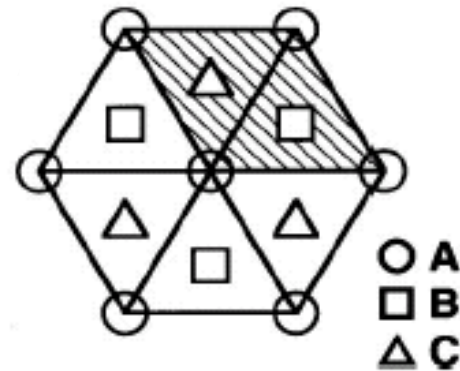
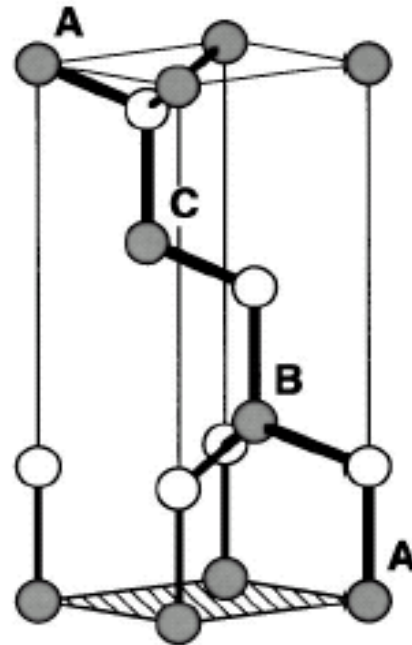
can show interference effects

wurtzite

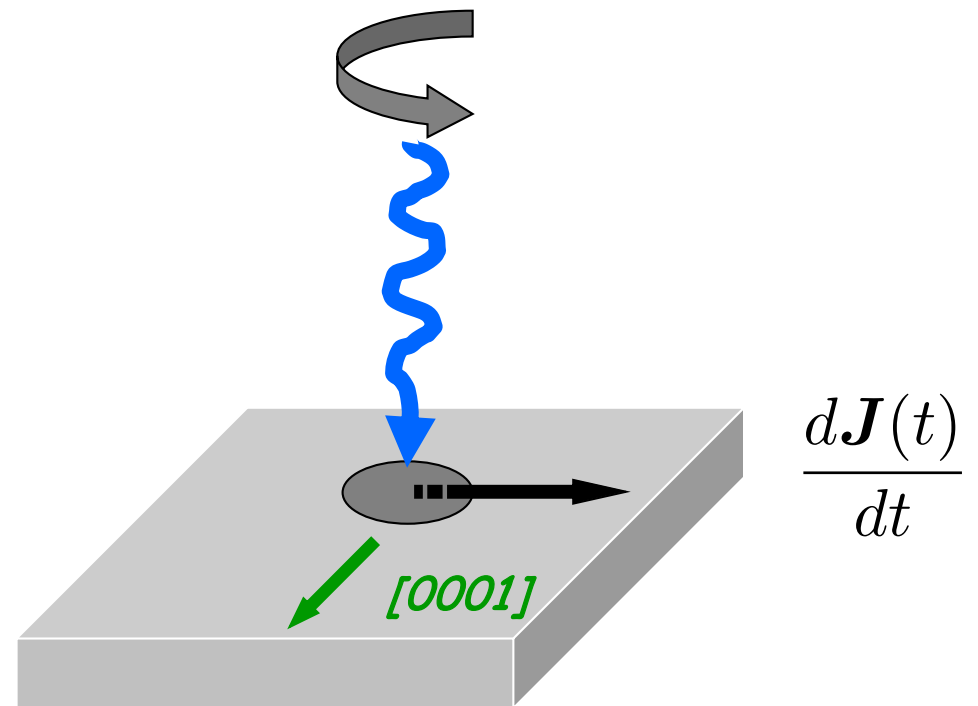
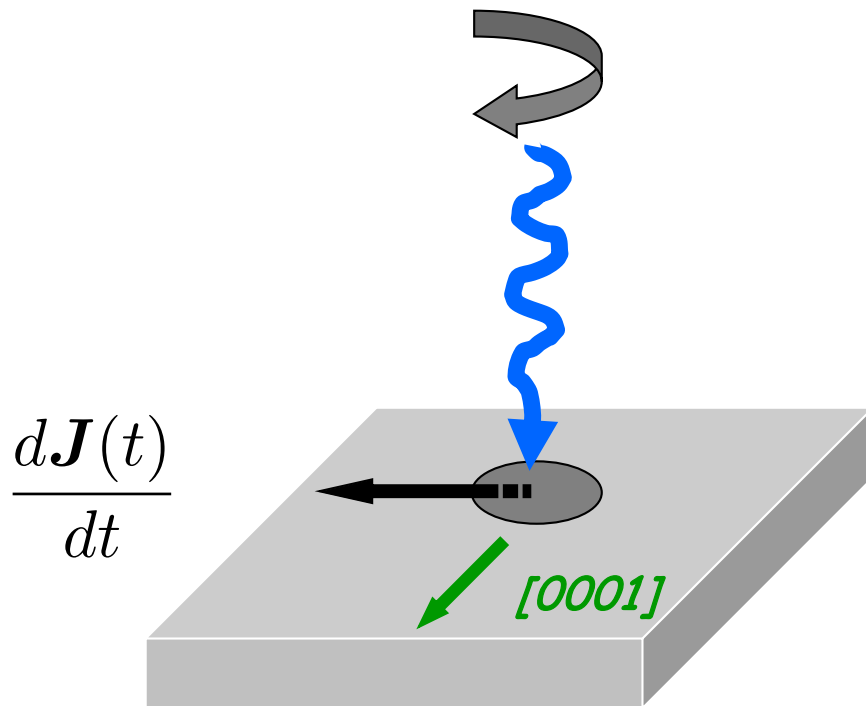


$$\eta_{ijk}^{(2)} \neq 0$$

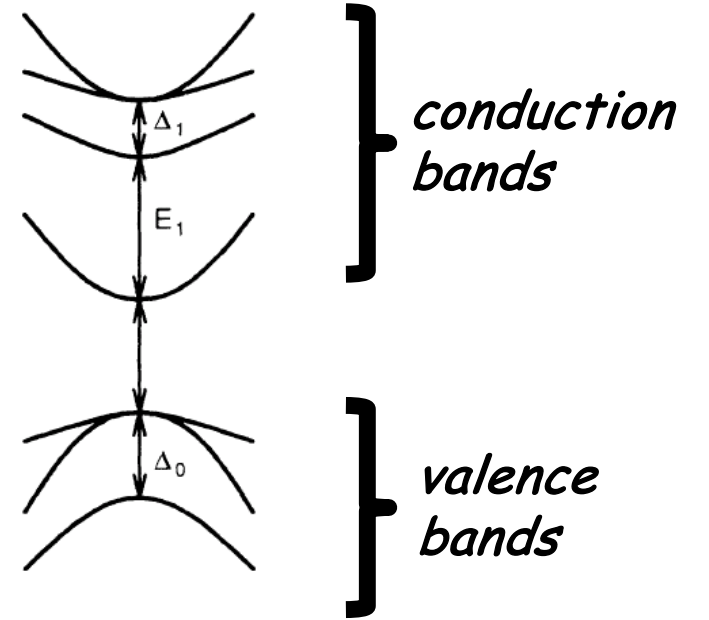
zincblende



$$\eta_{ijk}^{(2)} = 0$$



*direction of current from crystal axis
depends on **helicity** of beam*



$$\chi_{ijkl}^{(3)}(-\omega_\Sigma; \omega_1, \omega_2, \omega_3) =$$

$$\bar{\chi}_{ijkl}^{(3)}(-\omega_\Sigma; \omega_1, \omega_2, \omega_3) + \frac{\sigma_{ijkl}^{(3)}(-\omega_\Sigma; \omega_1, \omega_2, \omega_3)}{(-i\omega_\Sigma)} + \frac{\eta_{ijkl}^{(3)}(-\omega_\Sigma; \omega_1, \omega_2, \omega_3)}{(-i\omega_\Sigma)^2}$$

with

$$\omega_\Sigma = \omega_1 + \omega_2 + \omega_3$$

Suppose

$$\omega_1 = 2\omega > \omega_{gap}$$

$$\omega_2 = \omega_3 = -\omega$$

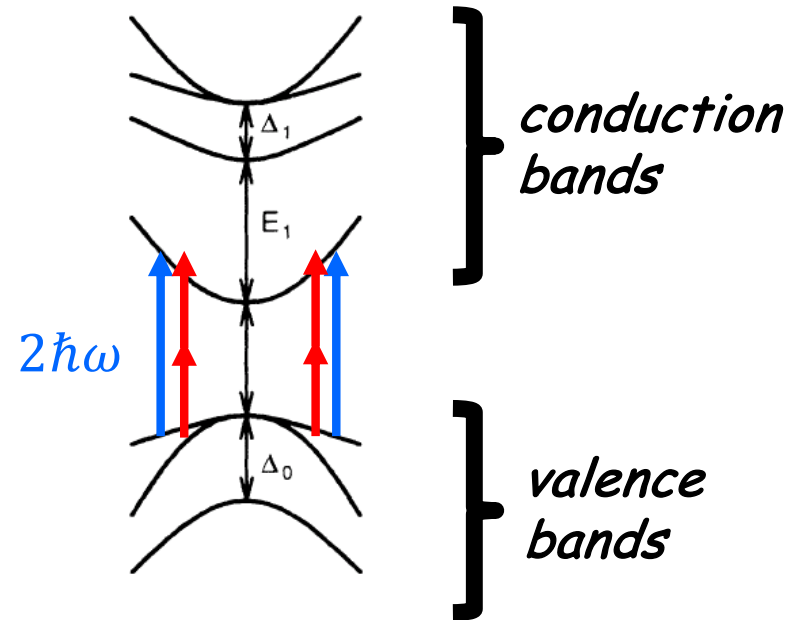
$$\chi_{ijkl}^{(3)}(-\omega_\Sigma; \omega_1, \omega_2, \omega_3) =$$

$$\bar{\chi}_{ijkl}^{(3)}(-\omega_\Sigma; \omega_1, \omega_2, \omega_3) + \frac{\sigma_{ijkl}^{(3)}(-\omega_\Sigma; \omega_1, \omega_2, \omega_3)}{(-i\omega_\Sigma)} + \frac{\eta_{ijkl}^{(3)}(-\omega_\Sigma; \omega_1, \omega_2, \omega_3)}{(-i\omega_\Sigma)^2}$$

with

$$\omega_\Sigma = \omega_1 + \omega_2 + \omega_3$$

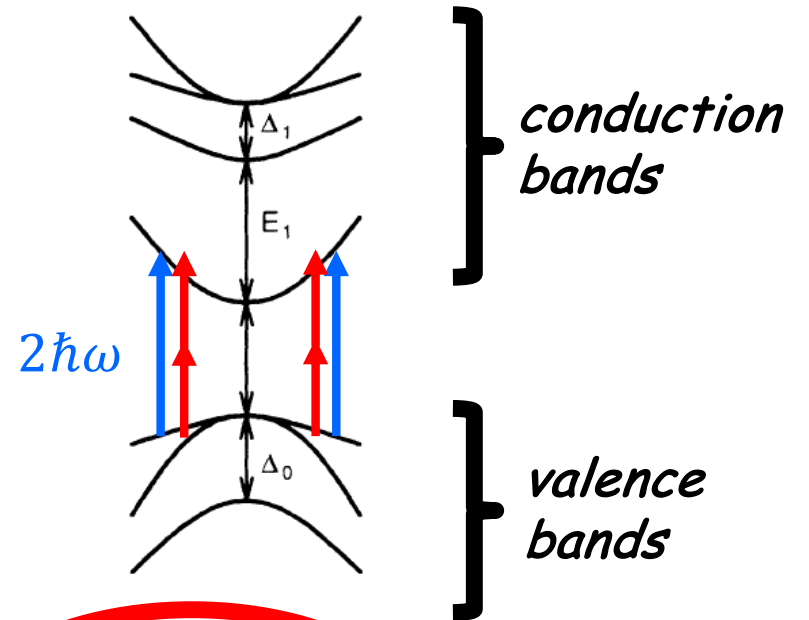
$$\omega_\Sigma \rightarrow 0$$



Suppose

$$\omega_1 = 2\omega > \omega_{gap}$$

$$\omega_2 = \omega_3 = -\omega$$



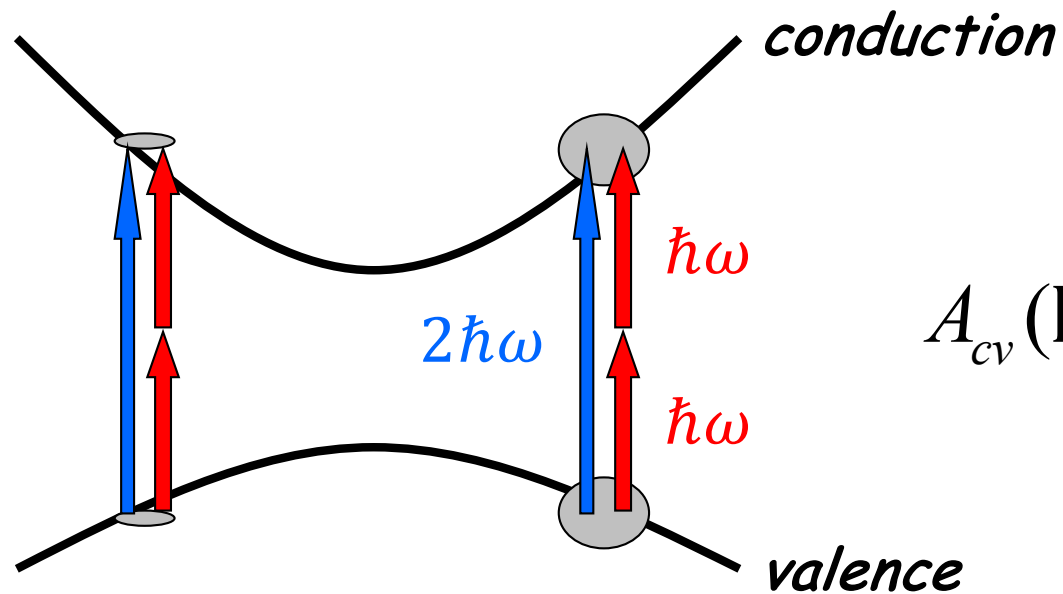
$$\chi_{ijkl}^{(3)}(-\omega_\Sigma; \omega_1, \omega_2, \omega_3) =$$

$$\bar{\chi}_{ijkl}^{(3)}(-\omega_\Sigma; \omega_1, \omega_2, \omega_3) + \frac{\sigma_{ijkl}^{(3)}(-\omega_\Sigma; \omega_1, \omega_2, \omega_3)}{(-i\omega_\Sigma)} - \frac{\eta_{ijkl}^{(3)}(-\omega_\Sigma; \omega_1, \omega_2, \omega_3)}{(-i\omega_\Sigma)^2}$$

with

$$\omega_\Sigma = \omega_1 + \omega_2 + \omega_3$$

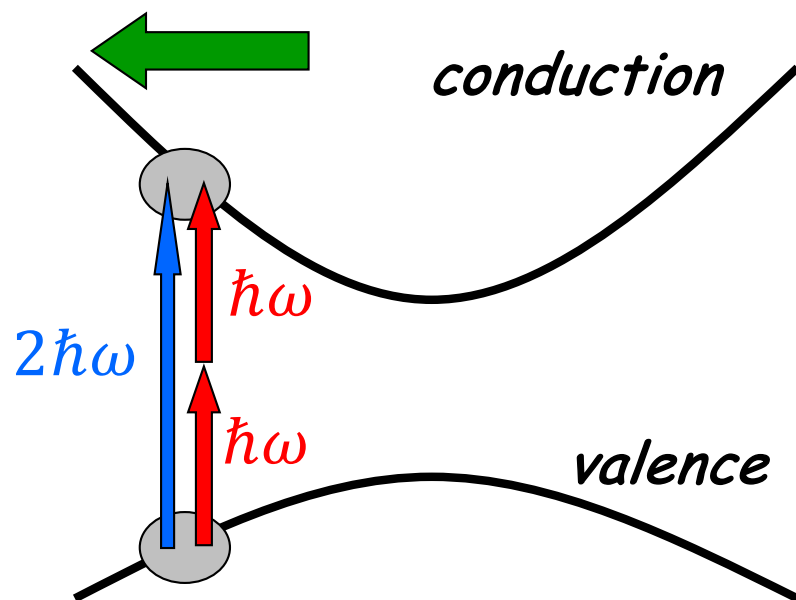
$$\omega_\Sigma \rightarrow 0$$

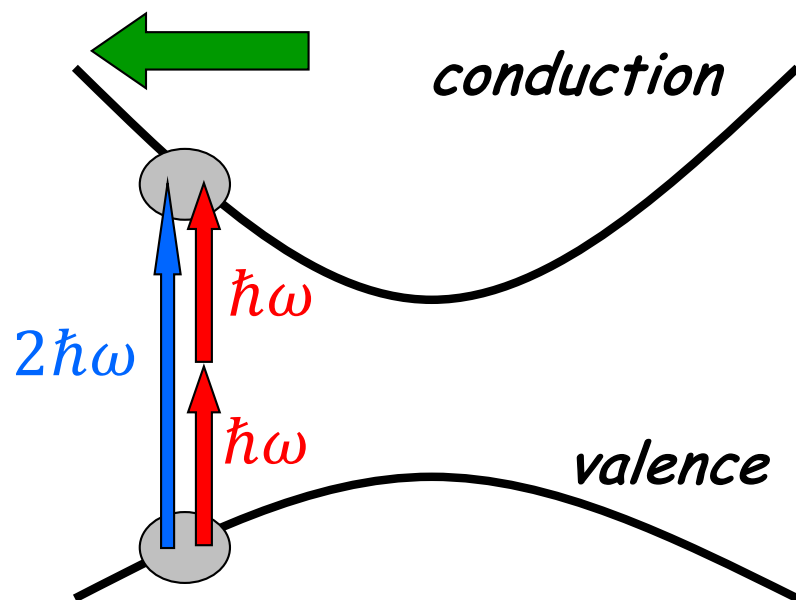


$$A_{cv}(\mathbf{k}) = A_{cv}^{(1)}(\mathbf{k}) + A_{cv}^{(2)}(\mathbf{k})$$

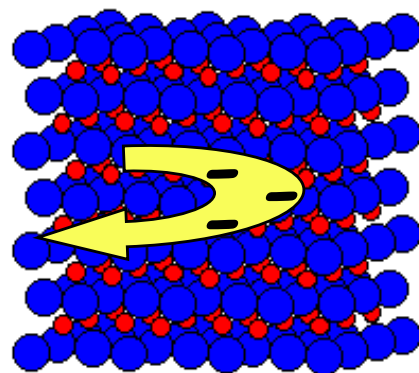
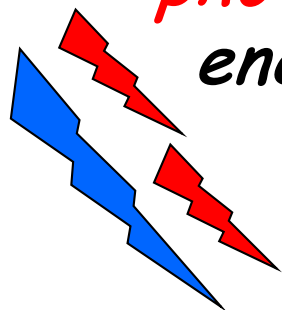
$$A_{cv}^{(1)}(\mathbf{k}) \propto \mathbf{p}_{cv}(\mathbf{k}) \cdot \mathbf{E}(2\omega)$$

$$A_{cv}^{(2)}(\mathbf{k}) \propto \sum_n \frac{[\mathbf{p}_{cn}(\mathbf{k}) \cdot \mathbf{E}(\omega)][\mathbf{p}_{nv}(\mathbf{k}) \cdot \mathbf{E}(\omega)]}{[\omega_c(\mathbf{k}) + \omega_v(\mathbf{k}) - 2\omega_n(\mathbf{k})]}$$





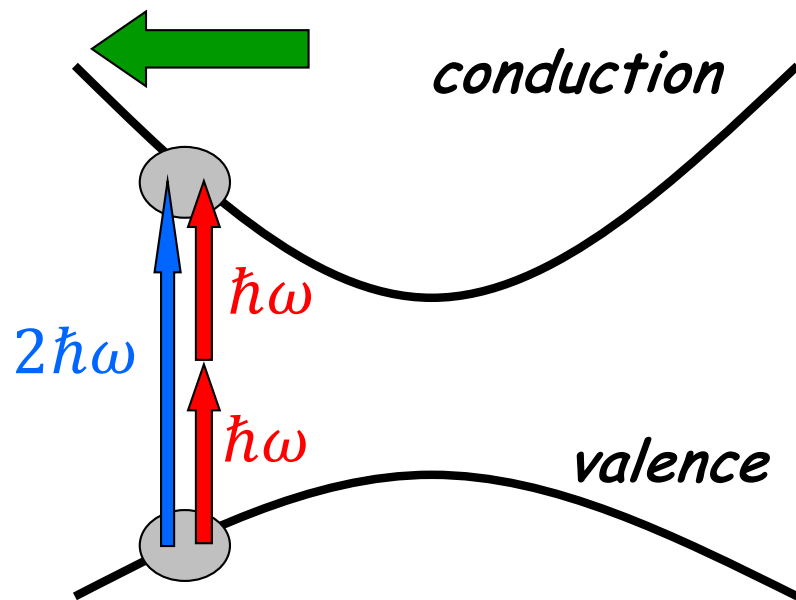
photons
energy



lattice

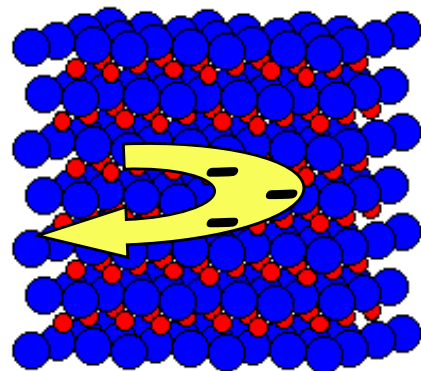
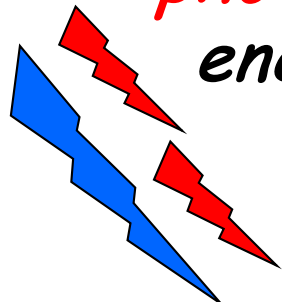
momentum



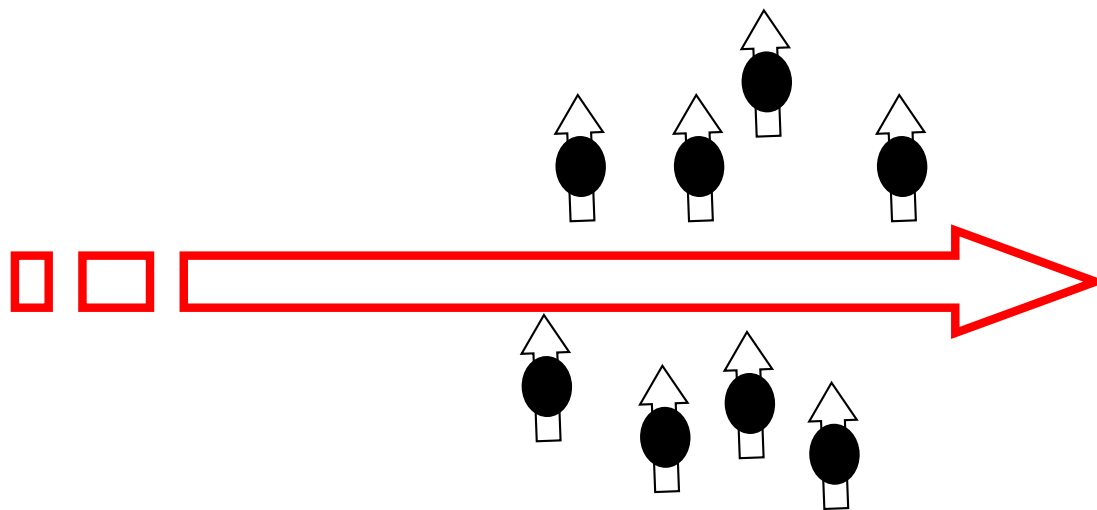


road
←
momentum

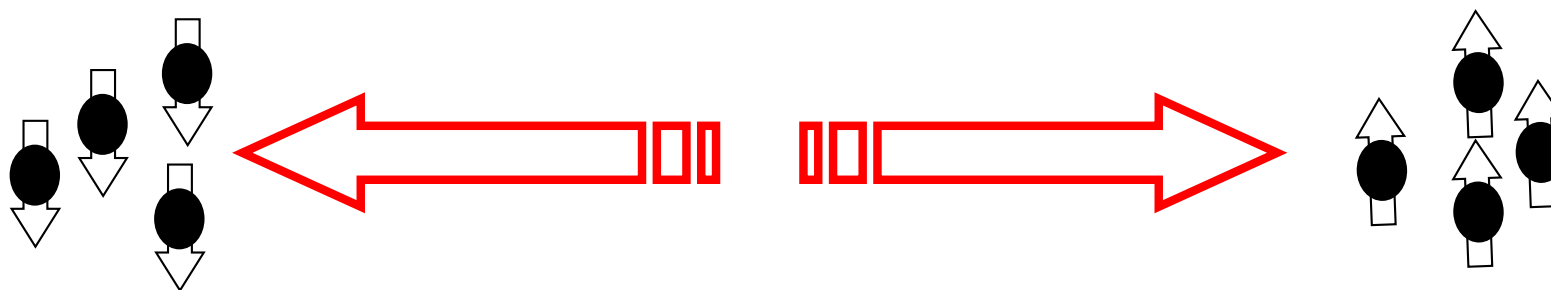
photons energy



lattice
←
momentum



spin-polarized current



pure spin current

Susceptibilities

$\chi^{(2)}$ *effects*

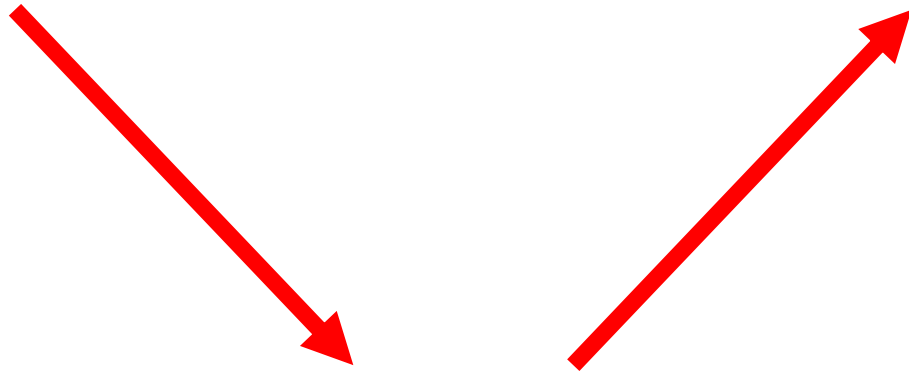
$\chi^{(3)}$ *effects*

Quantum nonlinear optics

Nonlinear optics and electronics

Forbidden processes

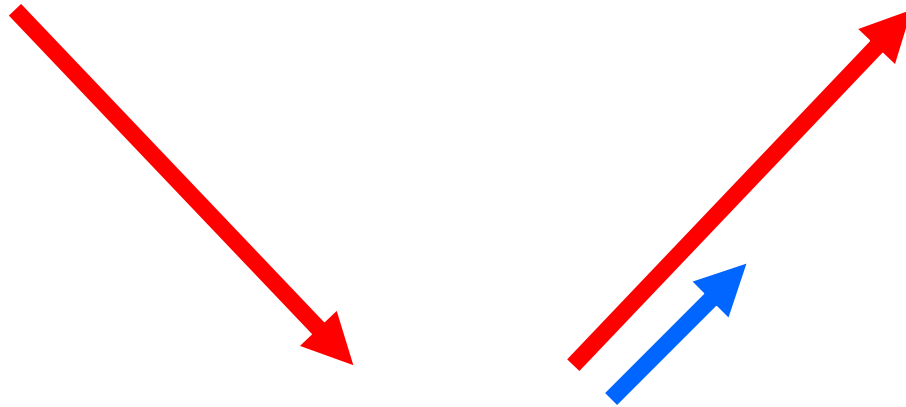
Forbidden processes



Centrosymmetric medium

$$\chi_{ijk}^{(2)} = 0$$

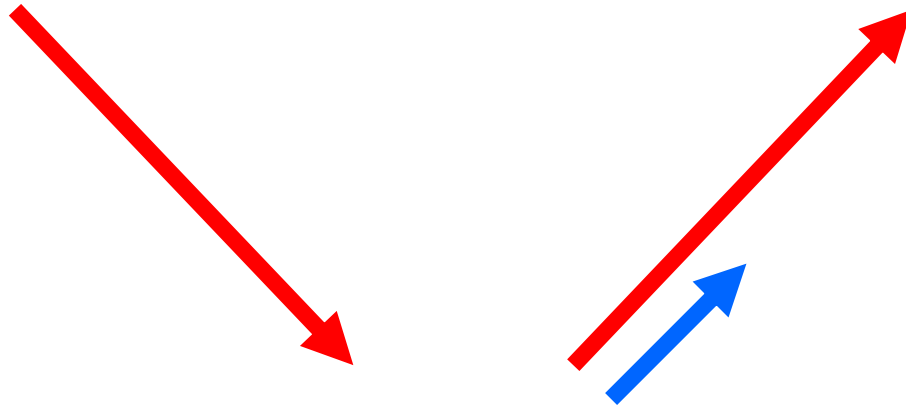
Forbidden processes



Centrosymmetric medium

$$\chi_{ijk}^{(2)} = 0$$

Forbidden processes

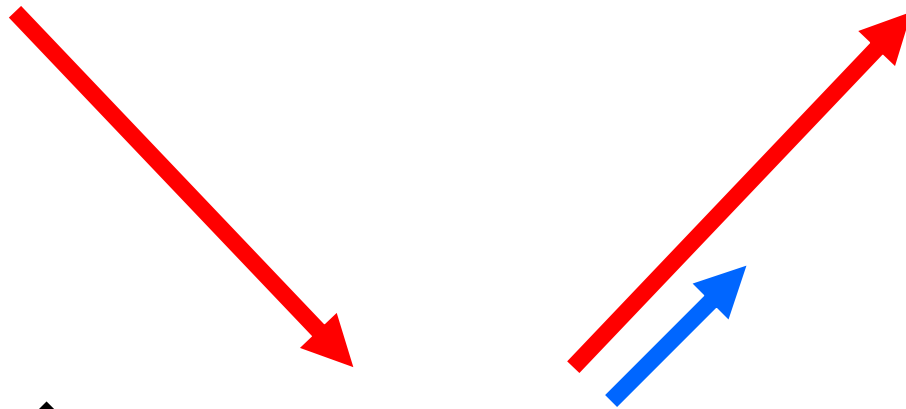


Centrosymmetric medium

$$\chi_{ijk}^{(2)} = 0$$

$$P_i(\mathbf{r}, 2\omega) = \Gamma_{ijkl} E_j(\mathbf{r}, \omega) \frac{\partial}{\partial x_k} E_k(\mathbf{r}, \omega)$$

*Forbidden processes
(..and allowed)*

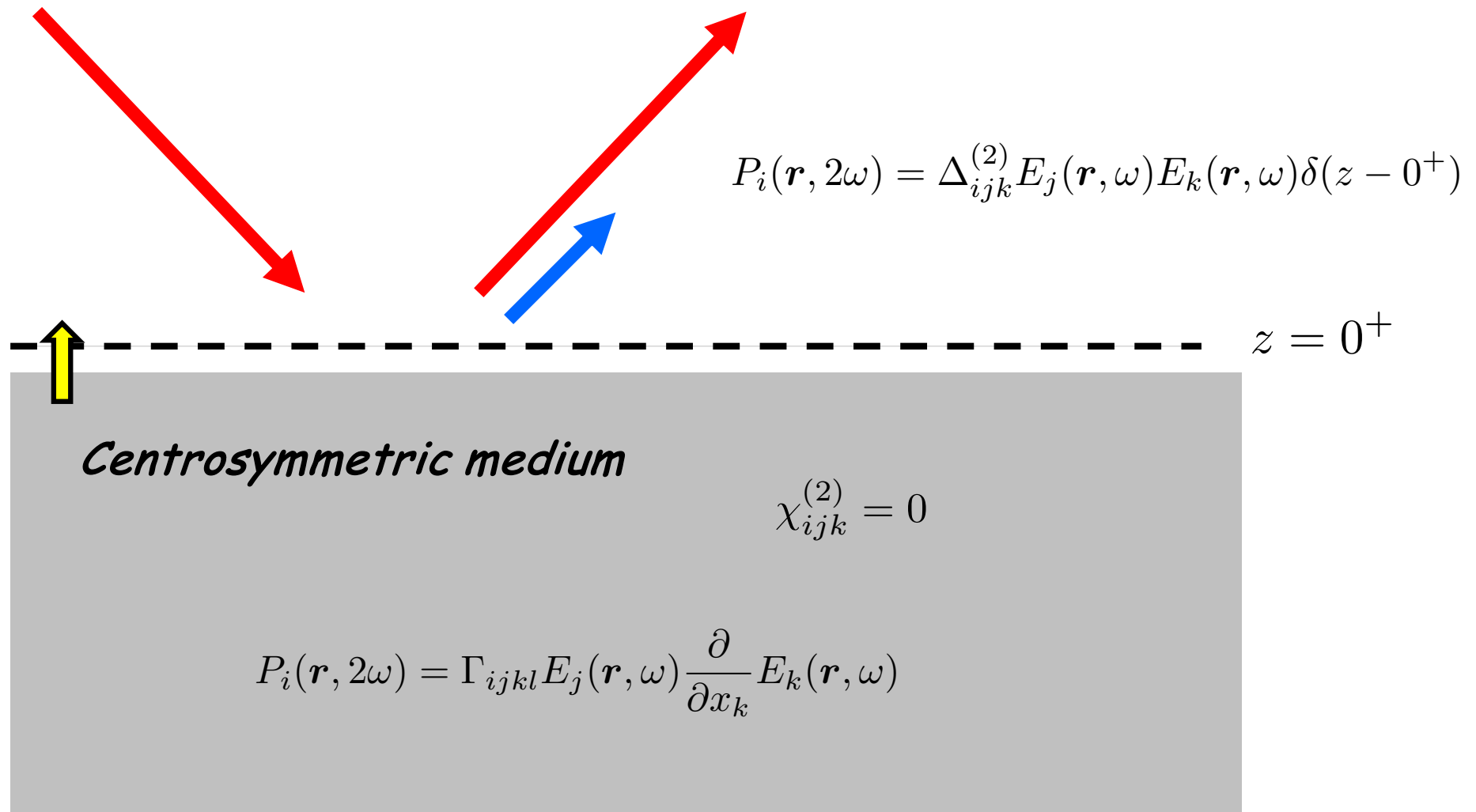


Centrosymmetric medium

$$\chi_{ijk}^{(2)} = 0$$

$$P_i(\mathbf{r}, 2\omega) = \Gamma_{ijkl} E_j(\mathbf{r}, \omega) \frac{\partial}{\partial x_k} E_k(\mathbf{r}, \omega)$$

*Forbidden processes
(..and allowed)*



Susceptibilities

$\chi^{(2)}$ *effects*

$\chi^{(3)}$ *effects*

Quantum nonlinear optics

Nonlinear optics and electronics

Forbidden processes

"Optical alchemy"

